

## ✓ Award Letter

August 10, 2023

Dear Veronica Nordeen,

On behalf of Attorney General Merrick B. Garland, it is my pleasure to inform you the Office of Justice Programs (OJP) has approved the application submitted by CITY OF PORTLAND for an award under the funding opportunity entitled 2023 BJA FY 23 Invited to Apply- Byrne Discretionary Community Project Funding/Byrne Discretionary Grants Program. The approved award amount is \$2,000,000.

Review the Award Instrument below carefully and familiarize yourself with all conditions and requirements before accepting your award. The Award Instrument includes the Award Offer (Award Information, Project Information, Financial Information, and Award Conditions) and Award Acceptance. For COPS Office and OVW funding the Award Offer also includes any Other Award Documents.

Please note that award requirements include not only the conditions and limitations set forth in the Award Offer, but also compliance with assurances and certifications that relate to conduct during the period of performance for the award. These requirements encompass financial, administrative, and programmatic matters, as well as other important matters (e.g., specific restrictions on use of funds). Therefore, all key staff should receive the award conditions, the assurances and certifications, and the application as approved by OJP, so that they understand the award requirements. Information on all pertinent award requirements also must be provided to any subrecipient of the award.

Should you accept the award and then fail to comply with an award requirement, DOJ will pursue appropriate remedies for non-compliance, which may include termination of the award and/or a requirement to repay award funds.

Prior to accepting the award, your Entity Administrator must assign a Financial Manager, Grant Award Administrator, and Authorized Representative(s) in the Justice Grants System (JustGrants). The Entity Administrator will need to ensure the assigned Authorized Representative(s) is current and has the legal authority to accept awards and bind the entity to the award terms and conditions. To accept the award, the Authorized Representative(s) must accept all parts of the Award Offer in the Justice Grants System (JustGrants), including by executing the required declaration and certification, within 45 days from the award date.

**\*\*9/22/23-Extended to 10/31/23--Performance period starts 10/1/2023\*\***

To access your funds, you will need to enroll in the Automated Standard Application for Payments (ASAP) system, if you haven't already completed the enrollment process in ASAP. The Entity Administrator should have already received an email from ASAP to initiate this process.

Congratulations, and we look forward to working with you.

Maureen Henneberg  
Deputy Assistant Attorney General

## Office for Civil Rights Notice for All Recipients

The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has been delegated the responsibility for ensuring that recipients of federal financial assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) are not engaged in discrimination prohibited by law. Several federal civil rights laws, such as Title VI of the Civil Rights Act of 1964 and Section 504 of the Rehabilitation Act of 1973, require recipients of federal financial assistance to give assurances that they will comply with those laws. Taken together, these civil rights laws prohibit recipients of federal financial assistance from DOJ from discriminating in services and employment because of race, color, national origin, religion, disability, sex, and, for grants authorized under the Violence Against Women Act, sexual orientation and gender identity.

Recipients are also prohibited from discriminating in services because of age. For a complete review of these civil rights laws and nondiscrimination requirements, in connection with DOJ awards, see <https://ojp.gov/funding/Explore/LegalOverview/CivilRightsRequirements.htm>.

Under the delegation of authority, the OCR investigates allegations of discrimination against recipients from individuals, entities, or groups. In addition, the OCR conducts limited compliance reviews and audits based on regulatory criteria. These reviews and audits permit the OCR to evaluate whether recipients of financial assistance from the Department are providing services in a nondiscriminatory manner to their service population or have employment practices that meet equal-opportunity standards.

If you are a recipient of grant awards under the Omnibus Crime Control and Safe Streets Act or the Juvenile Justice and Delinquency Prevention Act and your agency is part of a criminal justice system, there are two additional obligations that may apply in connection with the awards: (1) complying with the regulation relating to Equal Employment Opportunity Programs (EEOs); and (2) submitting findings of discrimination to OCR. For additional information regarding the EEO requirement, see 28 CFR Part 42, subpart E, and for additional information regarding requirements when there is an adverse finding, see 28 C.F.R. §§ 42.204(c), .205(c)(5).

The OCR is available to help you and your organization meet the civil rights requirements that are associated with DOJ grant funding. If you would like the OCR to assist you in fulfilling your organization's civil rights or nondiscrimination responsibilities as a recipient of federal financial assistance, please do not hesitate to contact the OCR at [askOCR@ojp.usdoj.gov](mailto:askOCR@ojp.usdoj.gov).

### Memorandum Regarding NEPA

NEPA Letter Type

OJP - Additional Information Required

NEPA Letter

Grant funds will not be released until the EA requirement is addressed. AC 51. The project describes minor building projects and streetscapes. Discussion with BJA's Amy Romero.

The scope or location of the proposed project is such that it may not qualify for a categorical exclusion as contained in Appendix D to Part 61 of Title 28 of the Code of Federal Regulations.

As such, prior to initiation of the project, the grantee may need to complete an Environmental Assessment (EA) and/or an Environmental Impact Statement (EIS).

