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CITY OF  
**PORTLAND, OREGON**

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PORTLAND HOUSING BUREAU

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EXHIBIT A

April 28, 2015

To: Portland City Council

From: Kim McCarty, Program Coordinator

Regarding: 2015-2016 One Year Action Plan of the 2011-2016 Consolidated Action Plan

Exhibit A is a summary of the 2015-2016 One Year Action Plan update. To view the full draft of the federal funding application please go to the Portland Housing Bureau website at the Consolidated Plan, <http://www.portlandoregon.gov/phb/66289>. This substantially complete draft will be finalized, reformatted and reposted after submission to HUD on May 15<sup>th</sup>.

City of Portland Summary  
City of Gresham  
Multnomah County

## CONSOLIDATED PLAN

One Year ACTION PLANS  
FY 2015-2016



This is a photo of a family at thier home rehabilitated by Rebuilding Together Portland. Rebuilding Together Portland provides free home repair services to low-income elderly and disabled households.

## Acknowledgements

Charlie Hales, Mayor, City of Portland  
Dan Saltzman, Commissioner of Housing, City of Portland  
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Deborah Kafoury, Chair of the Board of County Commissioners, Multnomah County

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### Portland Housing Advisory Commission

Fair Housing Advocacy Committee  
Gresham Community Development and Housing Sub-committee  
Policy Advisory Board of Multnomah County



# FY 2015-2016 Action Plan

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# Section 1

## Introduction and Overview

FY 2015-2016 Action Plan

## Priorities Overview

In compliance with HUD regulations, the Portland Consortium presents these Action Plans for FY 2015-16. The members of the Portland Consortium are the City of Portland, the City of Gresham and Multnomah County (representing the unincorporated portions and smaller cities within its boundaries). These plans represent the fourth set of Action Plans in the 2011-2016 multi-year cycle of coordinated planning by the Portland Consortium. HUD requires that the Consortium establish priorities for the allocation of federal resources. **PRIORITIES FOR THE FIFTH ONE-YEAR ACTION PLAN OF THE 2011-2016 CONSOLIDATED PLAN FOR MULTNOMAH COUNTY, GRESHAM AND PORTLAND**

Over the remaining year, the Consortium will make investments of federal housing and community development resources according to the following priorities. These priorities should be construed broadly, to include all activities that might reasonably advance the policy objective, and are *not* presented in Priority Order.

### **Consortium Priority 1: Increase the production and preservation of rental housing, with an emphasis on rental homes for households who face the greatest challenges finding housing in the private market.**

- We will preserve the affordability of existing, privately-owned, federally-subsidized rental housing projects covered by affordability contracts that would otherwise expire.
- We will invest in programs that improve the quality of rental housing.
- We will invest in housing units that are affordable and accessible to people transitioning out of homelessness.
- We will promote fair and non-discriminatory access to quality affordable rental housing for all households in all neighborhoods throughout Multnomah County.
- We will work to align non-capital resources like Section 8, Continuum of Care and homeless service funding to the production of new housing units to support both the financial stability of the housing and the people calling the units home over the long haul.

#### **Gresham-specific rental housing sub-priorities:**

- Focus on rental homes affordable to households with incomes at or below 50 percent of the area's Median Family Income (MFI).
- Focus on housing senior citizens and special needs populations.

#### **Portland-specific rental housing sub-priorities:**

- Emphasize the production of deeply affordable units.
- Establish appropriate production targets for a range of housing affordable and desirable to Portland's diverse population whose housing needs are not met by the private market, including our low-wage workforce.
- Develop geographic priorities for capital investment in housing to promote complementary goals of economic revitalization, prevention of residential displacement and sustainable communities.
- Manage existing housing assets to meet the community's housing needs while conserving public resources.
- Work with community partners to leverage family-wage construction jobs and other opportunities for economic participation created by housing production to advance the Portland Housing Bureau's equity goals.

### **Multnomah County-specific rental housing sub-priority:**

- Invest in housing programs that focus on providing decent, stable housing for those with greatest need.

### **Consortium Priority 2: Invest in programs with a proven ability to transition people quickly and permanently from homelessness to housing and in programs that efficiently and sustainably prevent homelessness.**

- While we will maintain a basic safety net that includes shelter and services that provide a level of safety off the street, we will give a higher priority to investments that truly prevent or end homelessness. Over time, we believe investing in programs under this priority will reduce the need for shelters.
- We will work to prevent homelessness through limited interventions like rent assistance, foreclosure and eviction prevention, and low-cost repairs that make housing safe and accessible for low-income owners and renters is cost-effective and makes sense.
- We will shift resources from less-focused, more costly programs to strategies with a proven track record for efficiency and success.
- We will ensure that investments under this priority equitably benefit all communities experiencing homelessness or the threat of homelessness.
- We will work to reduce time spent in shelter beds waiting for housing placement by better aligning programs like rental assistance that help move people into permanent housing.

### **Multnomah County-specific homelessness prevention sub-priority:**

- Invest in housing programs that focus on providing decent, stable housing for those with greatest need.

### **Consortium Priority 3: Invest in programs and strategies proven to assist low- and moderate-income families to sustainably purchase a home or retain a home they already own.**

- We will promote fair and non-discriminatory access to quality affordable homeownership for all households in all neighborhoods throughout Multnomah County.
- We will maximize the impact of investments in homeownership by focusing on strategies like limited down payment assistance and the community land trust model that fairly balance the level of public investment against the goals of assisting a greater number of households and ensuring that assisted families can sustain their ownership over time.
- We will focus investments on cost-effective programs that are effective in helping existing low-income minority homeowners maintain the health and safety of their homes.

### **Portland-specific homeownership sub-priority:**

- Focus investments on families from Portland's communities of color to address the low rates of minority homeownership that have resulted from historic legal barriers and current institutional patterns and practices.
- Invest in programs that effectively prepare and position low- and moderate-income families of color to purchase and retain their homes in an effort to

overcome historic gaps in homeownership rates while fully complying with the laws and regulations that further fair housing.

**Consortium Priority 4: Maintain a community safety net that provides short-term shelter, information and referral services to County residents facing homelessness or housing crisis.**

- We will maximize the availability of shelter to those most vulnerable to the debilitating effects of street homelessness.
- We will work to reduce time spent in shelter beds waiting for housing placement by better aligning programs like rental assistance that help move people into permanent housing.
- We will maintain a set of basic community services that provide low-cost, time-sensitive information and referral so households facing homelessness, eviction or foreclosure can help themselves or find the help they need in the community.
- We will work to ensure that investments under this priority equitably benefit all communities experiencing homelessness or the threat of homelessness.

**Consortium Priority 5: Invest in comprehensive, evidence-based programs that assist adults and youth to improve their economic condition by increasing their incomes and assets.**

**Multnomah County-specific economic opportunity sub-priority:**

- Support social service operations for organizations assisting homeless families, low-income citizens and special needs populations to expand economic opportunities.

**City of Gresham Priority 6: Invest in community infrastructure development and redevelopment in low- and moderate-income neighborhoods to safeguard public health, improve livability and promote economic development.**

**City of Gresham Priority 7: Increase economic opportunities through redevelopment and job-creation activities.**

**Multnomah County Priority 8: Invest in infrastructure and public facilities development to stabilize and revitalize low- and moderate-income communities.**

The following federal resources are subject to the Plan:

Community Development Block Grant (CDBG) Program. The cities of Portland and Gresham and urban Multnomah County (the area of the County outside the city limits of Portland and Gresham) each receive CDBG funds which can be used for activities such as housing, public services, community facilities, public improvements, economic development and community revitalization.

HOME Investment Partnership. The HOME program is authorized under Title II of the National Affordable Housing Act for the purposes of:

1. Expanding the supply of affordable housing for low- and very low-income families with an emphasis on rental housing;

2. Building state and local non-profit capacity to carry out affordable housing programs; and
3. Providing coordinated assistance to participants in the development of affordable low-income housing.

The cities of Portland and Gresham and Multnomah County are partners in the HOME Consortium, with Portland designated as the lead jurisdiction. The jurisdictions work together to implement the Consolidated Plan.

Emergency Solutions Grant (ESG). ESG funds can be used for the rehabilitation or conversion of buildings into homeless shelters. This program also may fund certain related social services, operating expenses, homeless prevention and rapid re-housing activities and administrative costs. HUD allocates ESG funds annually based on the formula used for the CDBG. The City of Portland is the only jurisdiction in the County that receives a direct award of ESG funds.

Housing Opportunities for Persons with AIDS (HOPWA). HOPWA is an entitlement program administered by the City of Portland for a seven-county area including Multnomah, Washington, Clackamas, Yamhill and Columbia Counties in Oregon and Clark and Skamania Counties in Washington. Portland works closely with the other jurisdictions in planning and allocation of HOPWA resources. HOPWA funds are targeted to low-income individuals with HIV/AIDS or related diseases and their families. HOPWA funds may be used to support a wide range of services and housing activities. Supportive services must be provided as part of any housing funded by HOPWA.

The Plan also describes how other sources of federal, state, local and private funds contribute to the overall strategies adopted in the Plan.

The City of Portland is the lead agency in the HOME Consortium. The Portland Housing Bureau (PHB) administers the HOME funds and as such is designated as the lead agency for the Plan. PHB has delegated much of the coordination of the Plan process and county-wide plan development to the Federal Funding Oversight Committee (FFOC).

#### **INTER-AGENCY AND JURISDICTIONAL CONSULTATION**

The Plan development process for Fiscal Years 2011-2016 continues the inter-jurisdictional, cooperative venture begun during the initial stages of the CHAS planning process. The Consortium established during the CHAS and first Five Year Plan began this Plan with oversight from the county-wide Housing and Community Development Commission (HCDC). However, in 2010, the Consortium shifted to a new public involvement and oversight structure. This change was prompted by the City of Portland's decision to form its own jurisdiction-specific Portland Housing Advisory Commission and to dissolve HCDC. The Consortium moved to a confederated structure designed to provide its member jurisdictions with greater policy independence and greater flexibility about when and where to hold hearings to maximize local participation. The new structure preserves efficiencies regarding County-wide strategy development, public notifications, public hearings and document production.

#### **Federal Funding Oversight Committee**

The Consortium's Citizen Participation Plan was amended to create a new multi-jurisdictional committee, the Federal Funding Oversight Committee. Each jurisdiction appoints two members to the FFOC. The FFOC is charged with overseeing the public involvement process on the development of confederated and joint elements of the Plan, including the priorities, the anti-poverty strategy and the other strategies and the analysis of impediments to fair housing. The FFOC also recommends allocation of Continuum of Care (CoC) funds and acts as the primary decision-making group for the CoC. This maximizes coordination of Consolidated Plan resources, including the Emergency Solutions Grant, with the Continuum of Care. Independent plan elements, such as each jurisdiction's annual action plan, will be overseen by the jurisdiction-specific advisory committees.

#### **Consortium Staff**

The Portland Housing Bureau provides lead staff for the Consortium. Also supporting the Consortium is an inter-agency team representing the Portland Bureau of Planning & Sustainability, Home Forward, Multnomah County and Gresham's Department of Urban & Design Planning. In addition, in the course of Plan Development,



Portland staff undertook extensive consultation with the Oregon Department of Housing and Community Services, Metro regional government, the surrounding counties (Clark, Clackamas and Washington), social service agencies providing services in Multnomah County, non-profit developers, for-profit developers and service organizations.

#### **REQUIRED CONSULTATION FOR THE CONSOLIDATED PLAN**

In preparing the Consolidated Plan, the Consortium has consulted with other public and private agencies that provide assisted housing, health services and social services (including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families and homeless persons). These consultations occurred in the course of regularly-occurring meetings of the Federal Funding Oversight Committee, the Portland Housing Advisory Commission, and in special meetings and hearings sponsored by the City of Portland in conjunction with the creation of the new Housing Bureau, the development of a new Strategic Plan, and in specially noticed Consolidated Plan hearings. Consultation occurred with both housing and service providers; the Housing Authority; homeless persons, people with disabilities; and organizations that provide services to homeless families, people with alcohol or drug addictions, people with developmental disabilities, HIV affected families, the elderly, homeless adults, children and families, and people with mental illness. Many provided additional testimony at the public hearings. (Please see Appendix B.)

The Consortium consulted with state and local health agencies regarding lead paint issues. Child welfare agencies do not have a role in lead hazard identification or abatement in Multnomah County.

The Consortium consulted with Home Forward and Metro, the regional planning agency, during the development of this plan.

The Consortium has also consulted with neighboring counties about its plans in a variety of forums, including the Regional Housing Managers Work Group and the planning around the Sustainable Communities Initiative.

The Portland City Council is the policy-making entity for the Portland Housing Bureau. Because all members of Portland City Council are democratically elected officials, PHB is unable to meet the homeless participation requirement under § 576.405(a). In order to meet the requirements under § 576.405(b), PHB consults with homeless and formerly homeless individuals in considering and making policies and decisions regarding any facilities, services, or other assistance that receive funding under the Emergency Solutions Grant through periodic consultation with the regional Coordinating Committee to End Homelessness (CCEH). CCEH meets monthly to oversee the ongoing implementation of the regional Ten-Year Plan to End Homelessness and includes representation by homeless and formerly homeless individuals.

#### **INSTITUTIONAL STRUCTURE**

The responsibility for implementing the Plan will rest with the Portland Housing Bureau, Gresham's Department of Urban and Design Planning, Multnomah County Department of Human Services and Home Forward. However, implementation cannot proceed without the involvement and support of several public and private agencies. The following list describes the various institutions, businesses and agencies responsible for the delivery of housing and economic opportunity services in the region. Each description of a product and market segment is not intended to be a complete account of activities for each entity.

## PARTNER ORGANIZATIONS AND AGENCIES

Organization / Agency	Product	Market Segment
U.S. Dept of Housing & Urban Development	Program funds, loan guarantees	Low- and moderate-income housing and community development activities
Coalition for a Livable Future	Equity advocate, equity atlas	All
Conventional Lenders	Private and public/private partnership housing, single family mortgage loans	All
Corporation for Supportive Housing	Policy recommendations & best practices	Chronically homeless persons
Ecumenical Ministries of Oregon	Shared housing, advocacy on poverty and homelessness issues	Low-income households, families and individuals experiencing homelessness
Enterprise Community Partners	Technical assistance for neighborhood and nonprofit developers, limited predevelopment loans	80% MFI or below
Equity Investors	Equity participation as owner or joint venture partner for housing developments, tax credit investments	Development for households at 50-60% MFI
Federal Consumer Financial Protection Bureau of the Department of the Treasury	Created in 2010, the CFPB is charged with empowering consumers with the information about the costs and features of financial services that they need to make sound financial decisions.	Mortgages, credit cards, other consumer financial products and services.
Federal Funding Oversight Committee	Preside at public hearings on shared elements of Consolidated Plan, make recommendations to the jurisdictions based on findings that Citizen Participation Plan was followed and Action Plans are reasonable	Con Plan Process
Fair Housing Council of Oregon	Education on fair housing, audit testing, enforcement of federal housing law	Rental, homeownership and financial services
Federal Interagency Council on Homelessness	Program funds for efforts to end chronic homelessness	Chronically homeless persons
Federal Home Loan Bank	Wholesale source of long-term credit for housing	All
Federal Home Loan Mortgage Corporation (FHLMC) / Government National Mortgage Association (GNMA)	Conduit for single family and multi-family loans	Low- and moderate-income households
Federal National Mortgage Association (FNMA)	Conduit for single family and multi-family loans	Low- and moderate-income households

