

11410 SW 68th Parkway, Tigard OR 97223 Mailing Address - PO Box 23700, Tigard OR 97281-3700 Toll free - 888-320-7377 Fax - 503-598-0561 Website - https://oregon.gov/pers



Oregon Public Service Retirement Plan (OPSRP) Pension Program and Individual Account Program (IAP) Retirement Application

Section A: Applican	t information									
First name		MI	Last	name				PERS ID	(optional)	
Mailing address (street or PO box)								Social Se	ecurity number (SSN)*	
City State ZIP code						Country		Date of b	pirth (mm/dd/yyyy)	
Home phone number Work phone number Cell phone					one nu	ımber	Email	•		
Section B: Effective	ve retirement d	ate								
My PERS retirement of	date is the first day	y of								
			Montl					Year		
Section C: OPSRP	retirement optio	n elec	tion	(plea	se ch	eck only one	of the fo	llowing reti	rement options)	
☐ Single Life Option	☐ Full-Survivor	-	•					ivorship Optic		
	☐ Full-Survivor	rship In	creas	se Opt	ion		Half-Surv	ivorship Incre	ase Option	
Section D: OPSRP	beneficiary desi	gnatio	n (re	equire	ed if	a survivorsh	ip option	is selected)		
Beneficiary's full name					Bene	Beneficiary's SSN (mandatory)				
Beneficiary's date of birth	(mm/dd/yyyy)				Bene	Beneficiary's relationship to you				
Section E: Spousal	consent and sing	gle me	mbei	r acki	nowl	edgement (re	equired)			
Member acknowledgment Must sign in the presence of a notary. ☐ As of my effective retirement date, I am married.				N	Spousal consent (Required if married.) Must sign in the presence of a notary. ☐ I consent to the option and beneficiary my spouse					
☐ As of my effective						selected.				
Applicant's signature		Date			Sı	Spouse's signature Date				
	Notary Public	•					Not	ary Public		
State of	County of				St	tate of		County of		
Applicant name					S	Spouse name				
Signed before me on this d	ate					Signed before me on this date				
By (notary's signature)				В	By (notary's signature)					

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^{*}Providing your Social Security number (SSN) is mandatory, and PERS is authorized to request it under provisions of the Internal Revenue code. It will primarily be used to comply with mandatory IRS reporting. It could also be used for confirmation purposes or recovery of overpaid funds. In compliance with the Americans with Disabilities Act, PERS will provide help filling out this form upon request. You may request help by calling toll free 888-320-7377 or TTY 503-603-7766.

First name	MI	Last name	Social Security number					
Section F: IAP distribution election								
Select only one.								
☐ One-time lump-sum distribution (rollover eligi for IAP Rollover-Eligible Distributions if you								
□ 5-year installment distribution (rollover elig Form for IAP Rollover-Eligible Distribution Select frequency: □ Monthly □ Quarterly	s if you	are not rolling over 100 percent of you						
□ 10-year or □ 15-year or □ 20-year installment Select frequency: □ Monthly □ Quarterly This is not rollover eligible. Fill out the W-4	☐ Anı	nually						
☐ Anticipated Life-Span Option installment di Select frequency: ☐ Monthly ☐ Quarterly This is <u>not</u> rollover eligible. Fill out the <u>W</u> -	¬ □ Anı	nually						
Section G: IAP payment distribution								
Complete this section only if you chose a one-t If you select #2, please include all rollover in			nent distribution in Section F .					
1. \square Do not roll over. Send installment(s) direct	ctly to m	e.						
2. ☐ Roll over my installment(s) to:								
☐ Traditional IRA ☐ Roth IRA	☐ Anot	ther deferred compensation or employe	er plan					
☐ Oregon Savings Growth Plan (OSGP) You	must be	a current OSGP participant to roll over	your installment(s) to OSGP.					
IRA/trustee name:								
3. Rollover a specific percentage or dollar a	mount							
☐ Roll over percent of my p	ayment	or □ Roll over \$ of m	ny payment.					

Note: If you are rolling over funds to another deferred compensation or employer plan other than the Oregon Savings Growth Plan (OSGP), you must have an authorized representative of the plan complete the <u>IAP Direct Transfer Rollover Acceptance</u> form. All IAP rollover checks other than to OSGP will be mailed to you with the trustee as the payee.