Additional information is needed to determine if any of the following activities will be conducted as a result of this award, whether under the Office of Justice Programs federal action or a related third party action:

(1) New construction

(2) Any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species

(3) A renovation that will change the basic prior use of a facility or significantly change its size

(4) Research and technology whose anticipated and future application could be expected to have an effect on the environment

(5) Implementation of a program involving the use of chemicals (including the identification, seizure, or closure of clandestine methamphetamine laboratories)

For more information about NEPA requirements, including which projects may qualify for categorical exclusions, and the preparation of an environmental review documents, please see

<https://bja.ojp.gov/national-environmental-policy-act-nepa-guidance>.

Questions about this determination may be directed to your grant manager or Orbin Terry, Environmental Coordinator for the Bureau of Justice Assistance.

NEPA Coordinator

First Name

Orbin

Middle Name

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Last Name

Terry

- > **Award Information**
- > **Project Information**
- > **Financial Information**
- > **Award Conditions**

- > Award Letter
- ✓ Award Information

This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.

### Recipient Information

#### Recipient Name

CITY OF PORTLAND

#### UEI

N5NMTXG2UE65

#### Street 1

1221 SW 4TH AVE

#### Street 2

#### City

PORTLAND

#### State/U.S. Territory

Oregon

#### Zip/Postal Code

97204

#### Country

United States

#### County/Parish

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#### Province

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### Award Details

#### Federal Award Date

8/10/23

#### Award Type

Initial

#### Award Number

15PBJA-23-GG-00143-BRND

#### Supplement Number

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**Federal Award Amount**

\$2,000,000.00

**Funding Instrument Type**

Grant

**Assistance Listing Number   Assistance Listings Program Title**

16.753

Congressionally Recommended Awards

**Statutory Authority**

Pub. L. No. 117-328, 136 Stat 4459, 4542-4543; 28 USC 530C

☐ *I have read and understand the information presented in this section of the Federal Award Instrument.*

- **Project Information**
- **Financial Information**
- **Award Conditions**
- **Award Acceptance**

- Award Letter
- Award Information
- Project Information
- ▼ Financial Information

**This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.**

A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

	Year 1	Year 2	Year 3	Year 4	Total
Personnel	\$61,074	\$85,859	\$89,814	\$94,307	\$331,054
Fringe Benefits	\$36,506	\$43,870	\$45,309	\$46,694	\$172,379
Travel	\$0	\$0	\$0	\$0	\$0
Equipment	\$0	\$0	\$0	\$0	\$0
Supplies	\$96,050	\$114,400	\$114,400	\$114,400	\$439,250
Construction	\$0	\$0	\$0	\$0	\$0
SubAwards	\$50,000	\$50,000	\$50,000	\$35,000	\$185,000
Procurement Contracts	\$154,880	\$154,880	\$154,880	\$209,877	\$674,517
Other Costs	\$49,450	\$49,450	\$49,450	\$49,450	\$197,800

Total	\$447,960	\$498,459	\$503,853	\$549,728	\$2,000,000
Direct Costs					
Indirect Costs	\$0	\$0	\$0	\$0	\$0
<b>Total Project Costs</b>	\$447,960	\$498,459	\$503,853	\$549,728	\$2,000,000
<b>Federal</b>	\$447,960	\$498,459	\$503,853	\$549,728	\$2,000,000
<b>Non-Federal</b>	\$0	\$0	\$0	\$0	\$0

Budget Totals

	Total	Percentage
Total Project Cost	\$2,000,000	
Federal Funds	\$2,000,000	100.00%
Non-Federal Amount	\$0	0.00%
Match Amount	\$0	0.00%
Program Income	\$0	0.00%

**Please note:** After completing this budget detail summary, please confirm that the following final values entered in this section are identical to those entered in the corresponding estimated cost section of the Standard Applicant Information. Specifically, the following must be equivalent. If they are not, you will not be able to submit this application until they are updated to be equivalent.

Standard Applicant Information	Equals	Budget Summary
Total Estimated Funding	=	Total Project Costs
Federal Estimated Funding (federal share)	=	Federal Funds

Applicant Estimated Funding (non-federal share)	=	Match Amount
Program Income Estimated Funding	=	Program Income Amount

**Budget Detail Summary View**

☐ *I have read and understand the information presented in this section of the Federal Award Instrument.*

- > **Award Conditions**
- > **Award Acceptance**



- Award Letter
- Award Information
- Project Information
- Financial Information
- ✓ Award Conditions

**This award is offered subject to the conditions or limitations set forth in the Award Information, Project Information, Financial Information, and Award Conditions.**



Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.



Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.



Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform

Requirements") apply to this FY 2022 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2022 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2022 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

City retention schedule - six years after Federal closeout; applies to subrecipients and contractors, also.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.334.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.



#### Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.



#### Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" ([ojp.gov/funding/Explore/LegalNotices-AwardReqs.htm](https://ojp.gov/funding/Explore/LegalNotices-AwardReqs.htm)), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts

all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or - unenforceable, such provision shall be deemed severable from this award.



#### Employment eligibility verification for hiring under the award

##### 1. The recipient (and any subrecipient at any tier) must--

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--

(1) this award requirement for verification of employment eligibility, and

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

##### 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition. **Part of Public Safety grants subrecipient monitoring process**

### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

### 4. Rules of construction

#### A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

#### B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify ([www.e-verify.gov](http://www.e-verify.gov)), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>) or email E-Verify at [E-Verify@dhs.gov](mailto:E-Verify@dhs.gov). E-Verify employer agents can email E-Verify at [E-VerifyEmployerAgent@dhs.gov](mailto:E-VerifyEmployerAgent@dhs.gov).