Organization / Agency	Product	Market Segment
For-Profit Developers	Most single- and multi-family housing developments	All, but primarily households at or above 80% MFI
Gresham Urban Design and Planning	Federal funds administrator for loans and grants	Very low- to moderate-income neighborhoods and individuals
Gresham Community Development and Housing Subcommittee (CDHS)	Policy recommendations	Very low–, low- and moderate-income households
Government National Mortgage Association	Conduit for single family and multi-family loans	Low- and moderate-income households
Oregon Housing Alliance	State-wide advocacy for Opportunity Agenda, including new resources to increase housing affordability for renters & first-time homebuyers	0-80% MFI, with an emphasis on 0-30% MFI
Housing and Community Development Commission (HCDC) (1991-2010)	Until it was dissolved in December 2010, HCDC provided policy advice, plans and reports required by HUD	Advocate for system change to benefit very low–, low- and moderate-income households; advocate for increasing number of minority homeowners
Home Forward	Developer and funder of affordable housing in Multnomah County, Public Housing, HOPE VI, Section 8 programs, Shelter + Care, bonding capacity	Very low– and low-income rental housing, limited low-income homeownership
Housing Development Center (HDC)	Technical assistance with affordable housing development	Low- and moderate-income housing
Human Solutions, Inc.	Transitional housing, job training and rent assistance, advocacy	Low-income and homeless families
Metropolitan Service District (Metro)	Technical assistance for housing and transportation policy and planning, including planning for sustainable development	All incomes, with a special focus on affordable housing to households with income of 80% MFI and below, and housing located in town centers along mass transit

Organization / Agency	Product	Market Segment
Multnomah County Commission on Children, Families & Community	Anti-Poverty Framework, School-Age Policy Framework and the Early Childhood Framework	Very low-income (30% MFI and below) families
Multnomah County Department of County Human Services (DCHS)	Administration of federal, state and local service funds; direct social service delivery; contact for social service delivery, policy recommendations, coordination of County housing programs, housing development grants (Strategic Investment Program), sale/lease of surplus county properties for special needs and supportive special needs housing in Multnomah County; administration of community development funds, donation of tax-foreclosed properties, social service delivery grants	Services and/or supportive housing for low-income elderly, physically disabled, mentally ill, alcohol or drug addicted, and developmentally disabled <sup>2</sup> and /or homeless family shelters and transitional housing
Neighborhood Partnership Fund	Technical assistance to local nonprofit CDCs, administration of Bridges to Housing program	80% MFI and below; Bridges to Housing limited to high need homeless families who are high resource users
Network for Oregon Affordable Housing (NOAH)	Line of credit for working capital, bridge and construction loans; maintain preservation database and provides technical assistance on preservation of expiring use properties	Primarily below 80% MFI
Nonprofit Developers (CDCs)	Single- and multi-family housing, both homeownership and rental	Primarily below 80% MFI
Operation HOME	Strategies, support and technical assistance	Minority homeownership
Oregon Corporation for Affordable Housing (OCAH)	Housing production support and technical assistance, capital general for tax-credit purchase	Low-income
Oregon Opportunity Network (OregonON)	Affordable housing policy, technical assistance, advocacy for new resources	Low- and moderate-income housing and community development activities and training, statewide scope

Organization / Agency	Product	Market Segment
Portland Bureau of Development Services (BDS)	Regulatory oversight of building, housing and zoning codes	All
Portland Housing Advisory Commission	Portland-specific policy advice	All, with an emphasis on housing for households not served by the private marketplace
Portland Housing Bureau (PHB)	Contract administrator for federal loan and grant programs and tax increment financing, operating support to community nonprofit developers, leading policy initiatives, such as Operation HOME	Rental and homeownership, community development, homeless persons; serve households below 80% MFI; uses TIF affordable housing set-aside to fund 0-60% rental development and 0-100% homeownership programs
Portland Bureau of Planning and Sustainability (BPS)	Long range policy and Comprehensive Plan, Portland Plan, neighborhood and community planning, administration of tax abatement programs	All
Portland Development Commission (PDC)	Urban renewal agency, focusing on economic development activities	All
Portland Housing Center (PHC)	Information, education and counseling for prospective homeowners and renters, financial services products	Low- and moderate-income people
Portland Proposal Review and Project Advisory Committee	Economic Opportunity Initiative project selection and policy recommendations	Low-income people
State of Oregon Department of Housing and Community Services (OHCS)	Permanent financing via bonds, gap funding via the Housing Trust Fund, Oregon Affordable Housing Tax Credits and Federal Low-Income Tax Credits; short-term financing for acquisition of preservation properties through the Oregon Housing Preservation Fund; administer federal stimulus programs including Neighborhood Stabilization and "Hardest Hit" program	Very low- and low-income rental and homeownership
Unlimited Choices	Rehab and repair of homes, tenant advocacy	People with a physical disability, people who qualify as low- and moderately low-income by HUD guidelines



There are many players dedicated to improving the conditions of low- and moderate-income residents in Multnomah County. Our impressive toolbox and our record of involving both the public and private sectors are our greatest strengths. However, silo thinking and lack of communication can result in duplication of efforts, dilution of resources and other discord. To address this potential for chaos, the major players have pursued strategies of communication and coordination.

Home Forward, an independent chartered public housing agency created by the Portland City Council, and the preeminent agency involved in housing very low-income residents, has been a leader in local efforts to increase communication. Several years ago, Home Forward extended its geographic base to include the entire County. Portland, Gresham and Multnomah County each have the right to appoint representatives to Home Forward's Board of Directors. In addition to increasing its partnerships with other housing authorities and non-profit entities, Home Forward has partnered with for-profit entities to explore new means of housing production and service delivery.

## **CITIZEN PARTICIPATION**

As required by federal regulations, a Citizen Participation Plan (CPP) describing the overall framework for public involvement was adopted by the participating jurisdictions in May 2005. The CPP was amended in May 2011. Further amendments have been proposed as part of this Consolidated Plan cycle. The revised Citizen Participation Plan is appended to this Plan in Appendix B, Citizen Participation Plan.

This Section describes the scope of public participation activities conducted for this Plan.

In order to broaden public participation in the five year plan, the Consortium sponsored nine hearings at locations across the County. Most of the hearings were co-sponsored with community-based organizations, and leadership from the organizations participated in leading the hearings. Six of the hearings were organized around a particular theme, and staff made extra efforts to invite those interested in the theme to attend the hearing. For example, a hearing on the housing and service needs of our aging population was held at the Mittleman Jewish Community Center and co-sponsored with Elders in Action. Invitations were extended to a list of organizations that provide housing and/or services to seniors, as well as to faculty and students affiliated with the Portland State University Institute on Aging. This year the hearings are being held in conjunction with regularly scheduled meetings with strong attendance and a broad base of interest in housing. Comments were accepted at all public hearings, as well as by mail, phone, web and e-mail. Translation services were provided when requested. All hearings locations were accessible to persons with disabilities.

## **THE ROLE OF ADVISORY BOARDS**

The HCDC, a volunteer citizens' commission appointed by the elected officials of the participating jurisdictions served as the primary advisory body during the development of the Needs Assessment. In December 2010, Portland dissolved HCDC and created the Portland Housing Advisory Commission, a volunteer advisory Commission appointed by Portland City Council. Gresham has a Community Development and Housing Sub-committee of its Planning Commission, appointed by the Gresham City Council, and Multnomah County has a Policy Advisory Board, consisting of representatives of the unincorporated County and its small cities. These jurisdiction-specific advisory bodies oversee the development of the annual Action Plans. Each jurisdiction also appoints two citizens to the Federal Funding Oversight Committee, a new advisory body that assumed oversight duties from HCDC with respect to the Priorities and the Strategic Plan. The Federal Funding Oversight Committee preside over the hearings to listen to the community and they review the projects submitted to the Consolidated Plan.

Jurisdiction staff engages in an ongoing process of coordination and consultation so they can provide these advisory bodies with historical information, policy options, and well-thought-out recommendations. Both Multnomah County and the City of Portland participate in the Coordinating Committee to End Homelessness, the group charged with overseeing Home Again, the Ten Year Plan to End Homelessness in Portland and Multnomah County, and making funding recommendations in the Continuum of Care process.

## THE PUBLIC PROCESS FOR THIS PLANNING CYCLE

Date	Event
<b>December 11, 2014</b>	<b>Joint Public hearings on community needs for the 2015-2016 Action Plan</b>
March 18, 2015	First draft of the FY 2015-2016 Action Plan Priorities and Strategies posted online to the public for 30-Day Comment Period. FY2015-2016 Action Plans for the City of Portland, Multnomah County and the City of Gresham also released.
April 7, 2015	Public hearing for the FY 2015-2016 Action Plan for the City of Portland.
April 2, 2015	Public hearing for the FY 2015-2016 Action Plan for Multnomah County.
<b>April 7, 2015</b>	<b>County-wide public hearing on the Principles and Priorities to guide the Plan, Federal Funding Oversight Committee presided.</b>
April 9, 2015	City of Gresham Hearing on One Year Action Plan 2015-2016
<b>May-June 2015</b>	<b>The City Councils of Portland and Gresham and the Multnomah County Board of Commissioners adopted the 2015-2016 Action Plans, the Fair Housing Plan.</b>
May 5, 2015	City of Gresham Hearing approval date for One Year Action Plan 2015-2016
May 13, 2015	City of Portland approval date for One Year Action Plan 2015-2016
June, 2015	Multnomah County approval date for One Year Action Plan 2015-2016
<b>July 15, 2015</b>	<b>Requested extension date to submit the One Year Action Plan to HUD</b>

## **MINORITY BUSINESS OUTREACH**

Property owners/borrowers carry out the bulk of contracting opportunities rather than the City. Borrowers of amounts under \$100,000 receive information about opportunities and are encouraged to solicit quotes from minority and women business enterprises.

## **MONITORING**

Some projects are funded by more than one jurisdiction. To reduce administration and monitoring, interagency agreements state that only one jurisdiction will manage a project and management responsibilities will alternate between jurisdictions.

## **CITY OF PORTLAND: CDBG, ESG, HOME AND HOPWA**

PHB provides monitoring for CDBG, ESG, HOME and HOWPA-funded projects. Monitoring activities may include program performance, fiscal accountability and regulatory compliance and may involve internal file review and/or on-site reviews. An objective of all internal file reviews and on-site reviews is to ensure that the City will meet the goals and objectives set forth in the Consolidated Plan. Program Managers select the projects to be site-monitored for program performance and regulatory compliance based on completion of internal file reviews. Program Managers work with fiscal staff to determine which projects will also receive a fiscal review, which generally fall into the following categories” projects which receive large amounts of City funding, projects which are administered by unsophisticated or inexperienced organizations, projects which appear to be having difficulties in meeting contract or program requirements, and projects which require more intensive technical assistance receive priority in establishing a monitoring schedule.

Internal file review consists of completion of Risk Assessment and Desk Monitoring checklists, as well as reviews of invoices and progress reports submitted, external audits, and other materials submitted by the contracting agency to determine that the project is on schedule, fiscally accountable and compliant with contractual requirements and regulations. On-site reviews can include any or all of the following: program file and systems review at the contractor facility (e.g., income verification forms and process for collecting information), visiting sites where the activity is being carried out (e.g., a house under construction or the operation of a public service activity) or has been completed (in the case of property improvements), interviewing participants and clients as well as agency staff and fiscal file and systems review.

## **HOME**

All HOME projects are monitored by the City’s sub-recipient contractors for compliance with all HOME requirements, e.g., long-term compliance with housing codes and affordability requirements. Monitoring is performed on a regular schedule at the intervals required by HOME regulations.

## **ESG PERFORMANCE STANDARDS**

In establishing the coordinated Short Term Rent Assistance (STRA) program, the Coordinating Committee to End Homelessness (CCEH) developed two primary performance standards for short-term rent assistance activities under the Ten-Year Plan to End Homelessness (and subsequently within the Continuum of Care (CoC)):

1. Number of households placed or retained in permanent housing
2. Percentage of assisted households retaining permanent housing at 3, 6, and 12 months post-subsidy

Performance data are recorded by STRA providers in the regional HMIS and reported via the standardized Shared Housing Assessment Report. Cumulative STRA program performance standards for housing retention are 90% of assisted households at 3 month post-subsidy, 80% at 6 months, and 70% at 12 months.

ESG activities will be evaluated using these existing performance standards. As HUD provides a detailed regulatory framework for implementation of the HEARTH Act via the Continuum of Care Interim Rule, PHB and the local CoC will collaborate to develop shared CoC and ESG program performance standards that align with community-level

performance standards established through that regulatory framework.

## **MULTNOMAH COUNTY**

Multnomah County provides monitoring for CDBG-funded projects and may involve internal file review and on-site reviews to ensure that sub-recipients comply with regulations governing their administrative, financial and programmatic operation and to ensure that the County achieves the goals and objectives of the Consolidated Plan.

The County strives to provide up-front assistance and information about requirements through the application process, contract preparation, ongoing communication and technical assistance.

The County performs on-site monitoring of active CDBG-funded projects annually. Monitoring activities may include program performance, fiscal accountability and regulatory compliance. Effort is made to perform on-site reviews in conjunction with other funding agencies to avoid duplication and reduce burden on project sponsors. A letter is sent to project managers summarizing the results of the review and any follow-up action necessary.

Public contracts for CDBG funds require that sub-recipients submit monthly activity reports and semi-annual reports on progress toward achieving contractual compliance.

## **GRESHAM MONITORING**

Monitoring is an on-going part of project management for the City of Gresham. The elements of Gresham's project management system include the following:

- In an effort to assist applicants with addressing all applicable federal regulations, the City of Gresham provides information about relevant regulations in the funding application materials. While this information may not be sufficient for an applicant unfamiliar with the regulations, it does serve as a reminder to those who have some familiarity with CDBG and HOME of the requirements they will have to meet if funded.
- Gresham also conducts a workshop for all prospective applicants at the beginning of the application period to familiarize the applicant, with the regulations and requirements associated with the CDBG and HOME programs.
- Applicants are encouraged to meet with City staff to review the federal regulations and to answer any questions the applicants may have concerning the application process. This is an opportunity to assist applicants in shaping their projects in a manner that conforms to HUD guidelines.
- City staff reviews written applications to ensure general compliance with federal regulations at the initial stage in the application process.
- After extensive review by staff, the Community Development and Housing Subcommittee (CDHS) and a Technical Advisory Group, staff informally assesses the applicant's background and experience and the complexity of the project to determine how best to proceed with formalizing a contractual agreement. If the applicant is receiving funds for a service or project that they have completed successfully in a previous year, staff may simply send out a renewal contact. If it is a new project of some complexity with a new partner, then staff may prepare checklists and hold multiple meetings to ensure that the project is developed appropriately. Staff will provide considerable guidance upfront on Davis Bacon, Uniform Relocation Act, Lead Based Paint and other related compliance issues.
- All contracts include provisions for providing written reports to the City on a regular basis. Delays in reporting may result in the City delaying payment of invoices until the required reports are provided.
- Periodically, the City sponsors an informal meeting for all public service and housing service providers to better coordinate service among agencies and to provide a forum for discussing mutual interests or concerns. Typically, a good portion of the meeting is spent discussing contractual requirements such as

revisions to reporting forms or other HUD changes.

- For all housing projects for which the City provides funding for construction, the City assigns a building inspector to monitor the progress of the project in the field and to review all invoices for payment. Community Revitalization staff continue to monitor overall progress.
- The City of Gresham will complete an annual risk assessment of its CDBG sub-recipients. The purpose of this risk assessment is to identify which sub-recipients will require comprehensive monitoring during the program year. The risk assessment uses the following criteria to identify high-risk sub-recipients which will require comprehensive monitoring:
  - those who are new to CDBG programs and have never received CDBG funding previously;
  - those who have experienced turnover in key staff positions;
  - those with previous compliance or performance problems;
  - those carrying out high-risk activities; and
  - those undertaking multiple CDBG activities for the first time.

Comprehensive monitoring of high-risk sub-recipients will include a minimum of three on-site project monitoring visits during each program year. If a sub-recipient is determined to be high-risk, they may also be required to submit monthly financial and program outcome reports (vs. quarterly). The schedule of each on-site monitoring visit will be determined by the sub-recipient project schedule and a standardized monitoring checklist will be used when evaluating each sub-recipient CDBG-funded project. There are four parts to the monitoring review:

1. Program compliance
2. Project achievements
3. Financial and grant management systems (performed by the City's financial staff)
4. Regulatory Compliance

## (FOOTNOTES)

<sup>1</sup> In 2015, the Median Family Income (MFI) for a four-person household in the Portland Metropolitan Statistical Area is \$73,900. The income of a four-person household at 80% MFI is \$58,400. The income of a four-person household at 50% MFI is \$36,750.