First 1	name	MI	Last name			Social Security number		
	ion H: IAP beneficiary election							
☐ I e ☐ I e Yo per SS	t only one. lect to use the standard beneficiary de lect to use the specific designation of but may designate more than one benefic reentage must equal 100 percent. Add N at the top of the page.	eneficiary ciary and the additional	Complete perce	ete the table below ntage of the accou jaries on a separate	nt distribute	d to each. The total	l	
	rific beneficiary #1	want to des	signate:					
_	nary beneficiary If living; otherwise, to #	#1 alternate	beneficia	ary(ies).				
#1	Name of person or charity	Social Secur		Date of birth	Relationshi	p	Percentage	
	rnate beneficiary(ies): How many alte efit will go to those named below if #1 spec				esignate?			
#1a	Name of person or charity	Social Secur	rity #	Date of birth	Relationshi	p	Percentage	
#1b	Name of person or charity	Social Secur	rity #	Date of birth	Relationshi	p	Percentage	
		#2 alternate	beneficia	ary(ies).				
#2	Name of person or charity	Social Secur	rity#	Date of birth	Relationshi	p	Percentage	
Alte (Bene	rnate beneficiary(ies): How many alte efit will go to those named below if #2 spec	rnate bene	ficiaries	do you want to deceased.)	esignate?			
#2a	Name of person or charity	Social Secur	rity#	Date of birth	Relationshi	p	Percentage	
#2b	Name of person or charity	Social Secur	rity #	Date of birth	Relationshi	p	Percentage	
_	cific beneficiary #3 nary beneficiary If living; otherwise, to #	#3 alternate	beneficia	ary(ies).				
#3	Name of person or charity	Social Secur		Date of birth	Relationshi	p	Percentage	
	rnate beneficiary(ies): How many alte efit will go to those named below if #3 spec				esignate?		<u> </u>	
#3a	Name of person or charity	Social Secur	rity#	Date of birth	Relationshi	p	Percentage	
#3b	Name of person or charity	Social Secur	rity#	Date of birth	Relationshi	p	Percentage	
	any of the above named primary beneficiar benefit that was designated to that benefic							

First name	MI	Last name Social Security number						
Section I: Citizenship								
Are you a United States citizen or resident alien? Select only one. ☐ Yes ☐ No (Complete a <u>W-8BEN form.</u>)								
Section J: Acknowledgement of Receipt of	Section J: Acknowledgement of Receipt of Federal Tax Information Disclosure (required) and federal tax							
30-day waiver (optional)								
☐ I have received and read the Federal Tax	Informa	ation Disclosure.						
☐ I waive my right to the 30-day period for rev	viewing	the Federal Tax Information Disclosur	e.					
Section K: Working after retirement ack	knowled	dgment						
By signing below, I acknowledge that I have received and read the PERS document entitled Working After Retirement Information for OPSRP Retirees.								
Section L: Applicant signature								
Sign, date, and print this form. By signing this application, I acknowledge that the information I have provided above is correct.								
Applicant's signature Date								

2021 Form W-4P

Department of the Treasury Internal Revenue Service



Form W-4P is for U.S. citizens, resident aliens, or their estates who are recipients of pensions, annuities (including commercial annuities), and certain other deferred compensation. Use Form W-4P to tell payers the correct amount of federal income tax to withhold from your payment(s).

Contact the Oregon Department of Revenue in Salem at 503-378-4988 or http://www.oregon.gov/DOR/pages/index.aspx for questions regarding Oregon state tax.

Print and sign this form. Form W-4P is not valid unless you sign and date each part of the form you complete. Incomplete forms will be returned. **Mail to:** PERS, PO Box 23700, Tigard, OR 97281-3700 or fax to 503-598-0561.