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.



### OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for

Grantees and Subgrantees, available at <https://www.ojp.gov/funding/implement/training-guiding-principles-grantees-and-subgrantees>.

## 8

Requirements related to "de minimis" indirect cost rate

N/A

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

## 9

Determination of suitability to interact with participating minors OVP has a process in place (still active?)

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

## 10

Compliance with general appropriations-law restrictions on the use of federal funds (FY 2022)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2022, are set out at <https://www.ojp.gov/funding/Explore/FY22AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

## 11

Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54



The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

## 12

### Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

## 13

Required training for Grant Award Administrator and Financial Manager GMD and PS grants analysts - done

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at <https://onlinegfmt.training.ojp.gov/>. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

## 14

### Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

## 15

### Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

## 16

### Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

## 17

### Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

## 18

N/A

Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at [OJP.ComplianceReporting@ojp.usdoj.gov](mailto:OJP.ComplianceReporting@ojp.usdoj.gov). For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or

financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

## 19

### Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

## 20

### Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

## 21

### Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

#### 1. In accepting this award, the recipient--

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations



of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--

a. it represents that--

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

## 22

Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

## 23

Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000).

This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

## 24

### Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

## 25

### Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

## 26

### Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at

<https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

## 27

All subawards ("subgrants") must have specific federal authorization

Written into the application and has a budget line item; changes made with approval from BJA

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

## 28

Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

## 29

Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient,

or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 30

#### Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards

Consistent with Executive Order 14074, "Advancing Effective, Accountable Policing and Criminal Justice Practices To Enhance Public Trust and Public Safety," OJP has prohibited the use of federal funds under this award for purchases or transfers of specified equipment by law enforcement agencies. In addition, OJP requires the recipient, and any subrecipient ("subgrantee") at any tier, to put in place specified controls prior to using federal funds under this award to acquire or transfer any property identified on the "controlled equipment" list. The details of the requirement are posted on the OJP web site at <https://www.ojp.gov/funding/explore/prohibited-and-controlled-equipment> (Award condition: Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards), and are incorporated by reference here.

### 31

The recipient understands that, in accepting this award, the Authorized Representative declares and certifies, among other things, that he or she possesses the requisite legal authority to accept the award on behalf of the recipient entity and, in so doing, accepts (or adopts) all material requirements that relate to conduct throughout the period of performance under this award. The recipient further understands, and agrees, that it will not assign anyone to the role of Authorized Representative during the period of performance under the award without first ensuring that the individual has the requisite legal authority.

### 32

#### Verification and updating of recipient contact information

Assigned Grants Management Division Analyst

The recipient must verify its Grant Award Administrator, Financial Manager, and Authorized Representative contact information in JustGrants, including telephone number and e-mail address. If any information is incorrect or has changed, the award recipient's Entity Administrator must make changes to contact information through DIAMD. Instructions on how to update contact information in JustGrants can be found at <https://justicegrants.usdoj.gov/training/training-entity-management>.

### 33

#### Compliance with 28 C.F.R. Part 23

With respect to any information technology system funded or supported by funds under this award,

the recipient (and any subrecipient at any tier) must comply with 28 C.F.R. Part 23, Criminal Intelligence Systems Operating Policies, if OJP determines this regulation to be applicable. Should OJP determine 28 C.F.R. Part 23 to be applicable, OJP may, at its discretion, perform audits of the system, as per the regulation. Should any violation of 28 C.F.R. Part 23 occur, the recipient may be fined as per 34 U.S.C. 10231(c)-(d). The recipient may not satisfy such a fine with federal funds.

## 34

### Protection of human research subjects

The recipient (and any subrecipient at any tier) must comply with the requirements of 28 C.F.R. Part 46 and all OJP policies and procedures regarding the protection of human research subjects, including obtainment of Institutional Review Board approval, if appropriate, and subject informed consent.

## 35

### Confidentiality of data

The recipient (and any subrecipient at any tier) must comply with all confidentiality requirements of 34 U.S.C. 10231 and 28 C.F.R. Part 22 that are applicable to collection, use, and revelation of data or information. The recipient further agrees, as a condition of award approval, to submit a Privacy Certificate that is in accord with requirements of 28 C.F.R. Part 22 and, in particular, 28 C.F.R. 22.23.

## 36

### Copyright; Data rights

The recipient acknowledges that OJP reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use (in whole or in part, including in connection with derivative works), for Federal purposes: (1) any work subject to copyright developed under an award or subaward (at any tier); and (2) any rights of copyright to which a recipient or subrecipient (at any tier) purchases ownership with Federal support.

The recipient acknowledges that OJP has the right to (1) obtain, reproduce, publish, or otherwise use the data first produced under any such award or subaward; and (2) authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes. "Data" includes data as defined in Federal Acquisition Regulation (FAR) provision 52.227-14 (Rights in Data - General).