<sup>2</sup> Developmental disabilities is used in a broad sense to include a wide range of cognitive disabilities.



# Section 2

# Needs Assessments

Housing Needs and  
Market Analysis

# Needs Assessment and Housing Market Analysis Updates

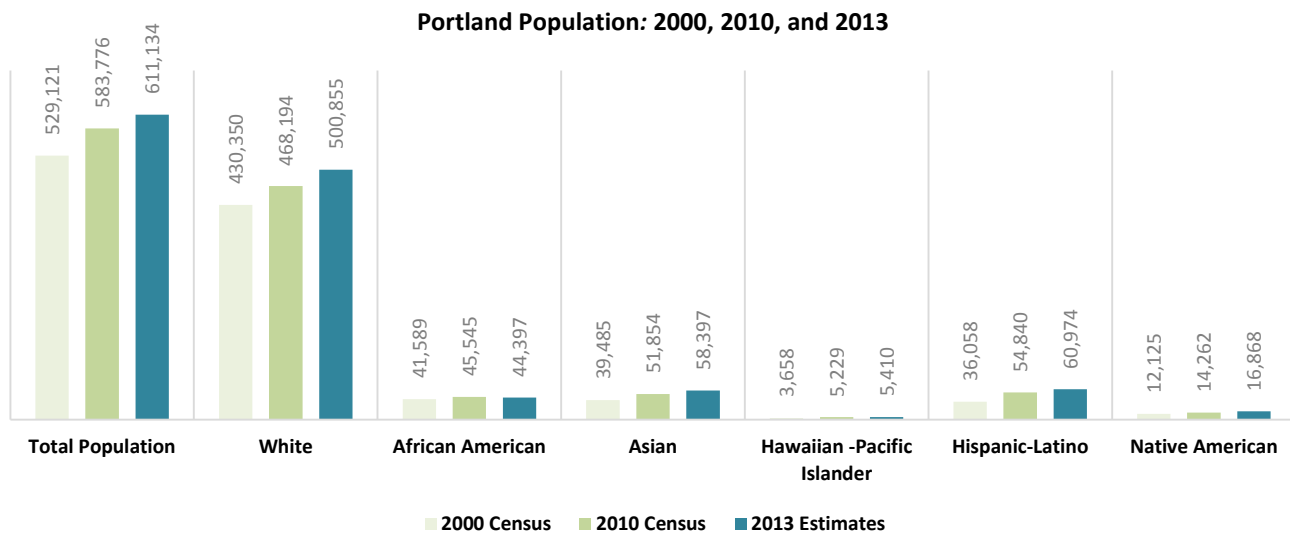
## Population, Households, and Income

Housing affordability in the City of Portland is an issue that has entered the public dialogue. While the Portland housing market are still one of the most affordable of the major west coast cities, there is a sense of change in the air. The indicators and analysis provided within this update draw from the recently published *State of Housing in Portland 2015 Report* and attempt to provide the opportunity to assess policy and programming at new levels of detail and with much more current cost data. Examining production and affordability for various household types and unit compositions will allow more targeted interventions into the housing market.

Portland's population has increased by more than 80,000 individuals since 2000. African Americans continue to experience a slower growth rate than the population as a whole, or that of other Communities of Color. The most rapidly growing community in Portland is the Hispanic-Latino community which grew by 69% between the years 2000 and 2013.

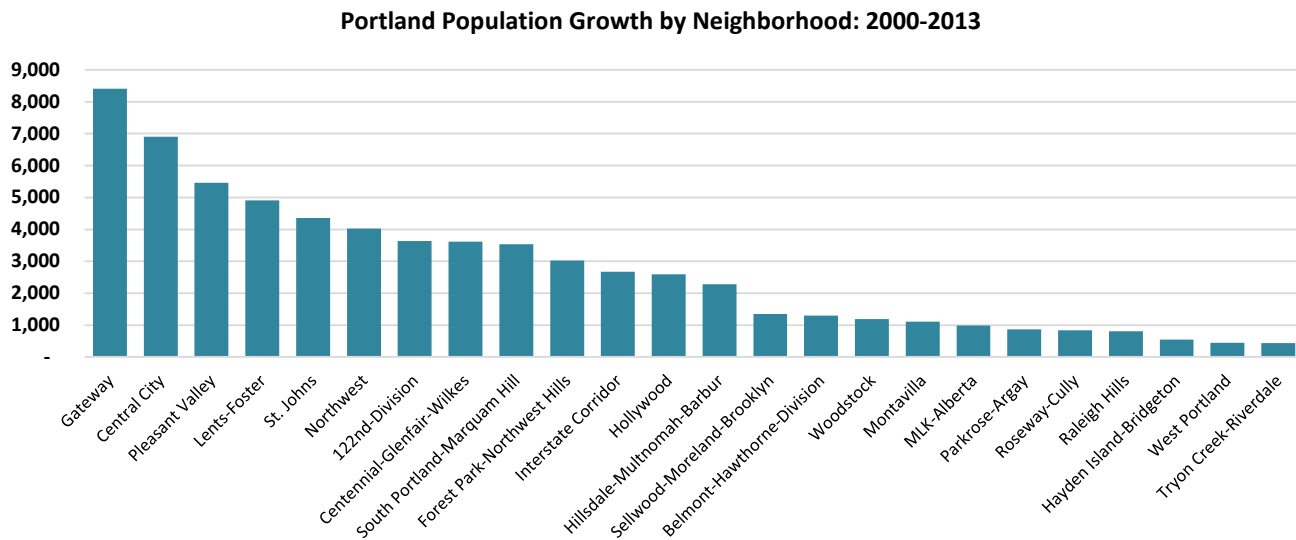
Population growth has been most heavily concentrated in the Central City, as well as in the outer east and southeast neighborhoods of Gateway, Pleasant Valley, and Lents-Foster, among others. Minimal growth has occurred in Tryon Creek-Riverdale, West Portland, and Hayden Island-Bridgeton.

**Figure 1.1** Portland Population: 2000, 2010, and 2013



**Source** U.S. Census Bureau – 2000 Decennial Census, 2010 Decennial Census, 2013 1-Year ACS Estimates

Figure 1.2 Portland Population Growth by Neighborhood: 2000-2013



Source U.S. Census Bureau – 2000 Decennial Census, 2013 5-Year ACS Estimates

The number of households in Portland has increased by more than 29,000 since the year 2000. Single-person households have experienced a growth rate of 12.7%, while the number of households with children has increased by 7.6%.

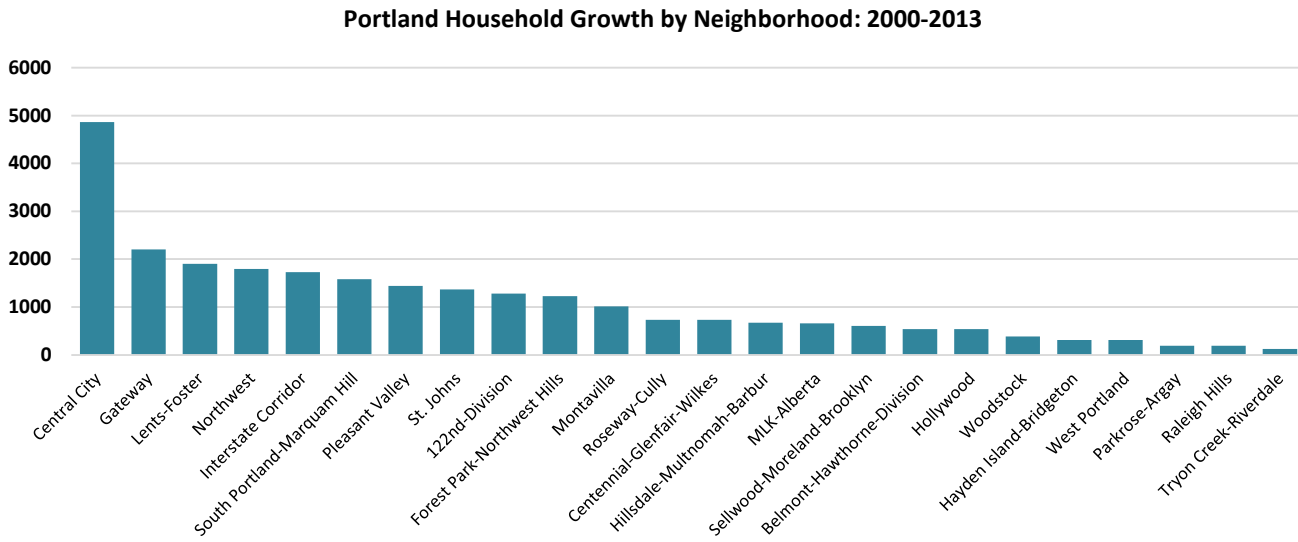
Like population growth, Portland’s household growth has been concentrated in the Central City. Nonetheless, household growth is somewhat more evenly distributed in north, northeast, and outer east, and southeast neighborhoods of the city than population growth has been.

Figure 1.3 Portland Households: 2000, 2010, and 2013



Source U.S. Census Bureau – 2000 Decennial Census, 2010 Decennial Census, 2013 1-Year ACS Estimates

Figure 1.4 Portland Household Growth by Neighborhood: 2000-2013

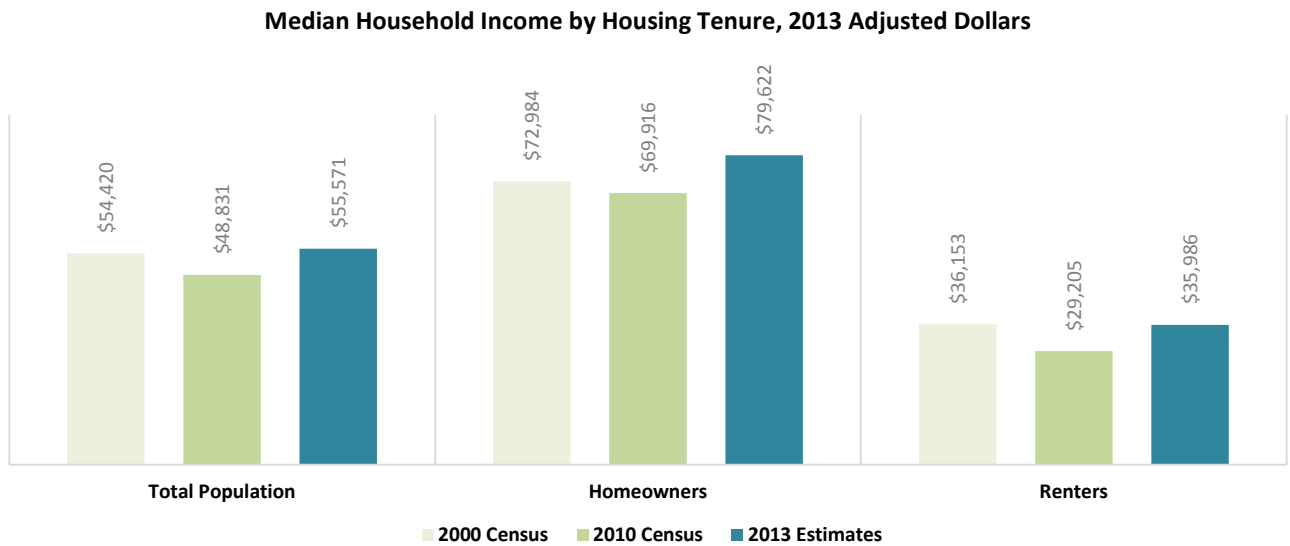


Source U.S. Census Bureau – 2000 Decennial Census, 2013 5-Year ACS Estimates

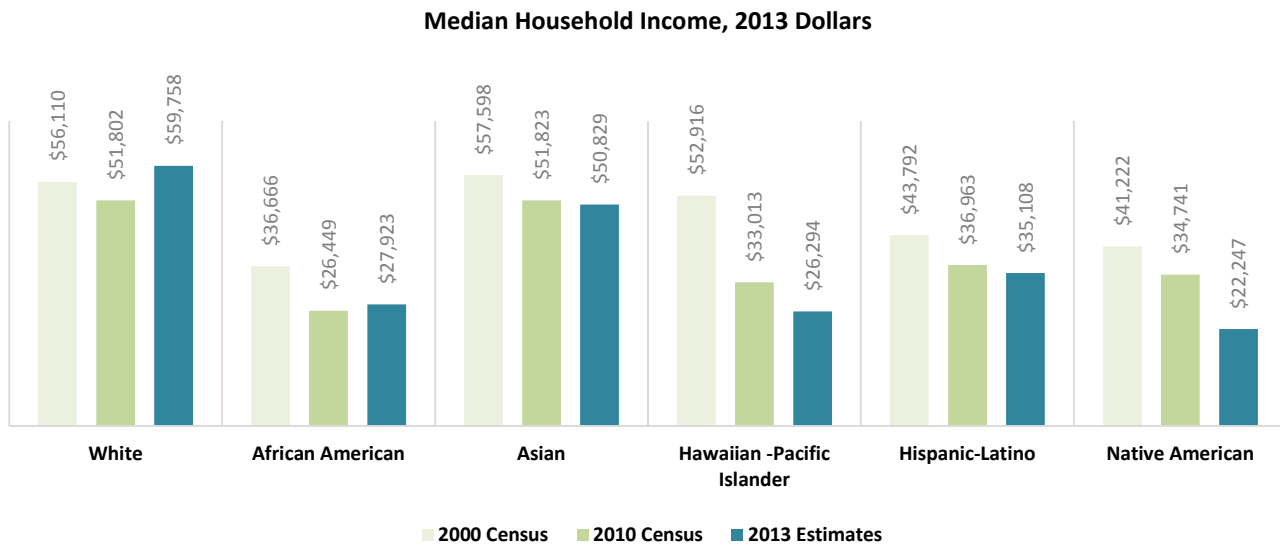
After adjusting for inflation, Portland shows meager growth in median household income since the year 2000. As of 2013, the median household income was \$55,571. Real median income levels have increased among homeowners since 2000 and the post-recessionary fall in 2010, while renter households have seen their economic position weaken.

The rebounding of post-recessionary wages among White households has not been shared, and inflation-adjusted incomes continue to fall among Communities of Color. Communities of Color continue to experience disproportionately lower median income levels than that of all Portland households.

Figure 1.5 Median Household Income by Housing Tenure, 2013 Adjusted Dollars



Source U.S. Census Bureau – 2000 Decennial Census, 2010 Decennial Census, 2013 1-Year ACS Estimates

**Figure 1. 6** Median Household Income by Race and Ethnicity, 2013 Dollars

**Source** U.S. Census Bureau – 2000 Decennial Census, 2010 Decennial Census, 2013 1-Year ACS Estimates

Portland's 53% homeownership rate is relatively high compared with other cities of similar size and character. Nonetheless, the city has experienced a small but steady decline in the proportion of the population that occupies an owned home since the year 2000.

Similar to incomes, Communities of Color experience a disproportionately low homeownership rate when compared to White households, or the population as a whole.

Asian and Hispanic-Latino households have experienced post-recessionary declines in homeownership at more substantial rates than the total population or White households.

African American and Native American households showed increases in homeownership rates between 2000 and 2013. However, when paired with income, affordability, and population trends, this data may reflect that many African American and Native American renter households are, in fact, being priced out of Portland, rather than large increases in homeownership in these communities.



