

**Oregon Department of Transportation
LOCAL AGENCY CERTIFICATION PROGRAM
Supplemental Project Agreement No. 31084
HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP)**

Project Name HSIP 2016 Signalized Improvements (Portland)

THIS AGREEMENT is made and entered into by and between THE STATE OF OREGON, acting by and through its Department of Transportation, hereinafter referred to as "State;" and the City of Portland acting by and through its elected officials, hereinafter referred to as "Agency," both herein referred to individually or collectively as "Party" or "Parties."

RECITALS

1. By the authority granted in Local Agency Certification Program Agreement No. 30890 incorporated herein and by this reference made a part hereof, State may enter into this Supplemental Project Agreement with Agency for the performance of work on this improvement Project. The Certification Program allows State to certify a Local Agency's procedures and delegates authority to the certified Local Agency to administer federal-aid projects.
2. The following streets are all a part of the Agency's city street system under the jurisdiction and control of Agency:
 - NE 122nd Avenue
 - NE 102nd Avenue
 - NE Halsey Street
 - SE Division Street
 - SE Holgate Boulevard
 - NE Sandy Boulevard
 - NE Broadway
 - NE Martin Luther King Jr Boulevard
3. Moving Ahead for Progress in the 21st Century Act (MAP-21) expanded the National Highway System (NHS) to incorporate all principal arterials, which were previously not included, including facilities owned by Local Public Agencies.
4. The following sections of the overall Project are on Agency streets which are locally-owned and maintained principal arterials and/or intermodal connectors incorporated into the NHS pursuant to MAP-21:
 - NE Halsey St: 102nd Avenue to 162nd Avenue
 - SE Division St: I-205 northbound on-ramp to 174th Avenue

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- SE Holgate Blvd: Milwaukie Avenue to 26th Avenue
 - NE Broadway: Larrabee Avenue to Grand Avenue
 - NE Sandy Blvd: 28th Avenue to 47th Avenue
 - NE Martin Luther King Jr Blvd: Dekum Street to Lloyd Boulevard
5. Mike Morrow, Senior Field Operations Engineer, of the Federal Highway Administration (FHWA), Oregon Division, authorized State to allow certified Local Public Agencies to perform work, in areas in which they have been certified, on federal-aid projects when the projects are on local owned principal arterials that are part of the NHS. Said authorization is memorialized in a March 13, 2013 letter on file with State's Certification Program Manager.

NOW THEREFORE the premises being in general as stated in the foregoing Recitals, it is agreed by and between the Parties hereto as follows:

DEFINITIONS

1. "Contract Award" means the issuance of a Notice to Proceed (NTP) to the construction contractor.
2. "Contract Time" means amount of time for completing the bid item work under the contract.
3. "Final Acceptance" means written confirmation by Agency and State that the project has been completed according to the contract, with the exception of any latent defects and warranty obligations, if any, and has been accepted.
4. "Final Payment" means the final payment amount due to the contractor calculated by the total amount due to the contractor minus the sum of all payments previously made. Final payment is made after Third Notification is issued.
5. "Funding Ratio" means the relationship between HSIP funds and total project cost and Other funds and the total project cost.
6. "Obligation" means FHWA approval that allows a specific phase of a project to commence with spending that can be reimbursed with federal funds.
7. "Other funds" means other funding required to complete the project including but not limited to state, federal, and agency funds.
8. "Project Completion" means Final Acceptance of the project, Final Payment to the contractor has been made by the State and project documentation is completed per the ODOT Construction Manual.
9. "Project Overruns" means the final cost estimate at contract award exceeds the estimated total project cost estimate in this Agreement, or the final actual project costs exceeds the final cost estimate at contract award.