It is the responsibility of the recipient (and of each subrecipient (at any tier), if applicable) to ensure that the provisions of this condition are included in any subaward (at any tier) under this award.

The recipient has the responsibility to obtain from subrecipients, contractors, and subcontractors (if any) all rights and data necessary to fulfill the recipient's obligations to the Government under this award. If a proposed subrecipient, contractor, or subcontractor refuses to accept terms affording the Government such rights, the recipient shall promptly bring such refusal to the attention of the OJP program manager for the award and not proceed with the agreement in question without further authorization from the OJP program office.

## 37



## Justice Information Sharing

Information sharing projects funded under this award must comply with DOJ's Global Justice Information Sharing Initiative (Global) guidelines. The recipient (and any subrecipient at any tier) must conform to the Global Standards Package (GSP) and all constituent elements, where applicable, as described at: [https://it.ojp.gov/gsp\\_grantcondition](https://it.ojp.gov/gsp_grantcondition). The recipient (and any subrecipient at any tier) must document planned approaches to information sharing and describe compliance with the GSP and appropriate privacy policy that protects shared information, or provide detailed justification for why an alternative approach is recommended.

### 38

The recipient agrees that no funds under this grant award (including via subcontract or subaward, at any tier) may be used for unmanned aircraft systems (UAS), which includes unmanned aircraft vehicles (UAV), or for any accompanying accessories to support UAS.

### 39

Any Web site that is funded in whole or in part under this award must include the following statement on the home page, on all major entry pages (i.e., pages (exclusive of documents) whose primary purpose is to navigate the user to interior content), and on any pages from which a visitor may access or use a Web-based service, including any pages that provide results or outputs from the service: "This Web site is funded in whole or in part through a grant from the Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice. Neither the U.S. Department of Justice nor any of its components operate, control, are responsible for, or necessarily endorse, this Web site (including, without limitation, its content, technical infrastructure, and policies, and any services or tools provided)." The full text of the foregoing statement must be clearly visible on the home page. On other pages, the statement may be included through a link, entitled "Notice of Federal Funding and Federal Disclaimer," to the full text of the statement.

### 40

Any written, visual, or audio publications funded in whole or in part under this award, with the exception of press releases, shall contain the following statements: "This project was supported by Grant No. <AWARD\_NUMBER> awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the DOJ Grants Financial Guide provides guidance on allowable printing and publication activities.

### 41

The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.

### 42

## FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at <https://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 43

The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA's/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).

### 44

#### Justification of consultant rate

Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the OJP program office prior to obligation or expenditure of such funds.

### 45

The recipient shall submit semiannual performance reports. Performance reports shall be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. These reports will be submitted to the Office of Justice Programs, on-line through the Internet at <https://justgrants.usdoj.gov>

### 46

GMD analyst

The recipient agrees that it will submit quarterly financial status reports (the SF 425 Federal Financial Report) to OJP in JustGrants, no later than the deadlines set out in the DOJ Financial Guide and the JustGrants guidance (typically 30 days after the end of each calendar quarter). Delinquent reports may lead to funds being frozen and other remedies.

## 47

As of the first day of the period of performance for the award, the recipient may choose to incur project costs using non-federal funds, but any such project costs are incurred at the recipient's risk until, at a minimum, all applicable withholding conditions are removed by OJP (via an Award Condition Modification (ACM)).

Except to the extent (if any) that an award condition expressly precludes reimbursement of project costs incurred "at-risk," if and when the recipient makes a valid acceptance of this award and OJP removes each applicable withholding condition through an Award Condition Modification (ACM), the recipient is authorized to reimburse itself for project costs incurred "at-risk" earlier during the period of performance (such as project costs incurred prior to award acceptance or prior to removal of an applicable withholding condition), provided that those project costs otherwise are allowable costs under the award.

## 48

Limit on use of grant funds for grantees' employees' salaries

With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.

## 49

Recipient integrity and performance matters: Requirement to report information on certain civil, criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at <https://ojp.gov/funding/FAPIIS.htm> (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

## 50

The recipient agrees to comply with all Federal, State, and local environmental laws and regulations applicable to the development and implementation of the activities to be funded under this award.



**Environmental Assessment (EA):** The recipient agrees and understands that funded activities (whether conducted by the recipient or subrecipients or contractors) may require the preparation of an EA as defined by the Council on Environmental Quality's Regulations for implementing the Procedural Provisions of the National Environmental Policy Act (NEPA), found at 40 CFR Part 1500. An EA is a concise public document that briefly provides sufficient analysis for determining whether to prepare an environmental impact statement (EIS) or a finding of no significant impact for the proposed activity. If in completing an EA for a proposed activity, potential adverse environmental impacts are identified, the EA will serve as a vehicle for developing either alternative approaches or mitigation measures for avoiding or reducing the identified adverse environmental impacts.

**Modifications:** Throughout the term of this award, the recipient agrees that for any activity that is the subject of a completed EA, it will inform BJA of-- (1) any change(s) that it is considering making to the previously assessed activity that may be relevant to environmental impact; or (2) any proposed new activities or changed circumstances that may require assessment as to environmental impact, such as new activities that involve the use of chemicals or involve construction or major renovation. The recipient will not implement a proposed change or new activity until BJA, with the assistance of the recipient, has determined whether the proposed change or activity (or changed circumstances) will require additional review under NEPA. Approval for implementation will not be unreasonably withheld as long as any requested modification(s) is consistent with eligible program purposes and found acceptable under a BJA-conducted environmental impact review process.