**Figure 1.7 Homeownership Rate by Race and Ethnicity: 2000, 2010, and 2013****Source**

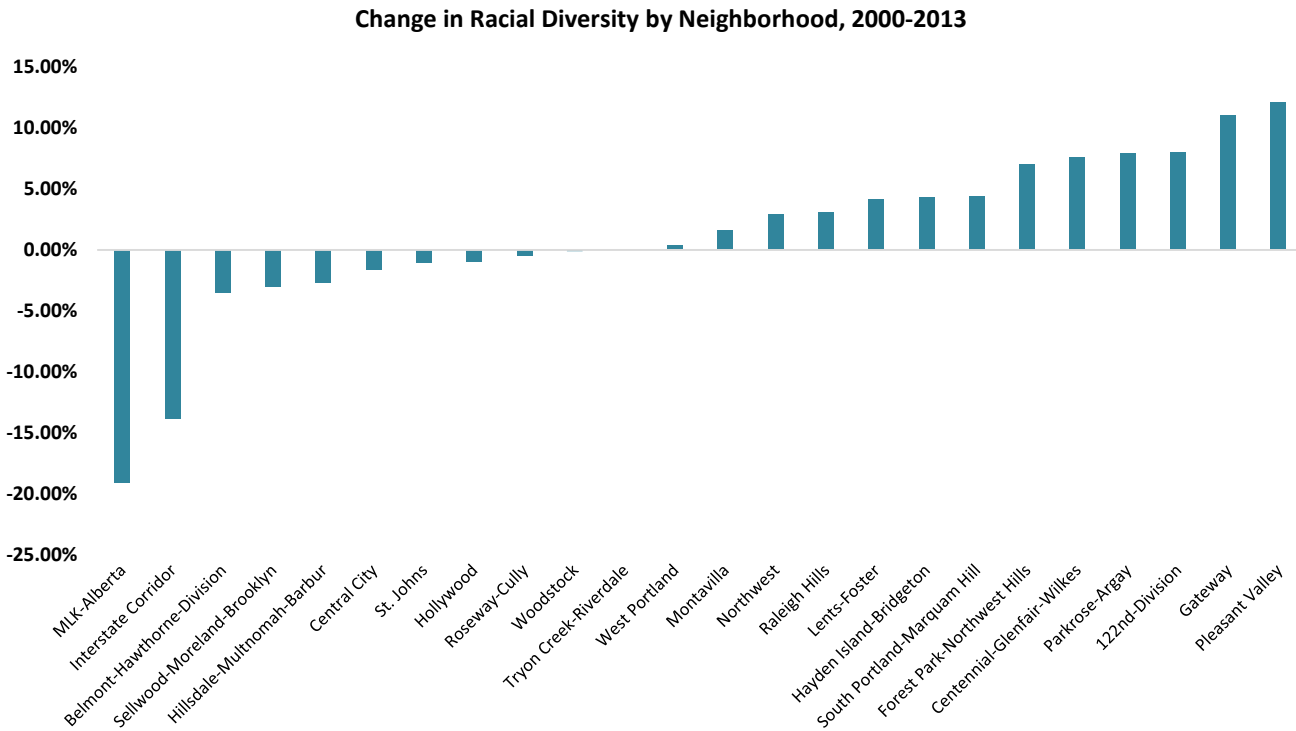
*U.S. Census Bureau – 2000 Decennial Census, 2010 Decennial Census, 2013 1-Year ACS Estimates*

The change in diversity between neighborhoods, as measured by the change in the proportion of the population that is non-white, between 2000 and 2013 illustrates a significant shift in the racial composition of the city.

Communities of Color are increasingly having to locate, or relocate, to outer east and southeast Portland. North and Northeast Portland have experienced a significant decline in the proportion of the population that consist of Communities of Color since the year 2000.

When examined in the context of the income and affordability data presented in other sections of this report, the change in racial diversity reinforces that many households from Communities of Color are being priced out neighborhoods in close proximity to the Central City.

Figure 1.8 Change in Racial Diversity, 2000-2013

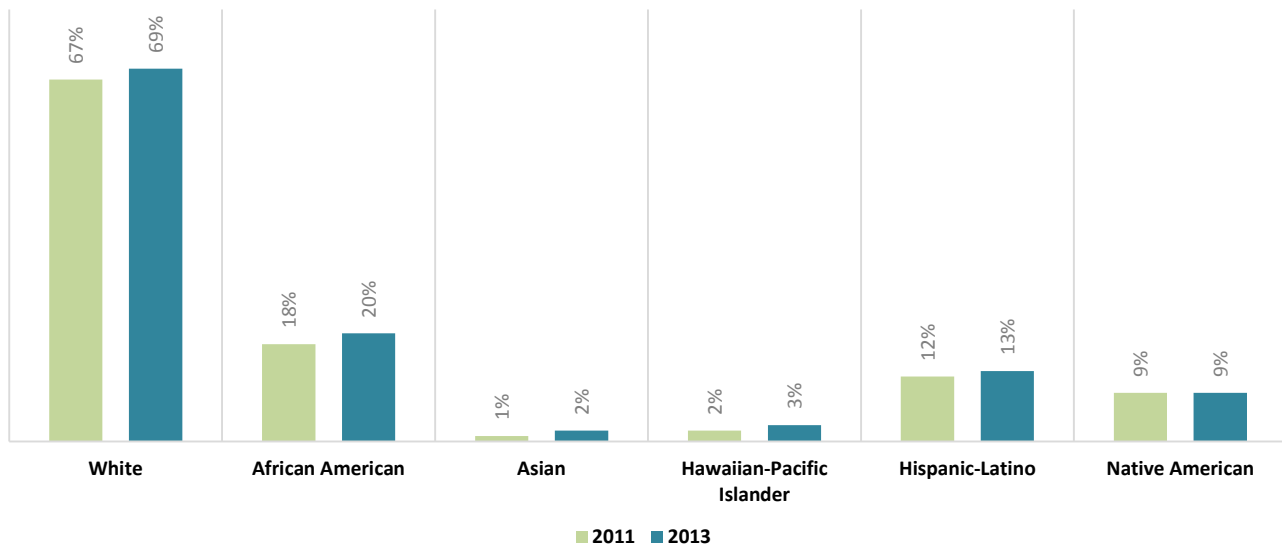


In 2013, 2,869 people were homeless at a point-in-time in Portland and Multnomah County, a rate of 37.79 per 10,000 people in the general population. The Point-in-Time Count is a tally of sheltered and unsheltered homeless persons on a single night in January. HUD requires that communities conduct an annual count of homeless persons in emergency shelter or transitional housing (time-limited housing with services for people exiting homelessness). Communities must also conduct a count of unsheltered homeless persons every other year (odd numbered years). Each count is planned, coordinated and carried out locally.

People of color are over-represented within the homeless population compared with the general population of Portland and Multnomah County. The extent of the over-representation varies by community. All communities of color except Asians are over-represented, with the greatest levels of over-representation among Native Americans and African Americans.

Figure 1.9 Individuals Experiencing Homelessness by Race and Ethnicity, 2011 and 2013

Individuals Experiencing Homelessness by Race and Ethnicity, 2011 and 2013



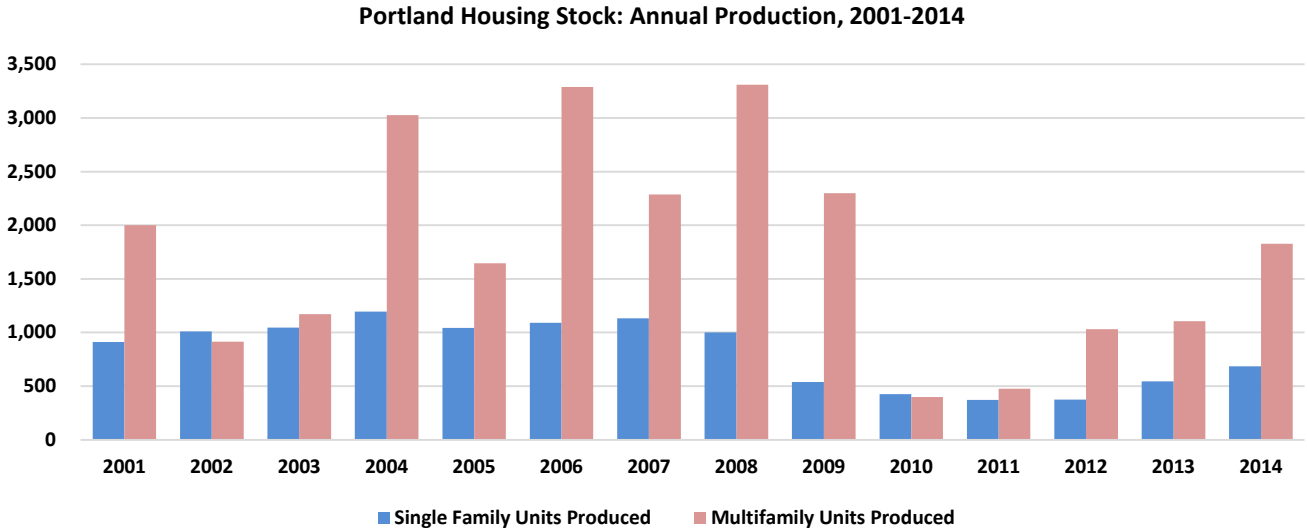
Source 2013 Point-In-Time Count of Homelessness in Portland/Multnomah County Oregon

# Housing Stock and Production

Portland continues to experience increases in the number of housing units in the citywide stock, with 686 single-family units and 1,828 multifamily units added in 2014. As has been the trend for more than a decade, new additions to the city stock are increasingly focused on multifamily development. In 2014 the composition of housing stock in Portland consisted of 152,822 single-family homes and 120,177 multifamily homes.

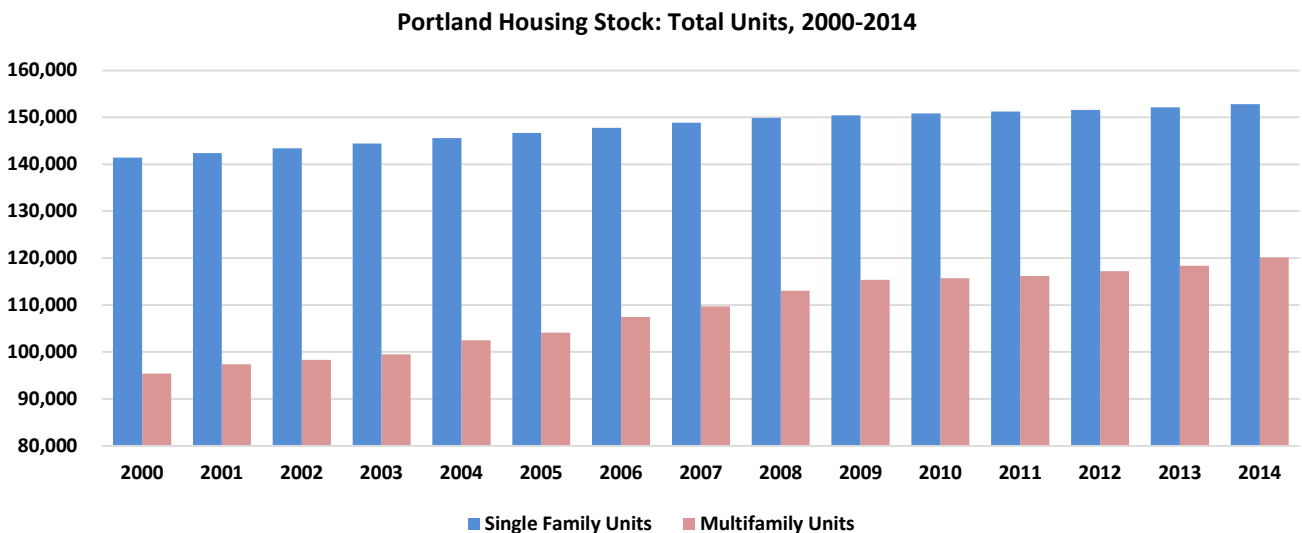
While the addition of single-family and multifamily housing units has increased in recent years, annual production levels have not yet reached pre-recessionary levels.

Figure 2.1 Portland Housing Stock: Annual Production, 2001-2014



Source Multnomah County, Portland Tax lot Data, 2015

Figure 2.2 Portland Housing Stock: Total Units, 2000-2014

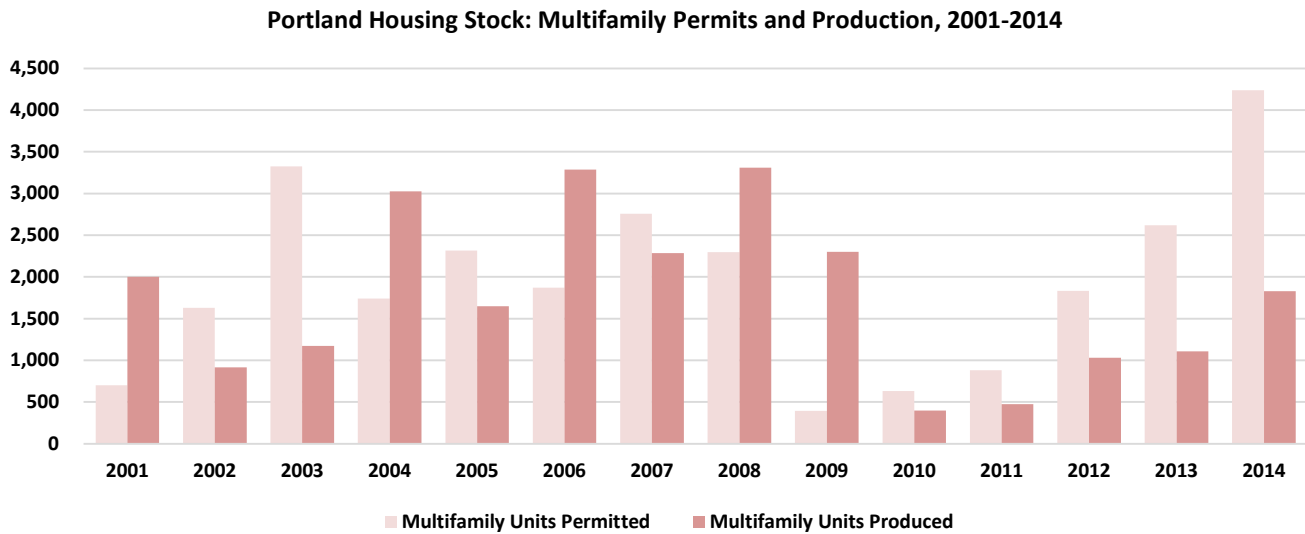


Source Multnomah County, Portland Tax lot Data, 2015

While multifamily production has not yet reached pre-recessionary levels, multifamily permitting in 2013 and 2014 were at all-time highs. 2,619 multifamily permits were issued in 2013 and 4,236 multifamily permits were issued in 2014. Assuming the majority of these go on to be built, production levels will soon surpass those in the early 2000s.

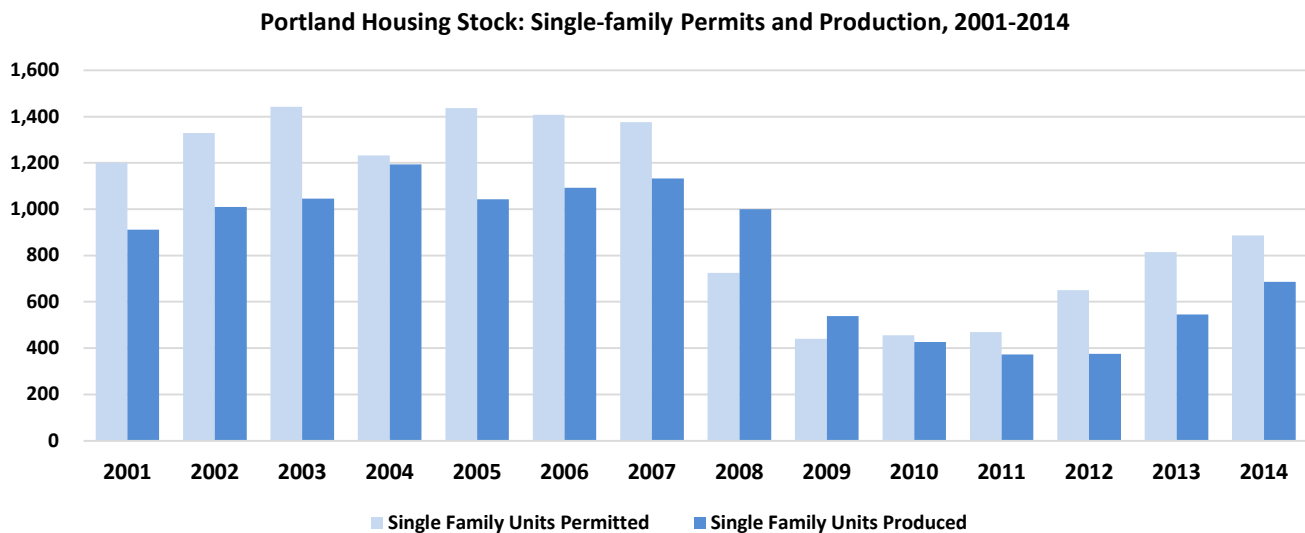
Similar to multifamily production, single-family production is well below pre-recessionary levels. In contrast to the multifamily market, the number of single-family permits issued in 2013 and 2014—at 815 and 886 respectively—are still well below the early 2000s.