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10. "Project Underrun" means the final cost estimate at contract award is below the estimated total project cost in this Agreement, or the final actual project costs are below the final cost estimate at contract award.
11. "Project Closeout" means project is ready to close as there are no more expenditures associated with project.
12. "Total Project Cost" means the estimated amount as shown in this Agreement. This amount will include HSIP funds, local matching funds, and other funds as required to complete the project as stated in this Agreement.
13. "Second Notification" means a written acknowledgment by the Engineer or Project Manager that according to 00180.50(g) of the Oregon Standard Specifications for Construction, all on-site work is complete. Second Notification also establishes the end of Contract Time and lists remaining requirements for the contractor to complete prior to Third Notification.
14. "Third Notification" means written acknowledgement by the Engineer or Project Manager, subject to Final Acceptance, that as of the date of the notification the contractor has completed the project according to the contract, including without limitation completion of all minor corrective work, equipment and plant removal, site clean-up and submittal of all certifications, bills, forms and documents required under the contract.

TERMS OF AGREEMENT

1. Under such authority, State and Agency agree to Agency designing and constructing HSIP 2016 Signalized Improvements (Portland), hereinafter referred to as "Project" and further described in Exhibit A, attached hereto and by this reference made a part hereof.
2. The Project will be conducted as a part of the Highway Safety Improvement Program (HSIP) under Title 23, United States Code. The total Project cost is estimated at \$2,572,162, which is subject to change. The HSIP funds for the Project shall be limited to \$2,572,162. Agency shall be responsible for all remaining costs, including match and any non-participating costs, and all costs in excess of the available HSIP funds.
3. The Funding Ratio for this Project is 100% of HSIP funds to 0% Agency funds and applies to Project Underruns. The Funding Ratio does not apply in the case of Project Overruns.
4. If, at the time of Contract Award or Project Closeout, the Project Underruns the estimated Total Project Cost in this Agreement, HSIP funding and Other Funds will be obligated proportionally based on the Funding Ratio. Any unused HSIP funds, will be retained by State, and will not be available for use by Agency for this Agreement or any other projects.
5. Project Overruns which occur at the time of Contract Award, or at the time of Project Closeout is the responsibility of the Agency.
6. At or before the 30% plan review milestone and submittal of the Design Acceptance Package (DAP), Agency will notify State in writing if any of the Project elements listed in

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- Exhibit A will not move forward to construction and State will reduce the allocation of HSIP funds for construction by the amount listed in Exhibit A for that Project element.
7. If State performs work throughout the duration of the Project, State will provide a preliminary estimate of State costs for said work to Agency. Prior to the start of each Project phase State will provide an updated estimate of State costs from that phase to Agency. Such phases generally consist of Preliminary Engineering, Right of Way, Utility, and Construction. Agency understands that State's costs are estimates only and agrees to reimburse State for actual cost incurred per the Terms of this Agreement.
 8. The scope, schedule, progress report requirements, and Project Change Request process are described in Exhibit A. Agency agrees to the conditions set forth in Exhibit A.
 9. Agency shall make all payments for work performed on the Project, including all construction costs, and invoice State for 100 percent of its costs. State shall reimburse Agency invoices at the pro-rated federal share. All costs beyond the federal and state reimbursement, any deposited local funds, and any non-participating costs will be the responsibility of the Agency. State shall simultaneously invoice FHWA and Agency for State's Project costs, and Agency agrees to reimburse State for the federal-aid matching state share and any non-participating costs as determined in accordance with paragraph number 3, above upon receipt of invoice. Failure of Agency to make such payments to State may result in withholding of Agency's proportional allocation of State Highway Trust Funds until such costs are paid. Agency understands that State's costs are estimates only and agrees to reimburse State for the actual amount expended.
 10. a. Information required by 2 CFR 200.331(a), except for (xiii) Indirect cost rate, shall be contained in the USDOT FHWA Federal Aid Project Agreement for this Project, a copy of which shall be provided by ODOT to Agency with the Notice to Proceed.