The recipient agrees not to expend or draw down any award funds until the program office has verified that the recipient has submitted all necessary documentation required to comply with the Department of Justice Procedures for Implementing the National Environmental Policy Act found at 28 CFR Part 61 and an Award Condition Modification (ACM) has been issued removing this condition.

**No more data to load**

☐ *I have read and understand the information presented in this section of the Federal Award Instrument.*

➤ **Award Acceptance**

## Statement of Problem

Consistent with trends in urban areas across the county, Portland, Oregon has recently experienced a sharp increase in gun violence, with homicides and injuries in 2022, up more than 300% compared to 2019.<sup>1</sup> Beyond the devastation to impacted families, the negative impacts of gun violence on public health are felt community-wide<sup>2</sup>; while the economic toll is borne by the entire city.<sup>3</sup> According to a 2020, report, a single homicide costs Portland taxpayers \$1.4 million.<sup>4</sup> However, while impacts may be felt city-wide, the spatial distribution of gun violence incidents are often highly concentrated.<sup>5</sup> As in other urban environments, in Portland these “hot spots” typically intersect with areas experiencing high levels of poverty, disinvestment, and displacement, with the associated public health issues disproportionately impacting communities of color.<sup>6</sup>

In the context of the historic racial justice uprising of 2020, local community members have made clear their desire to see investment in non-police alternatives to gun violence reduction and prevention.<sup>7</sup> The City of Portland’s Community Safety Division’s “Alternative Response and

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<sup>1</sup> Portland Police Bureau, “open-data” available at: <https://www.portlandoregon.gov/police/81203>

<sup>2</sup> Collins, Julie and Emily Swoveland, “The Impact of Gun Violence on Children, Families, & Communities” available at: <https://www.cwla.org/the-impact-of-gun-violence-on-children-families-communities/>

<sup>3</sup> National Institute for Criminal Justice Reform “The Cost of Gun Violence in the City of Portland, OR” (2020) available at: <https://www.portland.gov/oyvp/documents/portland-cost-violence-report-jan-2020/download>

<sup>4</sup> Ibid, pg 4

<sup>5</sup> Weisburd, D. (2015), The Law of Crime Concentration and the Criminology of Place. Criminology, 53: 133-157. <https://doi.org/10.1111/1745-9125.12070>

<sup>6</sup> Krieger N, Feldman JM, Waterman PD, Chen JT, Coull BA, Hemenway D, “Local residential segregation matters: Stronger association of census tract compared to conventional city-level measures with fatal and non-fatal assaults (total and firearm related), using the index of concentration at the extremes (ICE) for racial, economic, and racialized economic segregation, Massachusetts (US), 1995-2010”. *J Urban Health*. 2017; 94(2):244-258. doi: [10.1007/s11524-016-0116-z](https://doi.org/10.1007/s11524-016-0116-z)

<sup>7</sup> The Portland Committee for Community Engaged Policing (PCCEP) “Core Patrol Service Recommendations” 2021. Available at: <https://www.portland.gov/wheeler/news/2021/8/16/reimagining-community-safety>

Prevention” unit was developed in part to address this demand. Within this unit is the Community Investment Team (CIT), which oversees several programs designed to perform Community Violence Interventions (CVIs), including the Safe Blocks Program (SBP). The SBP recently achieved success implementing place-based interventions in a hot-spot to reduce violence and increase overall feelings of safety among residents.<sup>8</sup> The John Jay College of Criminal Justice Research Advisory Group describes the place-based CVI opportunity as follows: “Behavior responds to situational and environmental influences. In addition to changing behavior one person at a time, communities should create physical environments that reduce violence with cost-effective, place-based interventions that are structural, scalable, and sustainable...Violence can be reduced by increasing pro-social bonds and anti-violence norms across communities.”<sup>9</sup>

This grant will fund a large-scale pilot to address gun violence in Portland by pairing geographic data on hot-spots with the tools of place-based CVI to “lower the temperature” of an area, reducing death and injury, and increasing overall wellness in the community. As such, the SPB identified three neighborhoods: Hazelwood (zip codes - 97216, 97220, 97230, 97233); Eliot (zip codes – 97227 and 97212); and Powellhurst-Gilbert (zip codes - 97236, 97266)<sup>10</sup> for program implementation. The three sites suffer from the effects of historical disinvestment and in recent years, high incidents of gun violence. See Appendices A, B, and C for demographic details. This

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<sup>8</sup> Eadens, Savannah, (2023) “A Perfect Storm of Violence Overwhelmed NE Portland Block: Then the City Tried Something New” *The Oregonian*, available at: <https://www.oregonlive.com/crime/2023/01/perfect-storm-of-violence-vice-overwhelmed-ne-portland-block-then-the-city-tried-something-new.html>

<sup>9</sup> John Jay College Research Advisory Group on Preventing and Reducing Community Violence (2020). *Reducing Violence Without Police: A Review of Research Evidence*. New York, NY: Research and Evaluation Center, John Jay College of Criminal Justice, City University of New York. Available at : <https://johnjayrec.nyc/2020/11/09/av2020/>

<sup>10</sup> City of Portland, Office of Community and Civic Life, “Neighborhood Profiles” available at: <https://www.portland.gov/civic/myneighborhood/neighborhood-profile-maps>

pilot combines proven social and environmental CVI methodology with community engagement to reduce violence in three underserved communities.