Figure 2.3 Portland Housing Stock: Multifamily Permits and Production, 2001-2014



Source City of Portland, Bureau of Development Services; Multnomah County, Portland Tax lot Data, 2015

Figure 2.4 Portland Housing Stock: Single-family Permits and Production, 2001-2014

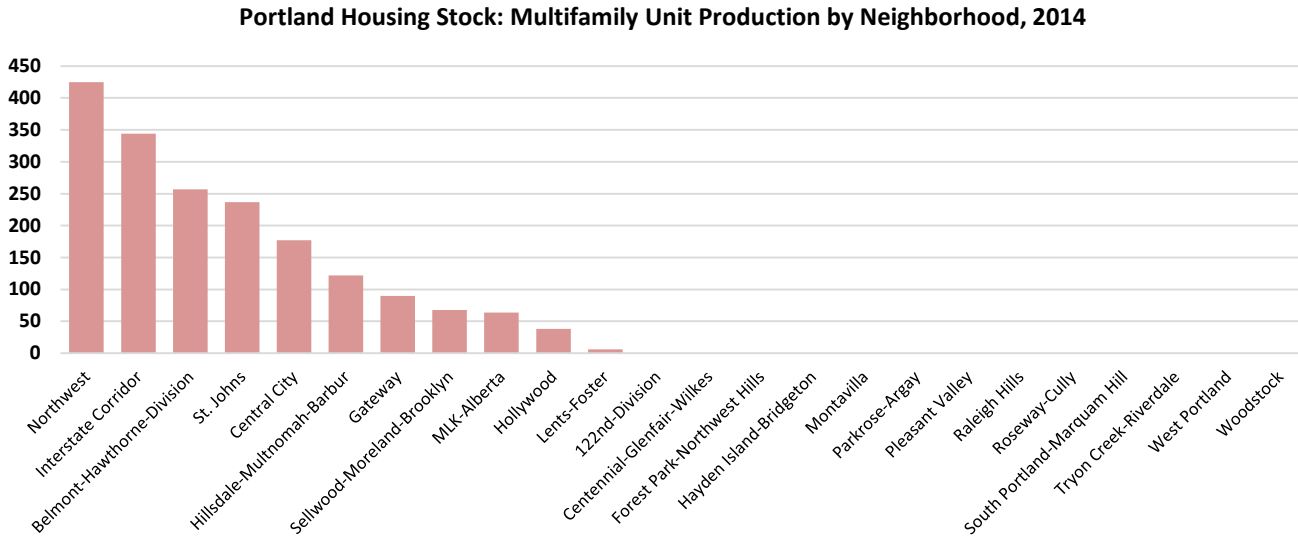


Source City of Portland, Bureau of Development Services; Multnomah County, Portland Tax lot Data, 2015

Multifamily production in 2014 was concentrated in the neighborhoods surrounding the Central City. Northwest, Interstate Corridor, Belmont-Hawthorne-Division, and St. Johns saw the bulk of new multifamily units added to the city housing stock.

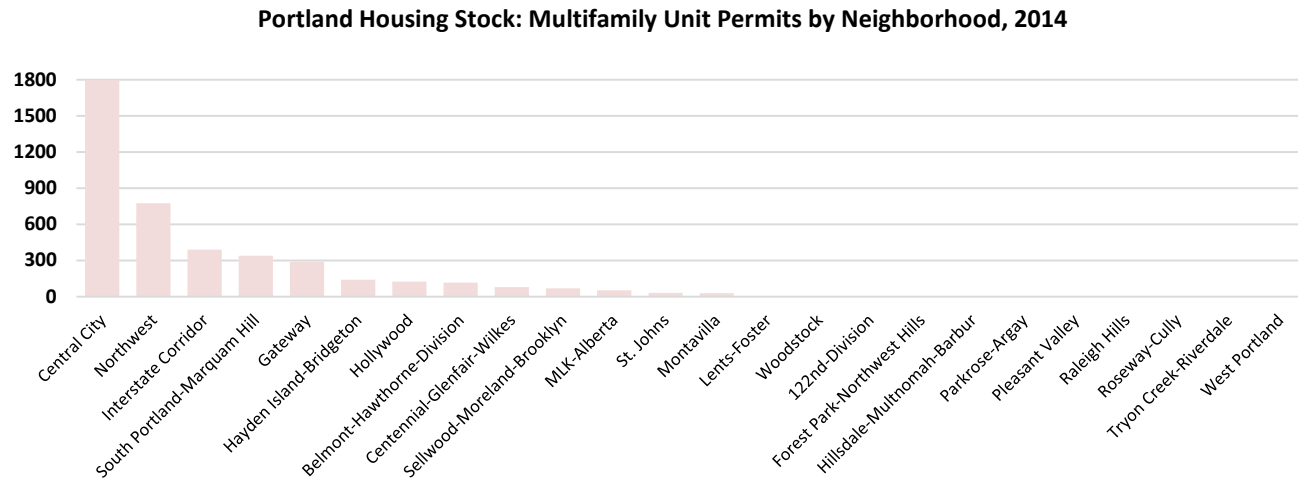
Multifamily permitting in 2014 was substantially concentrated in the Central City with nearly 1,800 new permits issued. In addition to the Central City, construction and production is expected to continue in Northwest and Interstate Corridor as over 1,100 permits were issued between the two neighborhoods.

Figure 2.5 Portland Housing Stock: Multifamily Unit Production by Neighborhood, 2014



Source Multnomah County, Portland Tax lot Data, 2015

Figure 2.6 Portland Housing Stock: Multifamily Unit Permits by Neighborhood, 2014



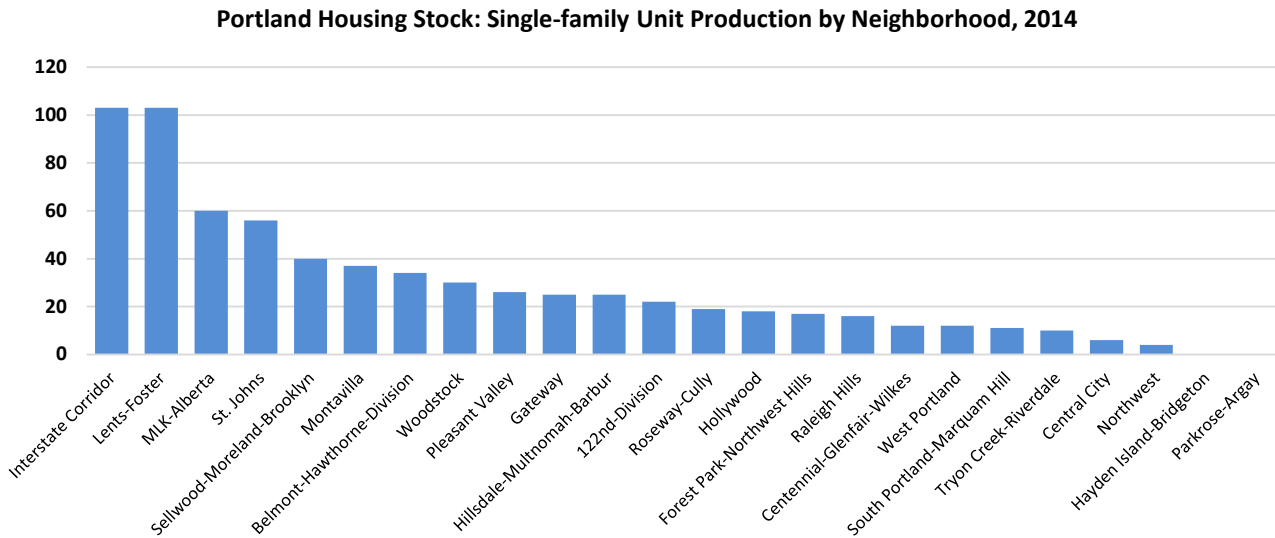
Source City of Portland, Bureau of Development Services, 2015

Single-family production in 2014 was concentrated in Interstate Corridor, Lents-Foster, MLK-Alberta, and St. Johns. In addition, most neighborhoods in the city experienced a minimal level of single-family production over the course of the year.



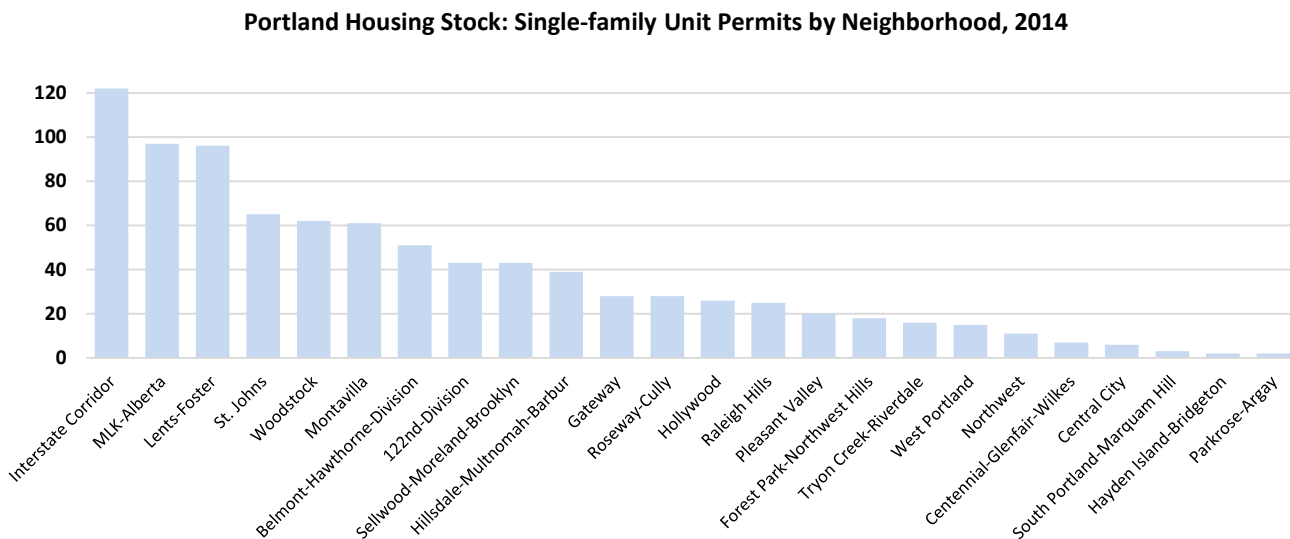
Single-family permitting in 2014 has been relatively distributed throughout most neighborhoods in the city, with more substantial permitting continuing in Interstate Corridor, Lents-Foster, MLK-Alberta, and St. Johns. In addition, Woodstock and Montavilla have experienced higher levels of permitting that may yield higher numbers of single-family production.

Figure 2.7 Portland Housing Stock: Single-family Unit Production by Neighborhood, 2014



Source Multnomah County, Portland Tax lot Data, 2015

Figure 2.8 Portland Housing Stock: Single-family Unit Permits by Neighborhood, 2014

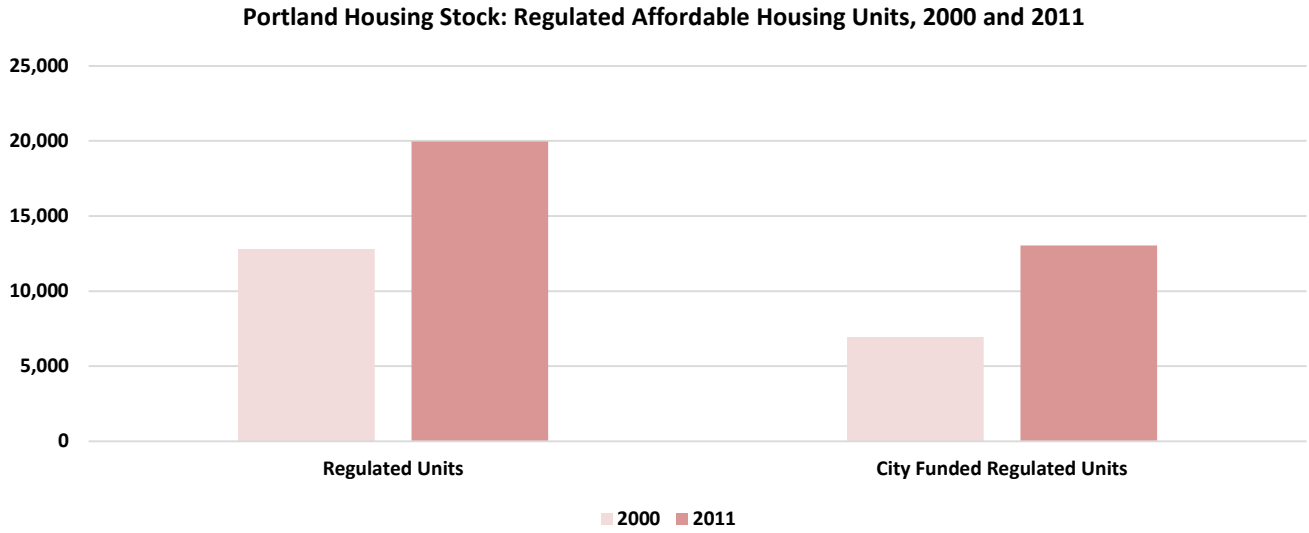


Source City of Portland, Bureau of Development Services, 2015

Between 2000 and 2011, the number of regulated affordable units in the City increased from more than 12,700 to almost 20,000 units. Of the total regulated units in the Metro 2011 Regional Inventory of Regulated Affordable Housing,

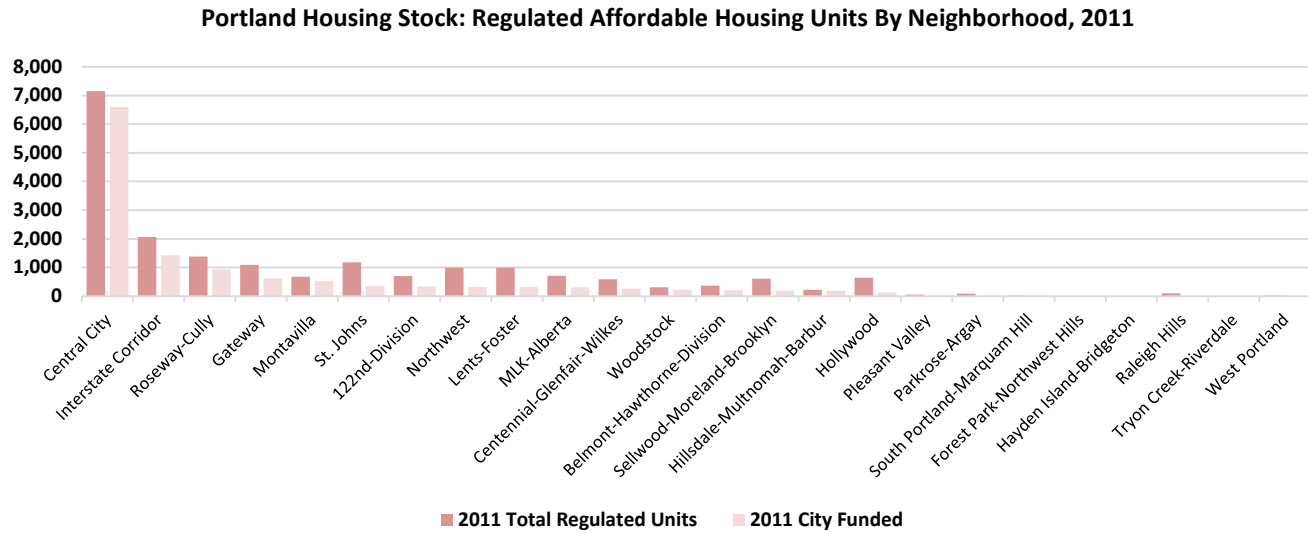
more than 12,700 were in part funded by the City of Portland. Portland’s regulated affordable housing unit stock continues to be heavily concentrated in the Central City, with more than 7,000 of the city’s 19,634 regulated units.