	ding or a change to an existing withhole g (Complete Parts A and B) ng withholding	ding? (Select one.)	2. Are you an Oregon resident? (Select one.) ☐ Yes ☐ No (Complete Part B, line 1 if you want no Oregon tax withheld.)					
3. Account type (Select	all that apply for this withholding). To in	dicate different with	holdings for each	ch account, complete a sep	parate form	W-4P for each account.		
□ OPSRP □ IAP	installments of 10 years or longer	OPSRP Alte	ernate payee	OPSRP Beneficia	ıry 🗆	OPSRP Disability		
Form W-4P	Federal Tax	Withho	lding (Certificate		OMB No. 1545-0074		
Part A	for Pensio For Privacy Act and Papers	n or Anr	nuity P	ayments see instructions page	g <u>e 5</u> .	20 21		
Your first name and middle i	initial	Last name			Your Social	Security number*		
Home address (number and	street or rural route)					entification number (if any) of on or annuity contract (optional)		
City or town, state, and ZIP	code					N/A		
Complete the follow 1 Check here if you	ing applicable lines. do not want any federal income tax	withheld from yo	ur pension or	annuity. (Do not compl	ete lines 2	2 or 3.) •		
(You also may des	llowances and marital status you are ignate an additional dollar amount o ☑ Single ☐ Married ☐ Married, bu	n line 3.)						
3 Additional amount enter an amount he The amount you en	, if any, you want withheld from ear re without entering the number (incluter on line 3 will be deducted in add	ch pension or annu uding zero) of allo	nity payment. (wances on lin	Note: For periodic pay		ou cannot		
entered on line 2. Your signature ▶		Da	ite					
Non-Oregon re	Oregon State tax withholdin or have an existing O esidents who do not want s	regon State tax	x withholdi	ng on file with PEI	RS.			
Form W-4P	Oregon State	Tax With	holdin	g Certifica	te			
Part B	for Pensio For Privacy Act and Paperv				ge 5.	2021		
Your first name and middle	initial	Last name	-	-	Your Social Security number			
Home address (number and street or rural route)						Claim or identification number (if any) of your pension or annuity contract (optional)		
City or town, state, and ZIP	code					14/11		
Complete the follow 1 Check here if you	ing applicable lines. do not want any state income tax w	rithheld from your	pension or an	nuity. (Do not complete	e lines 2 o	r 3.)		
(You also may desi	owances and marital status you are of gnate an additional dollar amount or	ı line 3.)			annuity p	bayment. (Enter number of allowances.)		
3 Additional amount enter an amount he	Single Married Married, b, if any, you want withheld from each re without entering the number (incluter on line 3 will be deducted in add	h pension or annui uding zero) of allo	ty payment. (Nowances on lin	Note: For periodic payne 2.)		cannot \$		
Your signature ► Date ►								

Form W-4P (2021)

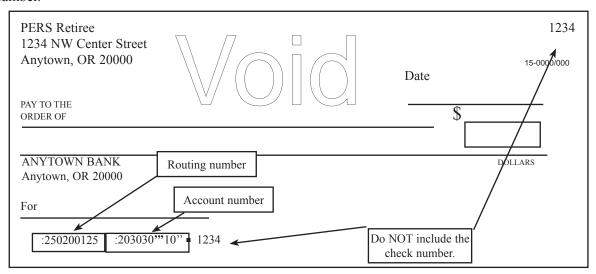
Cat. No. 10225T

Authorization Agreement for Automatic Deposits

PERS encourages you to deposit your benefit payment directly to your bank or other financial institution for the following reasons:

- the deposit is always on time rather than depending on mail delivery;
- there is no risk your benefit payment will be stolen or lost; and
- if you are on vacation or ill, you will not have to make arrangements for your benefit to be deposited by someone else.

To have your benefit payment deposited directly, complete this form. Note: If you use automatic deposit for your monthly check and you receive more than one monthly check from PERS, all of your PERS accounts will be changed to this account number



Please attach a voided check for deposit to a checking account. See the blank check guide above for information on where the routing and account numbers are located on your checks.

PERS must coordinate with your financial institution, and your first monthly check may be mailed to you. Future changes to your account number may result in a monthly check to be mailed to you. All payments other than your monthly benefit will be mailed to you. Therefore, you should always provide PERS with a current mailing address.

An information stub will be mailed three times per year to your current mailing address.

Section A: Applicant information

Fill this section out completely.

Check which plan(s) this automatic deposit applies to.

Note: If you have more than one plan and want the bene ts to go to two separate accounts, you must ll out a separate Authorization Agreement for Automatic Deposits form for each account.

Check a box to let us know if you want the funds deposited into a checking, savings, or business account.

Sign and date the form.

Provide the required information about your account.

Section B: International ACH determination (required)

To comply with federal requirements, please check or initial one of the boxes in this section.

Check box 1 or initial if the entire amount being directly deposited **will not** go to a bank outside of the United States. This applies to most applicants.

Check box 2 or initial if you have instructed your United States bank to transfer or "sweep" 100 percent of your direct deposit funds into a foreign bank.

Note: PERS can only deposit funds to banks in the United States. This also applies to a direct deposit that will be transferred 100 percent into a bank outside of the United States.