b. The indirect cost rate for this project at the time the agreement is written is 79.27 % and may change upon notice to State and ODOT's subsequent written approval. Agency may have other indirect cost rates for departments and or disciplines that have been approved for use by their cognizant agency and ODOT and these rates may be used on the Project, as applicable.
 11. Agency shall design advertise, bid, award the construction contract, and perform construction administration. Agency agrees to comply with all of the terms and conditions found in Certification Program Agreement No. 30890.
 12. State will submit the requests for federal funding to FHWA. The federal funding for this Project is contingent upon approval by the FHWA. Any work performed prior to acceptance by FHWA will be considered nonparticipating and paid for at Agency expense. State's Regional Local Agency Liaison or designee will provide Agency with a written notice to proceed when FHWA approval has been secured and funds are available for expenditure on this Project.

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13. State considers Agency a subrecipient of the federal funds it receives as reimbursement under this Agreement. The Catalog of Federal Domestic Assistance (CFDA) number and title for this Project is 20.205, Highway Planning and Construction.
14. The term of this Agreement shall begin on the date all required signatures are obtained and shall terminate upon completion of the Project and final payment or ten (10) calendar years following the date all required signatures are obtained, whichever is sooner.
15. Local Agency Certification Program Agreement No.30890 was fully executed on September 18, 2015. This Agreement is subject to the terms and provisions of the Local Agency Certification Program Agreement.
16. If Agency fails to meet the requirements of this Agreement or the underlying federal regulations, State may withhold the Agency's proportional share of Highway Fund distribution necessary to reimburse State for costs incurred by such Agency breach.
17. State may conduct periodic inspections during the life of Agency Certification Projects to verify that Projects are being properly maintained and continue to serve the purpose for which federal funds were provided.
18. Agency shall require its contractor(s) and subcontractor(s) that are not units of local government as defined in ORS 190.003, if any, to indemnify, defend, save and hold harmless the State of Oregon, Oregon Transportation Commission and its members, Department of Transportation and its officers, employees and agents from and against any and all claims, actions, liabilities, damages, losses, or expenses, including attorneys' fees, arising from a tort, as now or hereafter defined in ORS 30.260, caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of Agency's contractor or any of the officers, agents, employees or subcontractors of the contractor ("Claims"). It is the specific intention of the Parties that State shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of State, be indemnified by the contractor and subcontractor from and against any and all Claims.
19. Any such indemnification shall also provide that neither Agency's contractor and subcontractor nor any attorney engaged by Agency's contractor and subcontractor shall defend any claim in the name of the State of Oregon or any agency of the State of Oregon, nor purport to act as legal representative of the State of Oregon or any of its agencies, without the prior written consent of the Oregon Attorney General. The State of Oregon may, at any time at its election assume its own defense and settlement in the event that it determines that Agency's contractor is prohibited from defending the State of Oregon, or that Agency's contractor is not adequately defending the State of Oregon's interests, or that an important governmental principle is at issue or that it is in the best interests of the State of Oregon to do so. The State of Oregon reserves all rights to pursue claims it may have against Agency's contractor if the State of Oregon elects to assume its own defense.
20. This Agreement may be terminated by mutual written consent of both Parties.