Figure 2.9 Portland Housing Stock: Portland Regulated Affordable Housing Units, 2000 and 2011



Source Metro, Regional Inventory of Regulated Affordable Housing

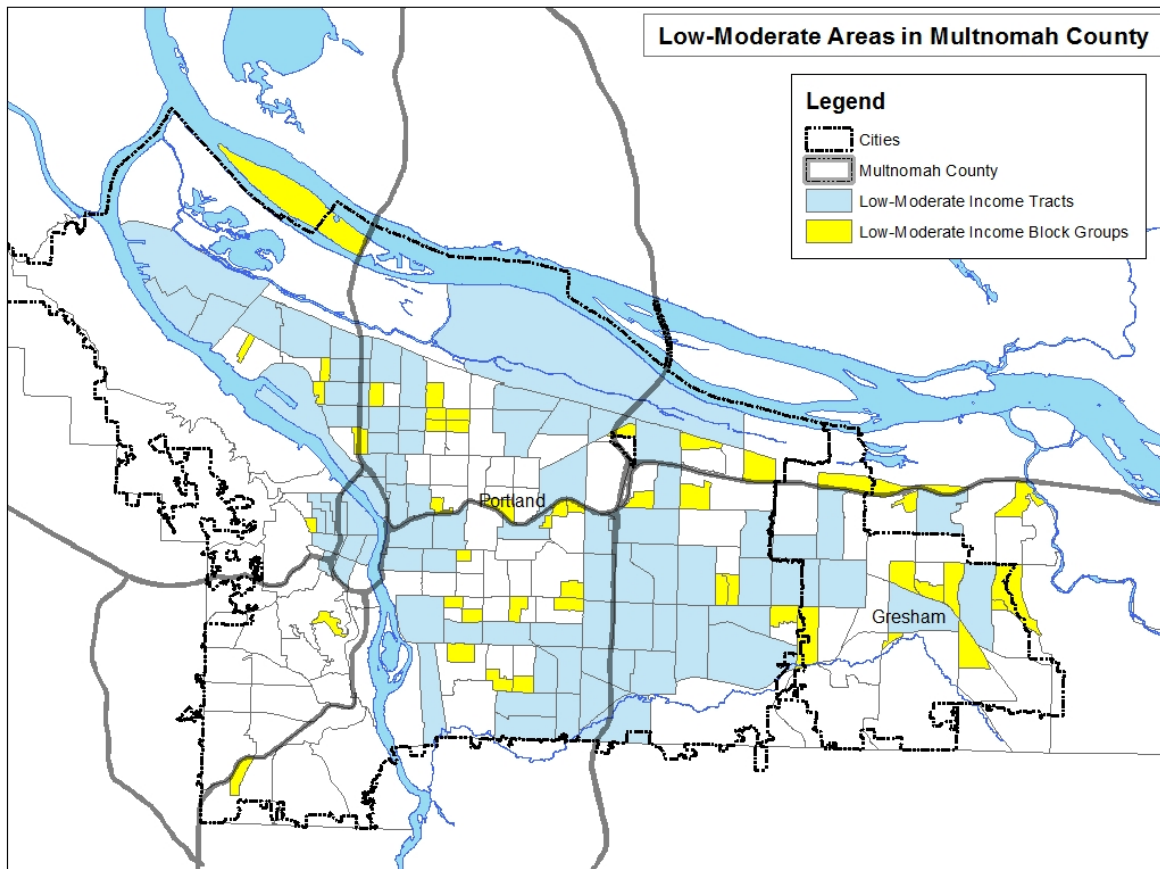
Figure 2.10 Portland Housing Stock: Regulated Affordable Housing Units by Neighborhood, 2011



Source Metro, Regional Inventory of Regulated Affordable Housing

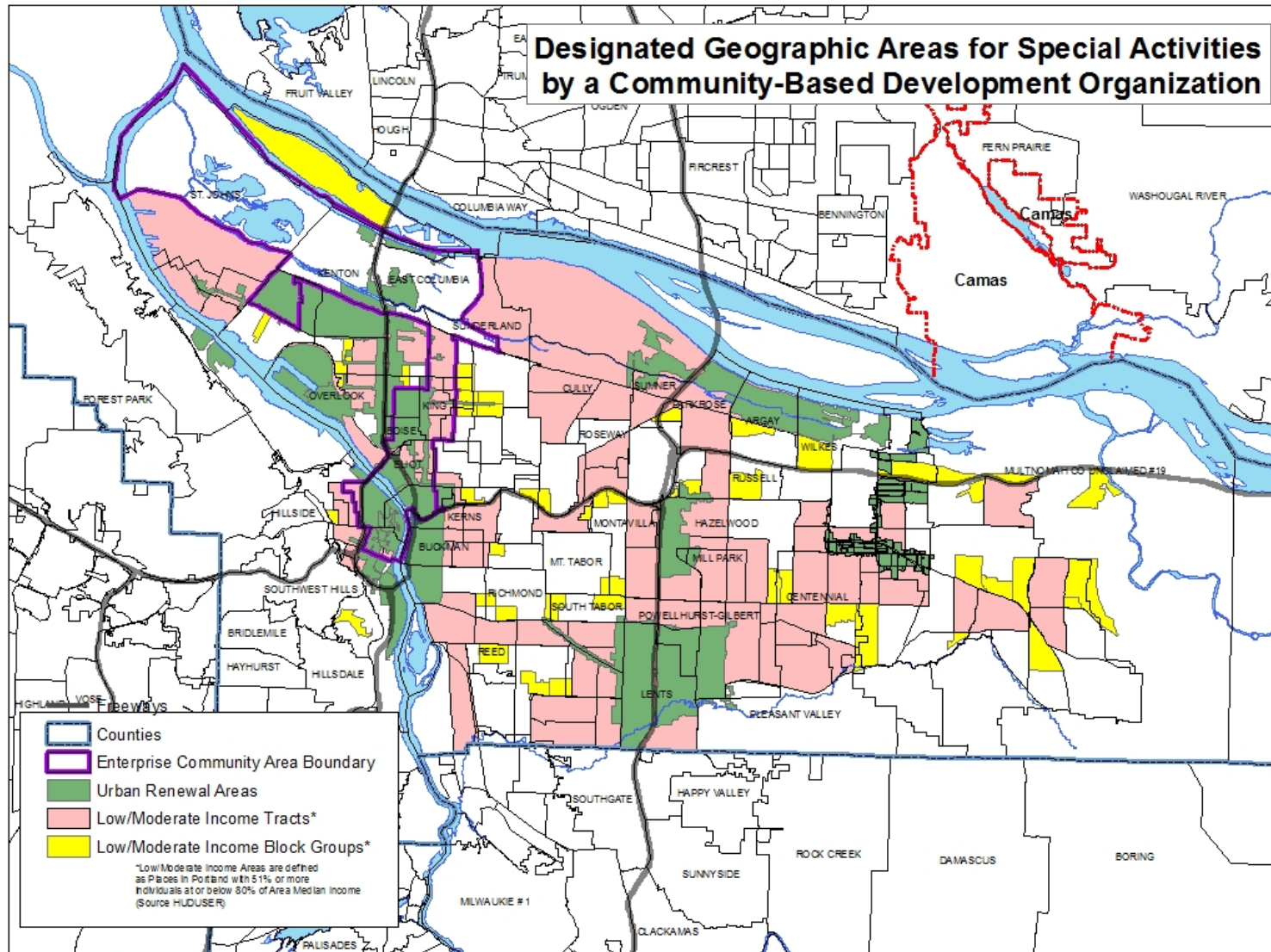
Multnomah County's low-moderate income census tracts and block groups are depicted in Map 1. Low-moderate income areas are predominately located on the west side of the river. East Portland and Southeast Portland are largely predominated by low-moderate income areas. The majority of the jurisdictions' federal resources are dedicated toward serving low-income households and individuals. Map 2 depicts areas that are eligible for special activities by Community Based Development Organizations.

**Map 1**<sup>1</sup>



<sup>1</sup> HUD Low-and Moderate Income Summary Data, 2013

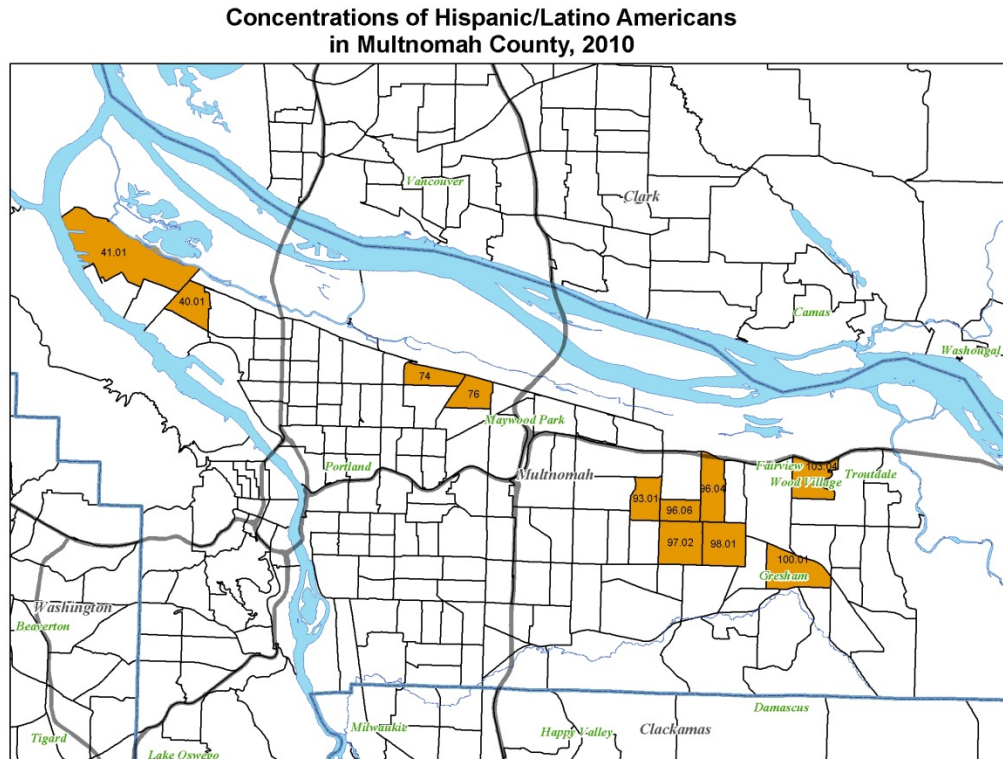
Map 2 illustrates designated areas for special activities by a Community-Based Development Organization.



Created by City of Portland, Portland Housing Bureau June 2014

Concentrations of ethnic groups by census tracts have been depicted in the following maps 3 - 6. A concentration is defined as any tract having a greater racial or ethnic population than twice the County average. As noted in prior analysis, there are fewer tracts with concentrations of African-Americans than in 2000. Maps 3-6 are based on 2010 Census data. In its recently released post enumeration survey, the Census Bureau acknowledges an undercount of communities of color<sup>2</sup>. There are many efforts underway to address these undercounts.

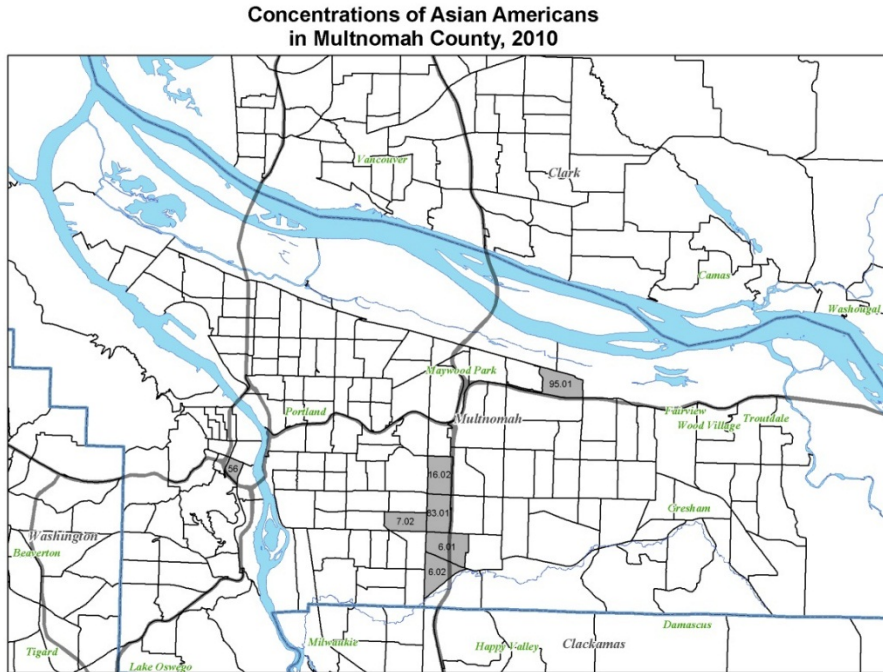
**Map 3 : Concentrations of Hispanic Americans in Multnomah County, 20103**