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Authorization Agreement for Automatic Deposits

Section A: Applicant information (Typ	e or pri	nt clearly in da	rk in	ık. Illegib	le forms 1	may be re	turned t	o applio	cant. Tl	his coul	ld dela	y your r	eque	est.)
First name	MI	Last name					PEF	RS nun	nber (optior	nal)			
Mailing address (street or PO box)							Soci	ial Sec	urity	numb	er*			
City	State	Zip			Count	ry	Date	e of bi	rth (n	ım-dd	-уууу)		
Day phone number	Eveni	ng phone nu	mbe	er			Email (optional)							
Which plan is this for? (Check all that apply) ☐ Tier One/Tier Two ☐ Individual Account Program (IAP) ☐ OPSRP Pension Program ☐ Alternate Payee ☐ Beneficiary ☐ Other														
Type of account (check one) Checking (Attach a voided or canceled check.) Savings (Do not attach a voided or canceled check.) Business (Check this box if the checking or savings account is set up at your bank as a business or commercial account.)														
Applicant certification - Required I certify I have read and understand the informations on this form. In signing this form, I authorize to be sent to my financial institution and deponated account. I authorize amounts transferred transmitted in error to be debited from my achave been withdrawn following my date of domy financial institution to release the name as person(s) responsible for withdrawing the fur Signature of payee Date	t r	PERS of the death of the above named applicant and that funds deposited into the account listed below after the date of death												
Name of financial institution	Acco	ount number	· (SI	how the	number	exactly	includi	ng nec	essarv	snace	es zero	nes or	dash	nes)
										Space	3, 201			
Branch name and number Branch telephone number Routing number														
Financial institution mailing address (street or PO box number) City State Zip+4 code														
Attach your voided or canceled check here. (Optional). (For checking accounts only.) Do not attach a deposit slip.														
Section B: International ACH deternational ACH	mina	tion (requ	iire	ed)										
You must check or initial one of the bo	oxes b	below. See	ins	structi	ons.									
1 The entire amount of my direct deposited to a bank outside the U		ment is	2 The entire amount of my direct deposit payment is ultimately deposited to a bank outside the U.S.											

Section C: Revocation instructions

This authorization is to remain in full force and effect until the Oregon Public Employees Retirement System (PERS) has received written notification from me (or either of us) of its termination in such time and manner as to afford PERS and the financial institution a reasonable opportunity to act on it.

*Providing your Social Security number (SSN) is voluntary. It will be used for confirmation purposes. If you choose not to supply your SSN, it may take PERS staff longer to process your form. In compliance with the Americans with Disabilities Act, PERS will provide help filling out this form upon request. You may request help by calling toll free 888-320-7377 or TTY 503-603-7766.



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Tax Withholding for IAP Rollover-Eligible Distributions

This form is strictly for IAP members who choose a one-time or 5-year distribution.

Section A: Applican	t information (T	ype or p	rint clearly in dark ink. I	llegible forms may	be returned to	applicant. This could delay your request.)
First name		MI	Last name		Social Security number (SSN)*	
Mailing address (street or P	O box)					PERS ID (optional)
City		State ZIP code		Country		Date of birth (mm/dd/yyyy)
Home phone number	Work phone number	<u> </u>	Cell phone number Email (optional)		ptional)	-
Section B: Federal t	•	•		. 411-	t - C	
Federal law requires	PERS to within	oia 20	percent from the	e taxable am	iount of yo	our payment.
If you want to have n additional amount you				withheld, che	eck the box	a provided, and enter the
☐ Withhold \$		00 n	nore than the man	ndatory 20 p	ercent fed	eral tax withheld.
Section C: Oregon t	tax withholding					
	old 8 percent fo	or Ore	gon state tax unl	ess you chec	k the box	in this section directing PERS
☐ Do not withhold O	regon state inco	me ta	x (8 percent will	be withheld	if box is 1	not checked).
If you want to have nadditional amount yo				withheld, c	heck the b	ox provided, and enter the
☐ Withhold \$.00	more	than the 8 perce	nt for Orego	n state inc	come tax.
Section D: Applicant	t signature					
Applicant signature (do n	ot print)			Date		
Applicant name (print)						Office use only PERS OPSRP X IAP Member Alternate payee
				Cross reference member SSN		



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IAP Direct Transfer Rollover Acceptance

Section A: Applicant information (Type or print clearly in dark ink. Illegible forms may be returned to applicant. This could delay your request.)

This form is strictly for the IAP. Call PERS or visit our website if this is not the form you need.