### **Project Design - Planning and Implementation**

This pilot is inspired in part by New York City’s NeighborhoodStat (NStat), in which “residents work in teams to identify key issues underlying safety and participate with government agencies and community-based organizations (CBOs) in the decision making to address these priorities.”<sup>11</sup> Portland’s pilot program will reside in the city’s Community Safety Division Community Investment Team (CIT) and will be operationalized by the existing Safe Blocks Program (SBP).

The SBP team members are the CSD subject matter experts in Crime Prevention Through Environmental Design (CPTED); their assessments help residents and businesses identify interventions that increase safety and reduce criminal activity. In 2022, the SBP oversaw a project termed the “micro-location pilot” under the City of Portland’s Gun Violence Emergency Declaration, which resulted in decreased calls for police service by 31% and a reduction in shooting incidents by one. See Appendix D for report. In partnership with multiple city bureaus and stakeholders, SBP staff performed CPTED assessments and interventions, engaged with residents and businesses in the focus area, supported community-led initiatives to bring positive activity into the space, participated in community walks, and built relationships to support long-term community building efforts. Grant funds will allow SBP to launch this full-scale place-based

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<sup>11</sup> New York City, NeighborhoodStat Playbook, 2021, pg. 17. Available at: <https://map.cityofnewyork.us/wp-content/uploads/2022/04/NStatPlaybookFINALForPrint2022optimized.pdf>

CVI pilot in order repeat and expand upon the successes of the “micro-location pilot.” This new, fully funded pilot is guided by three core process tools: Community engagement, place-based investment, and inter-agency collaboration.

The first step of place-based CVI programming is identifying the specific geographic area in need of intervention. The CSD is currently working with Dr. Jonathan Jay of Boston University to better understand where the high-risk locations in Portland exist and why. Dr. Jay’s Shape-Up algorithm analyzes aerial imagery along with location and demographic data to predict the overall risk of gun violence in a specific area.<sup>12</sup> See Appendix E for a Shape-up model link and example. Synthesizing this information with crime data reporting and ethnographic knowledge, locations are selected for evaluation by a work group that includes CSD and CIT leadership, as well as SBP staff. Evaluation is based on crime data, Shape-up maps, demographic research, asset mapping, initial CPTED assessments, and meetings with potential partners. Once selected for intervention, community engagement begins.

This place-based CVI model is rooted in participatory justice and research. The CSD’s approach to violence reduction and prevention understands that community participation and ownership in solutions is critical to the success of any intervention.<sup>13</sup> For these reasons, robust community engagement is a core element of every phase of the program. Grant funding will be

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<sup>12</sup> Boston University, Research on Innovations for Safety and Equity (RISE) Lab, (2022) “Shape-Up One Pager” available at: <https://sites.bu.edu/riselab/files/2022/04/Shape-Up-1-pager-Spring-2022.pdf>

<sup>13</sup> Nubani, L., Fierke-Gmazel, H., Madill, H., & De Biasi, A. (2023). Community Engagement in Crime Reduction Strategies: A Tale of Three Cities. *Journal of Participatory Research Methods*, 4(1). Available at: [Community Engagement in Crime Reduction Strategies: A Tale of Three Cities | Published in Journal of Participatory Research Methods \(scholasticahq.com\)](https://scholasticahq.com/Community-Engagement-in-Crime-Reduction-Strategies-A-Tale-of-Three-Cities/)

used to develop communication, engagement, and ideation strategies with local organizations specializing in this skillset. During planning stages, a survey is distributed to the area's community members, with the intention of understanding residents' perceptions regarding quality-of-life issues within their neighborhoods. See **Appendix F** for sample survey. SPB staff and engagement partners convene meetings with stakeholders regularly; attendance at these meetings is encouraged by word of mouth, social media, print flyers, and local networks. As these meetings grow and themes emerge, smaller, focused work groups are established. In all meetings, the community is encouraged to discuss concerns, share experience and wisdom, identify safety priorities, and brainstorm solutions. To this forum, SPB staff bring their expertise in CPTED principles and social programming, and an ability to coordinate partners.

To develop an intervention plan for an area, SBP staff partner with stakeholders to identify social programmatic activities, which may include youth engagement, resource fairs, block parties, community walks, and street mural painting. Evidence for this type of intervention stems from research into the developmental pathways of both positive and negative human social behaviors. Criminology research in this domain has identified the theory of "collective efficacy," which links high levels of social cohesion with less disorder and crime.<sup>14</sup> Based on community input, the plan for interventions will include projects designed to build relationships and increase trust to achieve the cohesion necessary to disrupt criminal activity.