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21. State may terminate this Agreement effective upon delivery of written notice to Agency, or at such later date as may be established by State, under any of the following conditions:
 - a. If Agency fails to provide services called for by this Agreement within the time specified herein or any extension thereof.
 - b. If Agency fails to perform any of the other provisions of this Agreement, or so fails to pursue the work as to endanger performance of this Agreement in accordance with its terms, and after receipt of written notice from State fails to correct such failures within ten (10) days or such longer period as State may authorize.
 - c. If Agency fails to provide payment of its share of the cost of the Project.
 - d. If State fails to receive funding, appropriations, limitations or other expenditure authority sufficient to allow State, in the exercise of its reasonable administrative discretion, to continue to make payments for performance of this Agreement.
 - e. If federal or state laws, regulations or guidelines are modified or interpreted in such a way that either the work under this Agreement is prohibited or if State is prohibited from paying for such work from the planned funding source.
22. Any termination of this Agreement shall not prejudice any rights or obligations accrued to the Parties prior to termination.
23. Agency, as a recipient of federal funds, pursuant to this Agreement with State, shall assume sole liability for Agency's breach of any federal statutes, rules, program requirements and grant provisions applicable to the federal funds, and shall, upon Agency's breach of any such conditions that requires State to return funds to the FHWA, hold harmless and indemnify State for an amount equal to the funds received under this Agreement; or if legal limitations apply to the indemnification ability of Agency, the indemnification amount shall be the maximum amount of funds available for expenditure, including any available contingency funds or other available non-appropriated funds, up to the amount received under this Agreement.
24. State and Agency hereto agree that if any term or provision of this Agreement is declared by a court of competent jurisdiction to be invalid, unenforceable, illegal or in conflict with any law, the validity of the remaining terms and provisions shall not be affected, and the rights and obligations of the Parties shall be construed and enforced as if the Agreement did not contain the particular term or provision held to be invalid.
25. Agency certifies and represents that the individual(s) signing this Agreement have (has) been authorized to enter into and execute this Agreement on behalf of Agency, under the direction or approval of its governing body, commission, board, officers, members or representatives, and to legally bind Agency.
26. This Agreement may be executed in several counterparts (facsimile or otherwise) all of which when taken together shall constitute one agreement binding on all Parties,

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notwithstanding that all Parties are not signatories to the same counterpart. Each copy of this Agreement so executed shall constitute an original.

27. This Agreement and the Local Agency Certification Program (Certification Program) Agreement No. 30890, as amended, and all attached exhibits constitutes the entire agreement between the Parties on the subject matter hereof. In the event of conflict, the body of this Agreement and the attached Exhibits will control over Project application and documents provided by Agency to State. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this Agreement. No waiver, consent, modification or change of terms of this Agreement shall bind either Party unless in writing and signed by both Parties and all necessary approvals have been obtained. Such waiver, consent, modification or change, if made, shall be effective only in the specific instance and for the specific purpose given. The failure of State to enforce any provision of this Agreement shall not constitute a waiver by State of that or any other provision.
28. State's Project Liaison for the Agreement is Sue D'Agnese, Region 1 Traffic Program Manager, 123 NW Flanders, Portland, OR 97209, (503) 731-3427, Susanne.L.DAGNESE@odot.state.or.us, or assigned designee upon individual's absence. State shall notify the other Party in writing of any contact information changes during the term of this Agreement.
29. Agency's Project Liaison for this Agreement is Jean Senechal Biggs, Project Manager, Portland Bureau of Transportation, 1120 SW 5th Avenue, Suite 800, Portland, OR 97204. (503)-823-7211. jean.senechalbiggs@portlandoregon.gov, or assigned designee upon individual's absence. Agency shall notify the other Party in writing of any contact information changes during the term of this Agreement

SIGNATURE PAGE TO FOLLOW

THE PARTIES, by execution of this Agreement, hereby acknowledge that their signing representatives have read this Agreement, understand it, and agree to be bound by its terms and conditions.

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This Project is in the 2015-2018 Statewide Transportation Improvement Program (STIP), (Key #19528) that was adopted by the Oregon Transportation Commission on December 18, 2014 (or subsequently approved by amendment to the STIP).

CITY OF PORTLAND, acting by and
through its elected officials

By _____

Title _____

Date _____

By _____

Title _____

Date _____

**APPROVED AS TO LEGAL
SUFFICIENCY**

By  _____
Agency Legal Counsel

Date 2.24.16 _____

Agency Contact:

Jean Senechal Biggs, Project Manager
Portland Bureau of Transportation
1120 SW 5th Avenue, Suite 800
Portland, OR 97204
(503) 823-7211
jean.senechalbiggs@portlandoregon.gov

State Contact:

Sue D'Agnese
Region 1 Traffic Program Manager
123 NW Flanders, Portland, OR 97209
(503) 731-3427
Susanne.L.DAGNESE@odot.state.or.us

STATE OF OREGON, acting by and
through its Department of Transportation

By _____
Highway Division Administrator

Date _____

APPROVAL RECOMMENDED

By _____
Certification Program Manager

Date _____

By _____
Region 1 Manager

Date _____

**APPROVED AS TO LEGAL
SUFFICIENCY**

By _____
Assistant Attorney General

Date _____

EXHIBIT A

Project Cost Estimate, Progress Reports and Project Change Request Process

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Key Number: K19528

Project Name: HSIP 2016 Signalized Improvements (Portland)

1. Project Description

	Install New 12-12 Signal Heads with Reflectorized Backplate	Respan	Structural Analysis	Install Reflectorized Backplates	Install Illumination Heads	Cost Estimate
NE 102ND AVENUE						
NE Sandy Blvd	None	None	None	1 Intersection	2 Luminaires	\$15,455
NE Prescott St	None	None	None	1 Intersection	1 Luminaire	\$11,240
NE Fremont St	None	None	None	1 Intersection	None	\$7,025
NE Wielder St	6 Signals	3 sides	Yes	None	None	\$123,640
Winco / Multnomah	4 Signals	None	None	1 Intersection	None	\$23,885
NE Pacific St	1 Signal	None	None	1 Intersection	None	\$11,240
NE Glisan St	None	None	None	1 Intersection	None	\$7,025
E Burnside St	18 Signals	None	None	None	None	\$75,988
SE Stark St	None	None	None	1 Intersection	None	\$7,025
SE Washington St	None	None	None	1 Intersection	None	\$7,055
NE 122ND AVENUE						
Airport Way	None	None	None	1 Intersection	None	\$6,750
NE Shaver St	8 Signals	4 sides	Yes	1 Intersection	None	\$160,645
NE Fremont St	None	None	None	1 Intersection	2 Luminaires	\$14,850
NE San Rafael St	None	None	None	1 Intersection	1 Luminaire	\$10,800
NE Halsey St	None	None	None	1 Intersection	None	\$6,750
NE Glisan St	None	None	None	1 Intersection	None	\$6,750

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	Install New 12-12 Signal Heads with Reflectorized Backplate	Respan	Structural Analysis	Install Reflectorized Backplates	Install Illumination Heads	Cost Estimate
E Burnside St	4 signals	None	None	1 Intersection	None	\$22,950
NE BROADWAY						
N Larrabee Ave	None	None	None	1 Intersection	None	\$6,625
N Benton Ave	None	None	None	1 Intersection	None	\$6,625
NE Victoria Ave	None	None	None	1 Intersection	None	\$6,625
NE 2nd Ave	None	None	None	1 Intersection	None	\$6,625
NE MLK Jr Blvd	None	None	None	1 Intersection	None	\$6,625
NE Grand Ave	None	None	None	1 Intersection	None	\$6,625
NE 16th Ave	None	None	None	1 Intersection	None	\$6,625
NE 21st Ave	7 Signals	3 sides	None	None	None	\$107,325
NE BROADWAY (CONT)						
NE 24th Ave	None	None	None	1 Intersection	None	\$6,625
NE 33rd Ave	9 Signals	4 sides	Yes	None	None	\$154,937
NE 37th Ave	3 Signals	None	Yes	1 Intersection	None	\$31,800
NE 39th Ave	3 Signals	None	Yes	1 Intersection	None	\$31,800
NE 42nd Ave	8 Signals	None	Yes	None	None	\$45,050
SE DIVISION STREET						
SE 11th Ave	None	None	None	1 Intersection	None	\$10,050
SE 12th Ave	None	None	None	1 Intersection	3 Luminaires	\$28,140
SE 21st Ave	None	None	None	None	1 Luminaire	\$6,030
SE 26th Ave	None	None	None	1 Intersection	1 Luminaire	\$16,080
SE 34th Ave	None	None	None	None	1 Luminaire	\$6,030