First name	MI	Last name	PERS ID	Social Security number*					
Section B: Rollover Acceptance									
Retirement Systems plan, a	an, I l qualif	nereby accept the direct transied retirement plan under Ir	sfer rollover from to ternal Revenue Co	the Oregon Public Employees de 401(a), as specified below.					
Choose one here: The plan will will not accept and separately account for after tax dollars.									
Section C: Rollover account	nt info	ormation							
Financial institution name _									
Rollover account number (n	nandat	tory)							
Rollover plan type									
Section D: Rollover mailing address and confirmation									
Address									
City			State	Zip					
Name and title									
Section E: Authorized sign	ature)							
My signature below indicate	s acce	ptance of the rollover of con	ntributions and earn	ings.					
Authorized signature (do not print	t)	Date							
If authorized representative signature is not available, have the plan administrator authorize the acceptance of the transfer by written confirmation. Call our Member Services toll-free 888-320-7377 if you have additional questions.									
Please complete and return	this	form immediately to avoid	any delay in prov	iding benefits.					
Fax or mail the Direct Tran Oregon PERS	nsfer]	Rollover Acceptance form	to:	Office use only					
PO Box 23700				☐ PERS ☐ OPSRP ☑ IAP ☐ Member ☐ Alternate payee					
Tigard, OR 97281-3700 Fax - 503-598-0561				☐ Cross reference member SSN					
Fax - 503-576-0501									

*Providing your Social Security number (SSN) is voluntary. It will be used for confirmation purposes. If you choose not to supply your SSN, it may take PERS staff longer to process your form. In compliance with the Americans with Disabilities Act, PERS will provide help filling out this form upon request. You may request help by calling toll free 888-320-7377 or TTY 503-603-7766.

IAP Form #459-388 (7/31/2019) SL3 IIM Code: 12157



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Verification of Age or Identity

Photocopies of birth-date documents and, if applicable, beneficiary birth-date documents are required before benefits are paid. We will not accept documents that are incomplete, appear to be altered, or **are difficult to read.** If your documents are not accepted, you will need to submit new photocopies. Please include your PERS ID or Social Security number* on all documents submitted, including beneficiary documents.

Group 1

If one item in this group is furnished showing birth dates, no further evidence of age is needed.

Any ONE of these:

- Copy of Oregon driver's license or ID card if issued on or after February 4, 2008 (current or expired)
- Copy of REAL ID driver's license, driver's permit, or ID card issued by any state** (current or expired)
- Birth verification issued by state, county, or country (documents issued by foreign governments in a language other than English need to include a translation into English certified by a notary public, public agency, or other public official)
- American Indian Reservation Age Verification
- Infant baptism certificate
- Hospital birth certificate (if signed by attending physician or issued by state)
- Passport (current or expired)
- School-age record
- Naturalization or citizenship papers
- Family Bible record (if this record is furnished, include the
 following information certified by a notary public or other
 public official: copy of all family record entries in the Bible
 referring to applicant and parents, brothers, and sisters;
 Bible publication date or apparent age of Bible; when birth
 date was entered and by whom)

Group 2

Two items in this group from different sources are sufficient if age or birth date is shown.

Any TWO of these:

Example: One child's birth certificate and one driver's license

- A notarized affidavit by an older, immediate family member in a position to know the birth date (e.g., father, mother, etc.)
- · Certificate of military record
- Marriage record (record must show your age or date of birth at time of marriage)
- Any other state's driver's license or ID card. (must be current)
- County voter registration (must show your age or date of birth; do not send in your precinct card)
- Copy of child's birth certificate if it shows age of parents
- Social Security record (record must be displayed on an estimate of benefits or screen print from the Social Security office; document must be dated within last 12 months)
- Military ID (military record DD214)
- Concealed weapons permit
- If it is impossible for you to furnish the proof required in Group 1 or 2, write to PERS with a full explanation.
- Since the documents submitted cannot be returned, we suggest using photocopies. If it is illegal to copy a document, bring it in, and PERS will verify the birth information.
- Be sure to put the PERS member's Social Security number on all documents so they are properly recorded.

Reference: OAR 459-013-0040 **FS 459-029** (3/28/2022)

^{*}Providing your Social Security number (SSN) is voluntary. It will be used for confirmation purposes. Failure to supply your SSN may delay the processing of this form.

^{**}A compliant REAL ID will have a picture of a star, or a star cutout in the upper right-hand corner of the card. In lieu of REAL IDs, some states also have issued "enhanced" driver's licenses, driver's permits, or ID cards. Enhanced cards are REAL ID compliant and will bear an American flag emblem and the word "enhanced" on the front of the card.