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<sup>14</sup> Sampson, R. J., Raudenbush, S. W., & Earls, F. (1997, August 15). Neighborhoods and violent crime: A multi-level study of collective efficacy. *Science*, 277, 918–924

In parallel, the SBP team will work with stakeholders to identify opportunities to improve safety with modifications of the physical environment. These projects could include the installation of playgrounds or other community gathering spaces, vacant lot remediation, and/or traditional CPTED interventions to improve traffic flow, pedestrian safety, lighting needs, and access control. The theoretical basis for this type of intervention stems from investigations into “root causes” of violence.<sup>15</sup> Research focused on the relationship between accumulated structures of disinvestment/poverty and interpersonal violence indicate that structural, place-based investment can counter-act even decades of neglect. Recent case studies bear this out. A “cleaning and greening program” reduced residents safety concerns when going outside their homes by 58%, while decreasing crime overall by 9%, gun violence by 17%, and police-reported nuisances by 28%.<sup>16</sup> Abandoned building remediations were associated with a 39% reduction in firearm assaults.<sup>17</sup> Turning several local streets into cul-de-sacs resulted in 40% fewer traffic accidents, while overall crime dropped 26%, and violent crime decreased by half.<sup>18</sup> A study on the effect of street lighting on found a 36% reduction in night-time outdoor, violent crime after the introduction of lighting.<sup>19</sup> The plan for intervention co-developed with community and agency partners will

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<sup>15</sup> John Jay College, Ibid.

<sup>16</sup> Branas, Charles C., Eugenia South, Michelle C. Kondo, Bernadette C. Hohl, Philippe Bourgois, Douglas J. Wiebe and John M. MacDonald (2018). [Citywide cluster randomized trial to restore blighted vacant land and its effects on violence, crime, and fear](#). Proceedings of the National Academy of Sciences, 115(12), 2946-2951.

<sup>17</sup> Kondo, Michelle C., Danya Keene, Bernadette C. Hohl, John M. MacDonald and Charles C. Branas (2015). [A difference-in-differences study of the effects of a new abandoned building remediation strategy on safety](#). PloS one, 10(7), e0129582.

<sup>18</sup> Lasley, James R. (1996). [Using traffic barriers to “design out” Crime: A program evaluation of LADP’s Operation Cul-de-Sac](#). Report to the National Institute of Justice. Washington, DC: National Institute of Justice.

<sup>19</sup> Chalfin, Aaron, Benjamin Hansen, Jason Lerner and Lucie Parker (2019). [Reducing crime through environmental design: Evidence from a randomized experiment of street lighting in New York City](#). National Bureau of Economic Research Working Paper No. w25798.

include projects to improve the physical environment of the area in order to reduce violence and increase feelings of safety.

During planning stages and beyond, SBP team members will leverage inter-agency relationships to call attention to and implement “low-hanging” fruit interventions to reduce blight-related risks, such as street light repair, trash hauling, graffiti removal, and/or abandoned car towing. This typically involves coordination with city and county bureaus including but not limited to: Portland Bureau of Transportation, Portland Parks and Recreation, and Bureau of Developments Services. Grant funding may be used to assist agency partners in their work.

Once the plans for place-based projects have been co-created with the communities, implementation begins. Using grant funding, the SPB Program Coordinator, along with other SPB staff, will fund, oversee, and manage the implementation of CVI projects identified in the planning process. To implement pro-social activities and projects, local CBOs will be engaged to assist the communities in carrying them out. As a capacity building measure, grant funding may be utilized to provide CBOs with necessary technical assistance to meet communication, administrative, and accounting demands associated with federal grant management. Grant funding will also be used to purchase the necessary materials and supplies. Private contractors and/or non-profit service providers may be hired to complete the projects. While much of the physical labor work is performed by CBOs, contractors, and agencies, communities will be offered opportunities to contribute their own time, energy, and expertise whenever appropriate. Along the way, SPB staff will continue to meet with stakeholders to discuss progress, problem solve, and collect feedback.



Upon completion of the project and following a period of time intended to allow interventions to have their intended impact, the final evaluation and analysis process begins

### **Capabilities and Competencies**

This program will be housed in CSD, which is charged with four action areas: Building alternative emergency service models; sending the right responder to the call, aligning governance structure with goals, and reducing the need for public safety response.<sup>20</sup> Within CSD, the Alternative Response and Prevention Unit (ARPT) oversees CIT, whose portfolio includes the SBP, which is tasked with administering the pilot program described in these pages.

The ARPT is managed by Lisa Freeman, whose professional background includes 15 years of project management experience, including 10 years in federal service with USAID's Office of Transition Initiatives (OTI) designing and managing complex political transition programs overseas in countries such as Afghanistan, Syria, and Nigeria. Her education focused on peace and conflict studies, she is a trained facilitator, which she draws on to coordinate and build consensus among diverse stakeholders. Freeman's experience at OTI informed her design and supervision of the "micro-location" pilot that serves as a model for this program. As Unit Manager, Freeman will assist and advise CIT and SBP staff in the administration of the pilot. The CIT is led by Jenni Pullen, whose nearly 16 years at the City of Portland include 15 years as the Community Safety Program Coordinator for the Office of Civic Life, where she gained deep expertise in community engagement, situational crime prevention, crime prevention through environmental design

