

PROPOSAL NO. 105620

Form 1: Receipt of Addenda

ADDENDA RECEIVED

Addendum No. 1
Addendum No. 2
Addendum No. 3
Addendum No. 4
Addendum No. _____
Addendum No. _____
Addendum No. _____
Addendum No. _____
Addendum No. _____

Date Received: 8/25/06
Date Received: 9/5/06
Date Received: 9/8/06
Date Received: 9/19/06
Date Received: _____
Date Received: _____
Date Received: _____
Date Received: _____
Date Received: _____

If none received, write "None Received": _____

Date: October 11, 2006

Signature: _____

Corey Yraquen

Name (Print) _____

Corey J. Yraquen

Title: _____

President

PROPOSAL NO. 105620

Form 2: Buy America Certificate

The respondent must check the appropriate box or boxes, provide the information requested, and sign this certificate.

- ☒ 1. The proposer hereby certifies that it will comply with the requirements of Section 165(a)(165(b)(3) for rolling stock) of the Surface Transportation Assistance Act of 1982 and the applicable regulations in 49 CFR Part 661 (Part 661.11 for rolling stock).
- ☐ 2. The proposer hereby certifies that it cannot comply with the requirements of Section 165(a) (165(b)(3) for rolling stock) of the Surface Transportation Assistance Act of 1982, but that it may qualify for an exception to the requirements pursuant to Section 165(b)(2) or (b)(4) of the Surface Transportation Assistance Act and regulations in 49 CFR Part 661.7.

Date: October 11, 2006

Signature: _____

Corey Yraguen

Name (Print) _____

Corey J. Yraguen

Title: _____

President

PROPOSAL NO. 105620

Form 3: Cargo Preference Certificate

The Contractor agrees:

- ☒ 1. The proposer hereby certifies that it will comply with the requirements of Section 165(a)(165(b)(3) for rolling stock) of the Surface Transportation Assistance Act of 1982 and the applicable regulations in 49 CFR Part 661 (Part 661.11 for rolling stock).
- ☐ 2. The proposer hereby certifies that it cannot comply with the requirements of Section 165(a) (165(b)(3) for rolling stock) of the Surface Transportation Assistance Act of 1982, but that it may qualify for an exception to the requirements pursuant to Section 165(b)(2) or (b)(4) of the Surface Transportation Assistance Act and regulations in 49 CFR Part 661.7.

Date: October 11, 2006

Signature: _____

Corey Yraquen

Name (Print) _____

Corey J. Yraquen

Title: _____

President

PROPOSAL NO. 105620

Form 4: Certification of Primary Participant Regarding Debarment, Suspension, and Other Responsibility Matters


The Primary Participant (applicant for an FTA grant or cooperative agreement, or potential contractor for a major third party contract) certifies to the best of its knowledge and belief, that it and its principals:

- A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- B. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- D. Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

If the primary participant (applicant for an FTA grant, or cooperative agreement, or potential third party contractor) is unable to certify to any of the statements in this certification, the participant shall attach an explanation to this certification.)

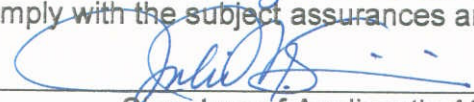
THE PRIMARY PARTICIPANT (APPLICANT FOR AN FTA GRANT OR COOPERATIVE AGREEMENT, OR POTENTIAL CONTRACTOR FOR A MAJOR THIRD PARTY CONTRACT) CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.

Signature and Title of Authorized Official: _____


Corey J. Yraguen
President

CHECK APPROPRIATE BOX:

- ☒ The undersigned chief legal counsel for the Oregon Iron Works, Inc. hereby certifies that the Oregon Iron Works, Inc. has authority under State and local law to comply with the subject assurances and that the certification above has been legally made.



Signature of Applicant's Attorney

October 12, 2006

Date

- ☐ Proposer does not have a "chief legal counsel".

PROPOSAL NO. 105620

Form 5: Transit Vehicle Manufacturers (TVM) Certification of Compliance with Disadvantaged Business (DBE) Regulations

This procurement is subject to the provisions of 49 CFR Section 23.67. Accordingly, the following certification must be completed and submitted with the proposal, as a condition of responding. A proposal that does not include the certification will not be considered.

TVM CERTIFICATION

The proposer, if a transit vehicle manufacturer, hereby certifies that it has complied with the requirements of 49 CFR Section 23.67 by submitting an annual DBE goal to the Federal Transit Administration (FTA). The goal has either been approved or not disapproved by FTA.

The proposer, if a non-manufacturer supplier, hereby certifies that the manufacturer of the transit vehicle to be supplied has complied with the above-referenced requirement of 49 CFR Section 23.67.

Date: October 11, 2006

Signature: 

Name (Print) Corey J. Yraguen

Title: President

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Form 6: Lobbying Certificate

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of ANY Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with THIS Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Date: October 11, 2006

Signature: _____



Name (Print) Corey J. Yraguen

Title: President

NOTE:

CONTRACTORS ARE REQUIRED, PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.