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	Install New 12-12-12 Signal Heads with Reflectorized Backplate	Respan	Structural Analysis	Install Reflectorized Backplates	Install Illumination Heads	Cost Estimate
SE 50th Ave	None	None	None	None	3 Luminaires	\$18,090
SE 52nd Ave	None	None	None	1 Intersection	2 Luminaires	\$22,110
SE 60th Ave	None	None	None	1 Intersection	2 Luminaires	\$22,110
SE 71st Ave	None	None	None	None	1 Luminaire	\$6,030
SE 76th Ave	None	None	None	None	1 Luminaire	\$6,030
SE 92nd Ave	None	None	None	1 Intersection	None	\$10,050
SE 122nd Ave	None	None	None	1 Intersection	None	\$10,050
SE 145th Ave	None	None	None	None	1 Luminaire	\$6,030
SE 148th Ave	None	None	None	1 Intersection	None	\$10,050
SE 162nd Ave	None	None	None	1 Intersection	None	\$10,050
SE 168th Ave	None	None	None	None	1 Luminaire	\$6,030
SE 174th Ave	12 Signals	None	None	None	None	\$72,376
NE HALSEY STREET						
NE 47th Ave	8 Signals	None	Yes	None	None	\$40,460
NE 53rd Ave	8 Signals	4 sides	Yes	None	None	\$135,660
NE 57th Ave	None	None	None	None	2 Luminaires	\$7,140
NE 74th Ave	6 Signals	3 sides	Yes	None	1 Luminaire	\$108,562
NE 84th Ave / Jonesmore	4 Signals	None	None	1 Intersection	4 Luminaires	\$34,510
NE 100th Ave	6 Signals	2 sides	Yes	1 Intersection	None	\$86,870
NE 148th Ave	None	None	None	None	3 Luminaires	\$10,710

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	Install New 12-12-12 Signal Heads with Reflectorize d Backplate	Respan	Structural Analysis	Install Reflectorized Backplates	Install Illumination Heads	Cost Estimate
SE HOLGATE BLVD						
SE Milwaukie Ave	None	None	None	None	2 Luminaires	\$8,100
SE 17th Ave	None	None	None	1 Intersection	1 Luminaire	\$10,800
SE 26th Ave	8 Signals	4 sides	Yes	None	None	\$153,458
SE 39th Ave	None	None	None	None	1 Luminaire	\$4,050
SE Foster Rd	None	None	None	None	4 Luminaires	\$16,200
SE 72nd Ave	8 Signals	None	Yes	None	None	\$45,900
SE 92nd Ave	2 Signals	None	None	1 Intersection	None	\$14,850
SE 104th Ave	None	None	None	None	1 Luminaire	\$4,050
NE MLK Jr Blvd						
Dekum	6 Signals	None	Yes	1 Intersection	None	\$37,405
Rosa Parks	8 Signals	None	Yes	1 Intersection	None	\$44,206
Ainsworth	8 Signals	None	Yes	1 Intersection	None	\$44,206
Killingsworth	8 Signals	None	Yes	1 Intersection	None	\$44,206
Alberta	8 Signals	None	Yes	1 Intersection	None	\$44,206
Prescott	6 Signals	None	Yes	1 Intersection	None	\$37,405
Skidmore	6 Signals	None	Yes	1 Intersection	None	\$37,405
Shaver	8 Signals	None	Yes	1 Intersection	None	\$44,206
Fremont	8 Signals	None	Yes	1 Intersection	None	\$44,206
Morris	8 Signals	None	Yes	1 Intersection	None	\$44,206