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<sup>20</sup> City of Portland, *Community Safety Division 2022 Annual Report*. Available at: <https://www.portland.gov/community-safety/documents/community-safety-division-report-april-2021-april-2022/download>

(CPTED), emergency planning, and team management. As team leader, Pullen will directly oversee program design, management, and implementation of the pilot via direct supervision of the project coordinator and SBP project managers and community coordinators. The SBP project managers and community coordinators facilitate the pilot and related projects while providing subject matter expertise in place-based crime prevention. SBP staff are highly trained in CPTED assessment tools and interventions and spend most of their time in direct engagement with the communities they serve. In service of this pilot, SPB staff perform research and assessment related to selected locations, work alongside communication and outreach partners to engage the community, identify potential interventions, and evaluate potential partners in implementation. The Program Coordinator is a full-time limited term engagement position funded by this grant. This individual will be responsible for coordination between management, staff, and partners, as well as the administrative and reporting duties associated with federal grant management. They will be available to assist stakeholders and city staff alike troubleshooting issues related to program administration and project execution.

### **Plan for Data Collection / Performance Measures**

Federal grants fund will allow a holistic strategy help neighborhoods address crime with data-driven and evidence-based interventions. As a grant funded pilot project, evaluation is critical. As a program centered on community engagement, the participatory action research model is embraced when appropriate. Data collection begins in planning stages and continues until well after projects are complete. Through an existing contract with Social Impact Partners, the CSD is already receiving evaluation and analysis support of work performed under Portland's Gun

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City of Portland, Oregon Community Safety Division  
Proposal Narrative - Application Attachment 1

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Violence Emergency Declaration. Their scope includes building evaluation and data collection capacity in CVI programs. To this end, ARPT plans to hire a General Fund full time (1.0 FTE) Evaluation Specialist to support the development of performance measures, indicators, and methods. This individual will be directly involved in supporting this pilot’s evaluation objectives.

Performance measures for this pilot fall into four domains: Public health/crime data, community feedback (including participation, engagement, and perceptions), interagency/partner cooperation, and intervention outcomes. Specific indicators for all are selected at the start of each location and monitored throughout. Data collection is performed by SPB staff, stakeholders, and grant funded partners, a process informed by the unit’s Evaluation Specialist. Analysis of all data is performed in the year following the completion of the project and reported back to all stakeholders, including participating agencies. Existing relationship with research partners will be leveraged whenever possible to build data collection and analysis capacity within the CIT/SBP team.

Domain:	Indicator:	Collected by:	Collection means:
Public health/crime	Incidence of violence, overall crime, frequency of service calls	Portland Police Bureau, Portland Bureau of Transportation	Quantitative: Strategic Services
Community Engagement, Participation, and Perception	Survey completion, Meeting participation, project and program feedback, perception changes over time	SBP staff, grant funded partners, community	Quantitative and Qualitative: Surveys, interviews, workshops.
Interagency and partner cooperation	Number of partners engaged, success of engagements, timeline of engagements	Project Coordinator	Quantitative and Qualitative: Detailed in After Action Reports
Intervention outcomes	Number of interventions started, number completed, post-project community feedback, SBP staff feedback, intervention durability	Project Coordinator, SBP staff, grant funded partners, community	Quantitative and Qualitative: Post-project surveys and de-briefs with stakeholders.

**Budget Summary**

*Note: Any errors detected on this page should be fixed on the corresponding Budget Detail tab.*

	Year 1		Year 2 (if needed)		Year 3 (if needed)		Year 4 (if needed)		Year 5 (if needed)		
Budget Category	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Total(s)
A. Personnel	\$61,074	\$0	\$85,859	\$0	\$89,815	\$0	\$94,308	\$0	\$0	\$0	\$331,056
B. Fringe Benefits	\$36,506	\$0	\$43,870	\$0	\$45,309	\$0	\$46,694	\$0	\$0	\$0	\$172,379
C. Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
D. Equipment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
E. Supplies	\$96,050	\$0	\$114,400	\$0	\$114,400	\$0	\$114,400	\$0	\$0	\$0	\$439,250
F. Construction	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
G. Subawards (Subgrants)	\$50,000	\$0	\$50,000	\$0	\$50,000	\$0	\$35,000	\$0	\$0	\$0	\$185,000
H. Procurement Contracts	\$154,880	\$0	\$154,880	\$0	\$154,880	\$0	\$209,875	\$0	\$0	\$0	\$674,515
I. Other	\$49,450	\$0	\$49,450	\$0	\$49,450	\$0	\$49,450	\$0	\$0	\$0	\$197,800
<b>Total Direct Costs</b>	<b>\$447,960</b>	<b>\$0</b>	<b>\$498,459</b>	<b>\$0</b>	<b>\$503,854</b>	<b>\$0</b>	<b>\$549,727</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,000,000</b>
J. Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total Project Costs</b>	<b>\$447,960</b>	<b>\$0</b>	<b>\$498,459</b>	<b>\$0</b>	<b>\$503,854</b>	<b>\$0</b>	<b>\$549,727</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,000,000</b>
Does this budget contain conference costs which is defined broadly to include meetings, retreats, seminars, symposia, and training activities? - Y/N											No