Portland, Oregon
FINANCIAL IMPACT and PUBLIC INVOLVEMENT STATEMENT
For Council Action Items

(Deliver original to Financial Planning Division. Retain copy.)

1. Name of Initiator	2. Telephone No.	3. Bureau/Office/Dept.
Tim Collins	(503) 823-5033	Water / Engineering Services / Design

4a. To be filed (date):	4b. Calendar (Check One)	5. Date Submitted to Commissioner's office and CBO Budget Analyst: May 7, 2014
May 15, 2014	Regular ☐ Consent ☒ 4/5ths ☐	

6a. Financial Impact Section:	6b. Public Involvement Section:
☒ Financial impact section completed	☒ Public involvement section completed

1) Legislation Title:
Amend a Contract with Baseline Industrial Construction, Inc. for additional rock excavation to complete the Alder Creek Fish Passage Project for an amount not to exceed $137,898.32 (Ordinance; amend Contract No. 30003442)

2) Purpose of the Proposed Legislation:

The Water Bureau entered into Contract No. 30003442 with Baseline Industrial Construction, Inc. for the Alder Creek Fish Passage project. This amendment is for additional rock excavation to complete the Alder Creek Fish Passage Project for an amount not to exceed $137,898.32.

Change Order 1 through 3 of the Contract with Baseline Industrial Construction, Inc. provided for an increase of $112,014.92 to the contract in support of the following:

- Removal of debris piles located on Lots 35, 37 and 38 after determining debris contained no hazardous material.
- Incentive payment authorized per the contract for In-water Work required by the completion date of September 30, 2013. Work was performed and completed by September 23, 2013.
- Additional rock excavation and miscellaneous quantity adjustments.
- The original contract estimated 37.5 Cubic Yards for rock excavation. Once Alder Creek was dewatered, additional rock excavation was required by Baseline Industrial Construction, Inc. to reach design elevations. The funds required to remove the additional 37.5 Cubic Yards of rock excavation was authorized by Change Order 3.

A final change order is necessary to add funds to the contract with Baseline Industrial Construction, Inc. to pay the total costs of the rock excavation work that was completed.

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3) **Which area(s) of the city are affected by this Council item? (Check all that apply—areas are based on formal neighborhood coalition boundaries)?**

☒ City-wide/Regional   ☐ Northeast   ☐ Northwest   ☐ North
☐ Central Northeast   ☐ Southeast   ☐ Southwest   ☐ East
☐ Central City

FINANCIAL IMPACT

4) **Revenue: Will this legislation generate or reduce current or future revenue coming to the City? If so, by how much? If so, please identify the source.**

No.

5) **Expense: What are the costs to the City as a result of this legislation? What is the source of funding for the expense?** *(Please include costs in the current fiscal year as well as costs in future year, including Operations & Maintenance (O&M) costs, if known, and estimates, if not known. If the action is related to a grant or contract please include the local contribution or match required. If there is a project estimate, please identify the **level of confidence**.)*

The original Contract No. 30003442, with Baseline Industrial Construction, Inc., was $448,059.68. Change Order 1 through 3 added $112,014.92. Change Order 4 will add $137,898.32 to the contract and result in a final contract total of $697,972.92, which results in a net increase of 55.78% to the total contract. Funding is available in the FY 2013-14 Budget and this contract will be closed in the current fiscal year.

6) **Staffing Requirements:**

- **Will any positions be created, eliminated or re-classified in the current year as a result of this legislation?** *(If new positions are created please include whether they will be part-time, full-time, limited term, or permanent positions. If the position is limited term please indicate the end of the term.)*
  No.
- **Will positions be created or eliminated in *future years* as a result of this legislation?**
  No.

(Complete the following section only if an amendment to the budget is proposed.)

7) **Change in Appropriations** *(If the accompanying ordinance amends the budget please reflect the dollar amount to be appropriated by this legislation. Include the appropriate cost elements that are to be loaded by accounting. Indicate "new" in Fund Center column if new center needs to be created. Use additional space if needed.)*

Fund	Fund Center	Commitment Item	Functional Area	Funded Program	Grant	Sponsored Program	Amount

[Proceed to Public Involvement Section — REQUIRED as of July 1, 2011]

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PUBLIC INVOLVEMENT

8) Was public involvement included in the development of this Council item (e.g. ordinance, resolution, or report)? Please check the appropriate box below:

☐ **YES**: Please proceed to Question #9.

☒ **NO**: Please, explain why below; and proceed to Question #10.

This project is complete. No public involvement was required as this is a change to a bid item quantity.

9) If "YES," please answer the following questions:

a) What impacts are anticipated in the community from this proposed Council item?

b) Which community and business groups, under-represented groups, organizations, external government entities, and other interested parties were involved in this effort, and when and how were they involved?

c) How did public involvement shape the outcome of this Council item?

d) Who designed and implemented the public involvement related to this Council item?

e) Primary contact for more information on this public involvement process (name, title, phone, email):

10) Is any future public involvement anticipated or necessary for this Council item? Please describe why or why not.

No. The Council item is a contract change order and will be followed by a Report to Council to close out the contract. No further public involvement is necessary.

David G. Shaff, Administrator

APPROPRIATION UNIT HEAD (Typed name and signature)