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	Install New 12-12-12 Signal Heads with Reflectorize d Backplate	Respan	Structural Analysis	Install Reflectorized Backplates	Install Illumination Heads	Cost Estimate
Knott	7 Signals	None	Yes	1 Intersection	None	\$40,806
Russell	2 Signals	None	Yes	1 Intersection	None	\$23,803
Tillamook	9 Signals	None	Yes	1 Intersection	None	\$47,524
Holladay	5 Signals	None	Yes	1 Intersection	None	\$34,005
Oregon	2 Signals	None	Yes	1 Intersection	None	\$23,803
Lloyd	3 Signals	None	Yes	1 Intersection	None	\$27,204
NE SANDY BLVD						
28th	8 Signals	None	Yes	None	None	\$38,692
47th	4 Signals	None	Yes	None	None	\$25,027

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EXHIBIT A (CONT)

2. This Project is subject to progress reporting and project change process as stated below.
3. **Monthly Progress Reports (MPR)** - Agency shall submit monthly progress reports using MPR Form 734-2935, incorporated by reference and made a part of this Agreement. The Monthly Progress Report is due by the 5th day of each month, starting the first month after execution of this Agreement, and continuing through Project completion. .

The fillable MPR form and instructions are available at the following website:
http://www.oregon.gov/ODOT/TD/AT/Pages/Forms_Applications.aspx

4. **Project Milestones** – The Parties agree that the dates shown in Table 1 constitute the intended schedule for advancing and completing the Project. Project Milestones may only be changed through amendment of this Agreement, after obtaining an approved Project Change Request.

Table 1: Project Milestones

	Milestone Description	Completion Date
1	Obligation (Federal Authorization) of federal funds for the Preliminary Engineering phase of Project	06/2016
2	Obligation (Federal Authorization) of federal funds for the Right of Way phase of the Project	06/2016
3	Obligation (Federal Authorization) of federal funds for the Construction phase of Project	06/2017

5. **Project Change Request (PCR) Process** - Agency must obtain approval from State's Liaison for changes to the Project's scope, schedule, or budget as specified in paragraphs 6a, 6b and 6c, below. Agency shall be fully responsible for all costs that occur outside the established Project scope, schedule or budget and prior to an approved PCR. Amendments to this Agreement are required for all approved PCRs.
 - a. **Scope** - A PCR is required for any significant change or reduction in the scope of work described in the Project Description (Paragraph 1 of this Exhibit). A significant change in project scope includes any scope element or item that:
 - i. Would increase project cost by 10% or \$100,000, whichever is less;
 - ii. Is outside of the intent of the current project scope, as determined by the ODOT Program Manager; or,
 - iii. Does not meet the minimum standards of the American Association of State Highway and Transportation Officials (AASHTO) or the Manual on Uniform Traffic Control Devices (MUTCD).

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- b. **Schedule**— A PCR is required if Agency or State's Project Liaison anticipate that any Project Milestone will be delayed by more than ninety (90) days, and also for any change in schedule that will require amendment of the Statewide Transportation Improvement Program (STIP).
 - c. **Budget** – Total Project Cost and approved funds for the Project are controlled by Terms of Agreement, paragraph 2 of this Agreement.
6. **PCR Form** - Agency must submit all change requests using PCR Form 734-2936, attached by reference and made a part of this Agreement. The PCR Form is due no later than thirty (30) days after the need for change becomes known to Agency. The PCR shall explain what change is being requested, the reasons for the change, and any efforts to mitigate the change. A Project Change Request may be rejected at the discretion State's Area Manager.

The fillable PCR form and its instructions are available at the following website:
http://www.oregon.gov/ODOT/TD/AT/Pages/Forms_Applications.aspx

7. **Consequence for Non-Performance** - If Agency fails to fulfill its obligations in paragraphs No. 3 through No. 7 above, or does not assist in advancing the Project or perform tasks that the Agency is responsible for under the Project Milestones, State's course of action through the duration of Agency's default may include: (a) restricting Agency consideration for future funds awarded through State's managed funding programs, (b) withdrawing unused Project funds, and (c) terminating this Agreement as stated in Terms of Agreement, Paragraphs No. 21a and 21b of this Agreement.