<sup>3</sup> 2010 Census, SF1



**Map 4 : Concentrations of Asian Americans in Multnomah County, 2010<sup>4</sup>**



**Map 5 : Concentrations of Native Americans in Multnomah County, 2010<sup>5</sup>**

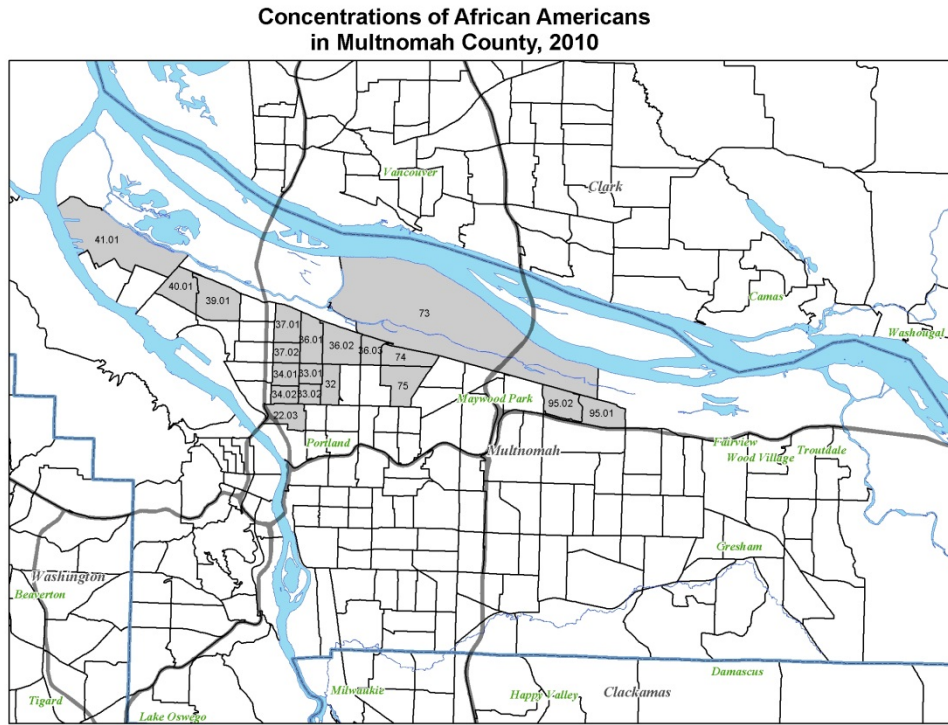


<sup>4</sup> 2010 Census, SF1

<sup>5</sup> 2010 Census, SF1



Map 6: Concentrations of African Americans in Multnomah County, 2010<sup>6</sup>



<sup>6</sup> 2010 Census, SF1

# Section 4

City of Portland Action Plan  
And Fair Housing Action Plan

**Annual Resource Table - FY 2015-16 Requested Budget**

Requested Budget	Portland	Multnomah Co.	Gresham	Total
<b>Federal Entitlement Programs</b>				
CDBG				
Entitlement	7,800,415			7,800,415
Program Income	981,000			981,000
HCDC Administration	15,336			15,336
Carryover	3,824,287			3,824,287
HOME				
Entitlement	2,216,912	147,100	434,900	2,798,912
Program Income	310,000			310,000
Carryover	4,291,463			4,291,463
Emergency Shelter Grant (ESG)	708,283			708,283
Carryover	-			-
HOPWA (7-county metro area)				
Entitlement	1,075,693			1,075,693
Springboard To Self-Sufficiency (SPNS)	-			-
Carryover	-			-
<b>Other Federal Programs</b>				
Homeless Assistance Continuum of Care/McKinney	289,159			289,159
Homeless Assistance Continuum of Care/Planning	162,532			162,532
Supportive Housing Program (SHP)	-			-
HMIS	245,666			245,666
Rapid Re-Housing for Families Demonstration Program	-			-
Shelter + Care	-			-
Lead Based Paint Grant	1,000,000			1,000,000
Carry Over	-			-
EDI/Section 108 Loan Guarantee	-			-
Homelessness Prevention & Rapid Re-Housing	-			-
Neighborhood Stabilization Program	-			-
ARRA (American Recovery & Re-investment Act)	-			-
Program Income	-			-
Healthy Homes	-			-
<b>HAP Funding</b>				
Housing Authority of Portland				-
Section 8				-
Public Housing Operating Grant				-
Public Housing Capital Grant				-
Public Housing Tenant Rents				-
Congregate Supportive Housing				-
EDSS Supportive Services				-
Apprenticeship Program				-
ROSS: Homeownership Program (GOALS)				-
• Service Coordinators				-
PILOT				-
<b>State Funding</b>				
Low Income Housing Tax Credit 9%				-
Low Income Housing Tax Credit 4%				-
<b>Local Funding</b>				
General Fund Discretionary	13,906,919			13,906,919
Indirect Cost - Lead and CDBG	398,345			398,345
Internal City Service Revenue	81,715			81,715
Administration				-
General Fund Special Appropriations				-
Housing				-
Homeless				-
Economic Opportunity				-
HMIS Program Local Revenue	179,386			179,386
Tax Foreclosed Properties				-
Housing Investment Fund	5,488,929			5,488,929
Headwaters Reserve				-
Risk Mitigation Pool / Rent Well Program	200,000			200,000
Miscellaneous Program Income				-
SDC/LTE/MCC Programs	547,062			547,062
Headwaters Apartment Complex	838,414			838,414
Tax Increment Financing & Program Income	55,903,425			55,903,425
<b>Total</b>	<b>100,464,941</b>	<b>147,100</b>	<b>434,900</b>	<b>101,046,941</b>
				101,007,292

1 HOME funds are administered by the City of Portland for the Consortium. The amount listed in the Portland column includes the grants to Multnomah County and Gre

2 HOPWA funds are administered by the City of Portland on behalf of the seven-county Portland EMSA.



**City of Portland Annual Action Plan for Housing and Community Economic Development FY 2015-16, Chapters include: Homeownership, Rental Housing, Housing for Persons with AIDS, Capacity Building, Housing Services, Economic Development, Homelessness, Admin**

Homeownership Portland Action Plan FY 2015-16												
Project Name	Contractor	Description	Funding Source	FY 12-13	FY 13-14	FY 14-15	FY 15-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Housing												
Portland Housing Center	Portland Housing Center	Provide home buyer assistance to low income participants in the form of outreach, financial fitness classes, IDA's (Individual Development Accounts--matched savings accts) and home buyer education and counseling.	CDBG	\$100,000	\$100,000	\$75,000	\$75,000	Affordability for the purpose of creating decent affordable housing.	350	1,750	Households	6. Unintended Gentrification Through Policies
Proud Ground	Proud Ground	Provide home buyer assistance to low income participants in the form of outreach and information, and education and counseling for permanently affordable housing. Add additional homes to the land trust portfolio of permanently affordable homes.	CDBG	\$45,000	\$62,585	\$0	\$70,000	Affordability for the purpose of creating decent affordable housing.	18	90	Households	6. Unintended Gentrification Through Policies
Down payment assistance program (Homebuyer Subsidy)	PCRI / NAYA / Habitat / Hacienda CDC	Provide financial assistance to homebuyers to help them access primary loan mortgage products	CDBG	\$0	\$0	\$247,000	\$250,000	Affordability	6	NA	Households	5. Lack of accessible housing and lack of affordable housing stock
PCRI (2)	PCRI	Provide home buyer assistance to low income participants in the form of outreach and information, and education and counseling for permanently affordable housing	CDBG	\$0	\$0	\$0	\$60,000	Affordability for the purpose of creating decent affordable housing.	10	na	Households	6. Unintended Gentrification Through Policies
NAYA Foreclosure Prevention (and homeownership counseling as of 2015-16)	Native American Youth Association	Provide foreclosure prevention counseling to low income participants (80% MFI or below) in the city of Portland, assisting them through loan modification, refinance, or loss mitigation.	CDBG	\$80,000	\$122,000	\$108,000	\$380,000	Affordability for the purpose of creating decent affordable housing.	30	150	Households	6. Unintended Gentrification Through Policies

### Rental Housing Portland FY 2015-16 Action Plan

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediments
Housing: Rental Housing												
Affordable Rental Housing Capital	Portland Housing Bureau	Provide financing to construct, rehabilitate and preserve a range of affordable rental housing.	HOME	\$6,726,209	\$3,915,668	\$ 3,107,656	#####	Affordability for the purpose of creating decent affordable housing.	450	2,250	Housing Units	5. Lack of accessible affordable housing and lack of affordable housing stock
			CDBG	\$6,620,994	\$5,294,266	\$ 8,200,878	#####					
Affordable Housing - Program Delivery	Portland Housing Bureau	Staff and operating costs associated with delivering the Rental Housing program	CDBG	\$810,188	\$642,399	\$836,661	\$478,829	Affordability for the purpose of creating decent affordable housing.	450	2,250	Housing Units	5. Lack of accessible affordable housing and lack of affordable housing stock
			HOME	\$0	\$0	\$0	\$0					
Section 108 Loan Guarantee Program	Portland Housing Bureau	The Section 108 Loan Guarantee Program is a source of financing allotted for housing rehabilitation and construction for the benefit of low- to moderate-income persons.	CDBG	\$495,000	\$495,000	\$495,000	\$540,000	Affordability for the purpose of creating decent affordable housing.	50	250	Housing Units	5. Lack of accessible affordable housing and lack of affordable housing stock
Gresham Program Allocation	City of Gresham	HOME Consortium allocation to be used for housing development, including homeownership in the City of Gresham. All funding to be administered by the City of Gresham.	HOME	\$355,733	\$360,429	\$569,028	\$363,899	Affordability for the purpose of creating decent affordable housing.	30	75	Housing Units	5. Lack of accessible affordable housing and lack of affordable housing stock
Gresham Administration	City of Gresham	HOME Consortium allocation to be used for administration of Gresham HOME program.	HOME	\$20,614	\$19,867	\$38,384	\$18,517	NA	NA	NA	NA	
Multnomah County HOME Program Allocation	Multnomah County	HOME Consortium allocation to be used for housing development, including homeownership in Multnomah County. All funding to be administered by Multnomah County. Focus is on Special Needs Rental Housing.	HOME	\$119,762	\$139,257	\$123,048	\$123,092	Affordability for the purpose of creating decent affordable housing.	3	15	Housing Units	5. Lack of accessible affordable housing and lack of affordable housing stock
Multnomah County HOME Administration	Multnomah County	Administer Multnomah County HOME program.	HOME	\$6,303	\$6,303	\$6,261	\$6,261	NA	NA	NA	NA	

**HOPWA Portland FY 2015-16 Action Plan**

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY 2014-15	FY2015-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Housing: HOPWA												
Cascade Aids Project - Supportive Housing Program	Cascade Aids Project	Provide necessary support including case management and rent assistance for clients to obtain and maintain housing. This program includes both a transition-in-place model and the 35 units of permanent housing developed with HOPWA funds.	HOPWA	\$924,465	\$817,415	\$834,454	\$829,586	Accessibility for the purpose of providing decent affordable housing	400	2,000	Households	7. Low income vulnerable populations
Clark County Supportive Housing Program	Clark County Health Department	Provides 4-6 units of transitional housing in Clark County, Washington and case management services provided by Clark County Department of Community Services. Provides three permanent supportive units.	HOPWA	\$153,617	\$145,596	\$145,596	\$145,596	Accessibility for the purpose of providing decent affordable housing	30	150	Households	7. Low income vulnerable populations
CCC Rosewood PBRA	Central City Concern	Provides project based rent assistance for 36 units of permanent supportive housing.	HOPWA	\$68,663	\$91,213	\$68,663	\$68,663	Affordability for the purpose of creating affordable housing.	36	180	Households	7. Low income vulnerable populations
HOPWA Rent Assistance Contingency	Portland Housing Bureau	Provide contingency to cover cost over runs in CAP permanent housing rent assistance program.	HOPWA	\$43,903	\$0	\$0	\$0	Affordability for the purpose of creating affordable housing.	12	60	Households	7. Low income vulnerable populations



### Capacity Building Portland Action Plan FY 2015-16

Project Name	Contractor	Description	Funding Source	FY 2011-12	FY 13-14	FY 14-15	FY 15-16 Requested	Outcome Description	Five Year	Indicator	Fair Housing Impediment
Housing: Capacity Building TA											
CDC Capacity Building (CHDO)	Housing Development	Funds to CHDOs as operating support to provide the development of affordable housing in Multnomah county. Projects are to be selected using a competitive RFP process. Preference is given to organizations that are Community Housing Development Organizations that can demonstrate capacity for development, ownership, and long-term management. Project priorities reflect Consolidated Plan priorities. Home funds to certified CHDO's for operating support while developing a HOME-funded project. Priority given to smaller CHDO's.	CDBG	\$428,200	\$0	\$0	\$0	Affordability for the purpose of creating decent affordable housing.	NA	NA	3. Areas of Low opportunity
			HOME	\$148,700	\$131,400	\$439,556	\$123,092				5. Unintended Gentrification through Policies
Multnomah County Operating Support (CHDO)	Multnomah County	HOME funds to CHDOs as operating support to provide the development of affordable housing in Multnomah county. Projects are to be selected using a competitive RFP process. Preference is given to organizations that are Community Housing Development Organizations that can demonstrate capacity for development, ownership, and long-term management. Project priorities reflect Consolidated Plan priorities.	HOME	\$7,715	\$6,635	\$7,353	\$7,353	Affordability for the purpose of creating decent affordable housing.	NA	NA	5. lack of accessible affordable and lack of affordable housing stock.
Gresham Operating Support (CHDO)	City of Gresham	HOME funds to CHDOS as operating support to support development of affordable housing in Gresham.	HOME	\$27,466	\$25,000	\$25,000	\$25,000	Affordability for the purpose of creating decent affordable housing.	NA	NA	5. lack of accessible affordable and lack of affordable housing stock.
Housing Development Center	Housing Development Center	Capacity Building technical assistance provided to CDC's with rental development projects	CDBG	\$0	\$60,000	\$35,000	\$35,000	Affordability for the purpose of creating decent affordable housing.	NA	NA	5. lack of accessible affordable and lack of affordable housing stock.
Oregon Opportunity Network	Oregon Opportunity Network	Provides information and other resources to citizen based organizations participating in the planning, implementation and assessment of activities being assisted with CDBG funds.	CDBG	\$37,000	\$37,000	\$37,500	\$37,500	Affordability for the purpose of creating decent affordable housing.	NA	NA	6. Unintended gentrification through policies.

### Housing Services Portland FY 2015-16 Action Plan

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Housing: Housing Services Portland Action Plan												
Fair Housing Services and Enforcement	Legal Aid Services of Oregon	Advice and representation for people experiencing housing discrimination within the city of Portland.	CDBG	\$47,000	\$47,000	\$47,800	\$47,800	Accessibility for the purpose of providing decent affordable housing.	75	375	People	1. Discrimination in Rental Housing
Fair Housing Education and Enforcement	Fair Housing Council of Oregon	Advice, investigation and referral to enforcement for people experiencing housing discrimination within the city of Portland. This project also provides education to housing consumers and housing providers regarding fair housing law.	CDBG	\$40,000	\$25,400	\$27,900	\$27,900	Accessibility for the purpose of creating decent affordable housing.	500	2,500	People	4. Lack of Fair Housing Data
Community Alliance of Tenants - Renters Rights Hot Line	Community Alliance of Tenants	Provide phone assistance to renters in the City of Portland with the goal of helping them maintain stable housing by informing them of their rights and responsibilities as renters.	CDBG	\$74,000	\$74,000	\$86,400	\$86,400	Accessibility for the purpose of creating a suitable living environment	3,000	15,000	People	2. Lack of Fair Housing Understanding
Fair Housing Education and Enforcement	Fair Housing Center of Washington/ Fair Housing Council of Oregon	Outreach to landlords to increase their awareness of and participation in several programs that increase access to appropriate, safe and affordable housing to low-income populations. Program included in outreach include Housing Connections, Rent Well, Fresh	CDBG	\$25,400	\$40,000	\$40,700	\$40,700	Accessibility for the purpose of providing decent affordable housing.	30	150	People	1. Discrimination in Rental Housing
Services Information and Referral	211 Info	Operate 2-1-1 information and referral to provide telephone and e-mail support to Portland residents seeking help finding housing, food, winter or year-round shelter, winter warming centers, Severe Weather Emergency Warming Centers and other basic services.	CDBG	\$37,700	\$30,000	\$30,000	\$30,000	Accessibility for the purpose of crating a suitable living environment.	12,000	60,000	People	2. Lack of Fair Housing Understanding
Substandard Housing Relocation	Impact NW	Assist households displaced from substandard housing with relocation services to identify and obtain appropriate replacement housing.	CDBG	\$120,000	\$120,000	\$112,000	\$112,000	Accessibility for the purpose of creating a suitable living environment	46	230	People	7. Low income vulnerable populations
Reach Community Builders	Reach Community Builders	Provide minor home repairs for low-income elderly and disabled homeowners in the City of Portland.	CDBG	\$170,000	\$170,000	\$170,000	\$170,000	Accessibility for the purpose of creating a suitable living environment	70	350	Housing Units	5. Lack of accessible housing and lack of affordable housing stock

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY 2014-15	FY 2015-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Housing: Housing Services Portland Action Plan												
Community Energy Project	Community Energy Project	Provide Weatherization Workshops, a Senior Weatherization Program, and a Home Safety Maintenance Program.	CDBG	\$160,000	\$160,000	\$146,000	\$146,000	Accessibility for the purpose of creating a suitable living environment	500	2500	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
Unlimited Choices	Unlimited Choices	Provide accessibility services for low-income people with disabilities and minor repair services for mobile home owners.	CDBG	\$215,000	\$215,000	\$281,500	\$281,500	Accessibility for the purpose of creating a suitable living environment	82	410	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
Rebuilding Together	Rebuilding Together	Provide funding to staff to support its Rebuilding Together Project, which supplies free home repairs for low-income households, seniors, and/or those with disabilities.	CDBG	\$45,000	\$45,000	\$47,500	\$47,500	Accessibility for the purpose of creating a suitable living environment	56	280	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
Home Repair Program	Portland Housing Bureau	The goals of the Homeowner Repair program are to increase the habitability and safety of housing for low-income elderly and disabled households while simultaneously preserving the housing stock for future residents.	CDBG	\$160,000	\$160,000	\$160,000	\$60,000	Accessibility for the purpose of creating decent affordable housing.	18	90	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
Reach Healthy Homes	Reach Community Builders	The primary goal of the HUD-funded Healthy Homes program is to decrease exposures to multiple household hazards which contribute to asthma exacerbations and other serious illnesses among children under the age of 6 in Portland's distressed communities of Multnomah County.	CDBG	\$75,000	\$84,000	\$0	\$0	Accessibility for the purpose of creating decent affordable housing.	50	NA	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
Multnomah County Healthy Homes	Multnomah County	The primary goal of the HUD-funded Healthy Homes program is to decrease exposures to multiple household hazards which contribute to asthma exacerbations and other serious illnesses among children under the age of 6 in Portland's distressed communities of Multnomah County.	CDBG	\$35,000	\$26,000	\$0	\$0	Accessibility for the purpose of creating decent affordable housing.	50	NA	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
NAYA Cully Project	NAYA and county services collaborative	Single family home repair in the Cully neighborhood provided to low/mod residents	CDBG	\$0	\$0	\$102,000	\$102,000	Accessibility for the purpose of creating decent affordable housing.	30	NA	Housing Units	5. Lack of accessible housing and lack of affordable housing stock
PCRI Home Repair	PCRI	Single family home repair provided to low/mod residents	CDBG	\$0	\$0	\$123,000	\$123,000	Accessibility for the purpose of creating decent affordable housing.	30	NA	Housing Units	5. Lack of accessible housing and lack of affordable housing stock

## Economic Opportunity Portland Action Plan FY 2015-2016

Project Name	Contractor	Description	Funding Source	FY 2013-14	FY 14-15	FY 2015-16 Req.	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediments
Economic Development: Community Economic Development											
Economic Opportunity Microenterprise Growth	Portland Development Commission	Intensive training, technical assistance and access to capital to assist micro entrepreneurs in achieving living wage income levels.	CDBG	\$672,640	\$672,640	\$831,310	Accessibility for the purpose of creating economic opportunity.	95 participants will raise their incomes by 25% in three years.	140 participants will raise their incomes by 25% in three years.	People	7. Low income vulnerable populations
Economic Opportunity Workforce Development	Portland Development Commission	Short-term intensive training, placement, and long-term retention in career track jobs, with employer involvement in to insure that the program graduates are the workers they	CDBG	\$1,303,000	\$1,342,409	\$1,342,409	Accessibility for the purpose of creating economic opportunity.	300 participants will raise their incomes by 25% in three years.	1500 participants will raise their incomes by 25% in three years.	People	7. Low income vulnerable populations
Economic Opportunity Program Delivery	Portland Development Commission	Program delivery costs for Economic Opportunity Initiative	CDBG	\$265,951	\$265,951	\$107,281	Accessibility for the purpose of creating economic opportunity.	NA	NA	Na	7. Low income vulnerable populations

## Homelessness Portland Action Plan FY 2015-16

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY2014-15	FY 2015-16 Requested	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Homeless Facilities & Services												
TPI	Transition Projects Inc.	To operate two men's shelters with 90 beds each, as well as a 55-bed women's shelter & transitional housing program.	CDBG	\$590,200	\$562,140	\$877,200	\$0	Accessibility for the purpose of creating a suitable living environment.	Provide emergency shelter for 1,000 homeless men and women.	Provide emergency shelter for 5,000 individuals.	People	7. Low income vulnerable populations
			HOME	\$0	\$0	\$0	\$0					
			ESG	\$0	\$0	\$0	\$0					
ESG12 Portland	Home Forward, Transitions Projects, Inc., Central City Concern	The Federal Fiscal Year 2012 ESG funds for the City of Portland have been allocated to provide outreach to persons living on the streets, operate shelters for the homeless, provide utility assistance and emergency rental assistance to prevent homelessness, implement rapid re-housing strategies and for program administration and data collection through HMIS.	ESG	\$782,156	\$561,868	\$663,180	\$708,283	Accessibility for the purpose of creating a suitable living environment.	NA	NA	People	7. Low income vulnerable populations
Cascadia/County Mental Health Transitional Bridgeview	Multnomah County	Multnomah County will provide transitional housing and services for homeless, chronically mentally ill people.	CDBG	\$238,600	\$226,670	\$231,700	\$0	Accessibility for the purpose of creating a suitable living environment.	Provide transitional housing and services for 50 homeless individuals	Provide transitional housing and services for 250 homeless individuals.	People	7. Low income vulnerable populations
NW Pilot Project - Homeless Seniors	NW Pilot Project	NW Pilot Project will provide homeless services and homeless prevention to seniors	CDBG	\$114,100	\$0	\$0	\$0	Accessibility for the purpose of creating a suitable living environment.	Provide supportive and prevention services to 1,200 homeless and at-risk	Provide supportive and prevention services to 6,000	People	7. Low income vulnerable populations
Homeless Prevention												
Short Term Rent Assistance	Home Forward	Provide HOME Tenant Based Rental Assistance and short-term rent assistance to homeless, at risk of homelessness, or disabled low-income families and individuals; does not include program administration costs.	HOME	\$395,000	\$385,000	\$385,600	\$925,500	Affordability for the purpose of creating decent affordable housing.	Provide rent assistance to 800 households.	Provide rent assistance to 4,000 households.	Number of Households	7. Low income vulnerable populations
Short Term Rent Assistance	Home Forward	Provide CDBG administrative funding to HOME TBRA program	CDBG	\$0	\$70,000	\$0	\$0	Affordability for the purpose of creating decent affordable housing.	Provide rent assistance to 800 households.	Provide rent assistance to 4,000 households.	Number of Households	7. Low income vulnerable populations

### Administration Portland Action Plan FY 2015-16

Project Name	Contractor	Description	Funding Source	FY 2012-13	FY 2013-14	FY 2014-15	Fy 2015-16 Reg.	Outcome Description	One Year	Five Year	Indicator	Fair Housing Impediment
Administration												
PHB Program & Grant Management	PHB	Portland Housing Bureau administration for the Community Development Block Grant and other related activities.	CDBG	\$835,845	\$702,908	\$878,740	\$787,905					3. Areas of opportunity
			ESG	\$0	\$0	\$0	\$0					
HOME Administration	PHB	PHB staff and operating costs associated with administering the HOME program.	HOME	\$306,938	\$374,122	\$767,290	\$193,182					5. Lack of accessible affordable housing stock
HOPWA Administration	PHB	PHB staff and operating costs associated with administering the HOPWA program. HUD Matrix code should be 31b (Grantee admin) but the HUD 2020 software does not have that as an option	HOPWA	\$32,722	\$31,002	\$32,434	\$31,848					7. Low income vulnerable populations
Mult Co HOME Administration	Multnomah County	HOME Consortium allocation to be used for administration of Multnomah County HOME funds.	HOME	\$6,303	\$6,303	\$6,261	\$6,261					
Gresham HOME Administration	Gresham	HOME Consortium allocation to be used for administration of Gresham HOME funds.	HOME	\$20,614	\$19,867	\$18,517	\$18,517					
Indirect Costs	PHB	City of Portland indirect cost for CDBG based on the City's cost allocation plan.	CDBG	\$479,536	\$320,345	\$380,162	\$337,868					





# Appendix E

## HUD Certifications

### City of Portland

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.


**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
Signature/Authorized Official

4/10/2015  
Date

Mayor  
Title

**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

  
Signature/Authorized Official

4/10/2015  
Date

Mayor  
Title

**Specific HOME Certifications**

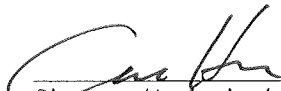
The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

  
\_\_\_\_\_  
Signature/Authorized Official

4/16/2015  
Date

Mayor  
Title

### ESG Certifications

The Emergency Shelter Grantee certifies that:

**Major rehabilitation/conversion** -- It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for rehabilitation (other than major rehabilitation or conversion), the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

**Essential Services and Operating Costs** -- Where assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal State, local, and private assistance.

**Matching Funds** -- It will obtain matching amounts required under 24 CFR 576.51.

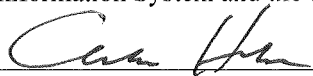
**Confidentiality** -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

**Consolidated Plan** -- It is following a current HUD-approved Consolidated Plan or CHAS.

**Discharge Policy** ---- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.

  
Signature/Authorized Official

4/16/2015  
Date

Mayor  
Title

### HOPWA Certifications

The HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

  
\_\_\_\_\_  
Signature/Authorized Official

4/6/2015  
Date

Mayor  
Title



**APPENDIX TO CERTIFICATIONS****INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:****A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

City of Portland Housing Bureau

421 SW 6th Avenue, Suite 500

Portland, OR 97204

Check \_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).



## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2015-2016, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.



**RESIDENTIAL ANTIDISPLACEMENT AND RELOCATION ASSISTANCE PLAN  
(City of Portland Supplement)**

This Residential Anti-displacement and Relocation Assistance Plan (RARAP) is prepared by The City of Portland in accordance with the Housing and Community Development Act of 1974, as amended; and HUD regulations at 24 CFR 42.325 and is applicable to our CDBG\*, HOPWA UDAG and/or HOME-assisted projects.

**Minimize Displacement**—Consistent with the goals and objectives of activities assisted under the Act, The City of Portland will take the following steps to minimize the direct and indirect displacement of persons from their homes:

- Collect as part of every application for federal funds, a Relocation and Acquisition Questionnaire to assess potential temporary or permanent relocation to use as a determining factor for loan/grant approval.
- Stage rehabilitation of apartment units if possible to allow tenants to remain in the building/complex during and after the rehabilitation, working with empty units first.
- Arrange and/or offer replacement units, both temporary and permanent when possible within the borrower's affordable housing portfolio.
- Work closely with borrowers and their relocation contractors to ensure compliance with URA.
- Use tax assessment policies and programs to encourage creation of affordable and low-income housing in not only Urban Renewal Areas, but in other areas in the jurisdiction as well.
- Offer information and services to provide homeowners and tenants with materials on assistance available to help them through City of Portland housing programs.
- When reasonable, give priority to rehabilitation of housing, as opposed to demolition, to avoid displacement.

If feasible, demolish or convert only dwelling units that are not occupied or vacant occupiable dwelling units (especially those units which are "lower-income dwelling units" (as defined in 24 CFR 42.305).

Target for rehabilitation only those units deemed essential to the need or success of the project  
1 CDBG programs include: Entitlement Community Development Block Grant (CDBG) Program, State CDBG Program, CDBG Small Cities Program, Section 108 Loan Guarantee Program, CDBG Special Purpose Grants Program, and the Neighborhood Stabilization Program (NSP).

### **Relocation Assistance Payments for Residential Tenants Displaced—Uniform Relocation Act and Section 104(d)**

The City of Portland shall make installments, except that lump sum payments may be made to cover (1) moving expenses, (2) a down-payment on the purchase of replacement housing, or incidental expenses related to (1) or (2). Whenever the payment is made in installments, the full amount of the approved payment shall be disbursed in regular installments, whether or not there is any later change in the person's income or rent, or in the condition or location of the person's housing. These payments will be disbursed in three (3) payments unless it has been determined by the City of Portland that there is a reasonable request to reduce the installments to no less than (2).

### **Relocation Assistance to Displaced Persons—Section 104(d)**

The City of Portland will provide relocation assistance for lower-income tenants who, in connection with an activity assisted under the [CDBG, HOME and/or HOPWA] Program[s], move permanently or move personal property from real property as a direct result of the demolition of any dwelling unit or the conversion of a lower-income dwelling unit in accordance with the requirements of 24 CFR 42.350 (Section 104(d)). A displaced person who is not a lower-income tenant, will be provided relocation assistance in accordance with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24.

### **One-for-One Replacement of Lower-Income Dwelling Units**

The City of Portland will replace all occupied and vacant occupiable lower-income dwelling units demolished or converted to a use other than lower-income housing in connection with a project assisted with funds provided under the [CDBG, HOME and/or HOPWA] Program[s] in accordance with 24 CFR 42.375.

Before entering into a contract committing the City of Portland to provide funds for a project that will directly result in demolition or conversion of lower-income dwelling units, the City of Portland will make these plans public by required methods and submit to HUD information in writing:

1. A description of the proposed assisted project;
2. The address, number of bedrooms, and location on a map of lower-income dwelling units that will be demolished or converted to a use other than as lower-income dwelling units as a result of an assisted project;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. To the extent known, the address, number of lower-income dwelling units by size (number of bedrooms) and location on a map of the replacement lower-income housing that has been or will be provided. Note: See also 24 CFR 42.375(d).

5. The source of funding and a time schedule for the provision of the replacement dwelling units;
6. The basis for concluding that each replacement dwelling unit will remain a lower-income dwelling unit for at least 10 years from the date of initial occupancy; and
7. Information demonstrating that any proposed replacement of lower-income dwelling units with smaller dwelling units (e.g., a 2-bedroom unit with two 1-bedroom units), or any proposed replacement of efficiency or single-room occupancy (SRO) units with units of a different size, is appropriate and consistent with the housing needs and priorities identified in the HUD-approved Consolidated Plan and 24 CFR 42.375(b).

To the extent that the specific location of the replacement dwelling units and other data in items 4 through 7 are not available at the time of the general submission, the City of Portland will identify the general location of such dwelling units on a map and complete the disclosure and submission requirements as soon as the specific data is available.

#### **Replacement not Required Based on Unit Availability**

Under 24 CFR 42.375(d), the City of Portland may submit a request to HUD for a determination that the one-for-one replacement requirement does not apply based on objective data that there is an adequate supply of vacant lower-income dwelling units in standard condition available on a non-discriminatory basis within the area.

#### **Contacts**

The Portland Housing Bureau is responsible for tracking the replacement of lower-income dwelling units and ensuring that they are provided within the required period. The Portland Housing Bureau is responsible for monitoring the relocation payments and other relocation assistance to any permanently or temporarily displaced person for which federal dollars have been used to fund a project.






**APPLICATION FOR  
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

<b>1. TYPE OF SUBMISSION:</b> Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	<b>2. DATE SUBMITTED</b> 5-15-2015	Applicant Identifier 93-6002236
			<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier
			<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier
<b>5. APPLICANT INFORMATION</b>				
Legal Name: City of Portland			<b>Organizational Unit:</b> Department: Portland Housing Bureau	
Organizational DUNS: 05-497-1197			Division:	
<b>Address:</b> Street: 421 SW 6th Avenue, Suite 500			<b>Name and telephone number of person to be contacted on matters involving this application (give area code)</b> Prefix: Ms. First Name: Kim	
City: Portland			Middle Name	
County: Multnomah County			Last Name McCarty	
State: OR		Zip Code 97204	Suffix:	
Country:			Email: Kim.McCarty@portlandoregon.gov	
<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b> 9 3 - 6 0 0 2 2 3 6			Phone Number (give area code) 503-823-5312	Fax Number (give area code) 503-823-2387
<b>8. TYPE OF APPLICATION:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>			<b>7. TYPE OF APPLICANT:</b> (See back of form for Application Types) Municipal Other (specify)	
<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b> TITLE (Name of Program): HOME Investment Partnerships 1 4 - 2 3 9			<b>9. NAME OF FEDERAL AGENCY:</b> U.S. Department of Housing and Urban Development	
<b>12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):</b> OR:Clackamas, Washington, Multnomah, Yamhill, Columbia WA:Clark, Skamania			<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> Consolidated Plan 2011-2016, Action Plans for 2015-2016 for the Portland Consortium	
<b>13. PROPOSED PROJECT</b> Start Date: July 1, 2015			<b>14. CONGRESSIONAL DISTRICTS OF:</b> a. Applicant First, Third, and Fifth in Oregon	
Ending Date: June 30, 2016			b. Project First, Third and Fifth in Oregon	
<b>15. ESTIMATED FUNDING:</b>			<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>	
a. Federal	\$	2,798,912 <sup>00</sup>	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
b. Applicant	\$	<sup>00</sup>	DATE:	
c. State OR	\$	<sup>00</sup>	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
d. Local	\$	<sup>00</sup>	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
e. Other	\$	<sup>00</sup>	<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>	
f. Program Income	\$	<sup>00</sup>	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
g. TOTAL	\$	<sup>00</sup>		
<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>				
<b>a. Authorized Representative</b>				
Prefix Honorable	First Name Charlie		Middle Name	
Last Name Hales		Suffix		
b. Title Mayor		c. Telephone Number (give area code) 503-823-4120		
d. Signature of Authorized Representative 		e. Date Signed		

## INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
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A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

APPLICATION FOR  
FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

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City: Portland			Middle Name		
County: Multnomah County			Last Name McCarty		
State: OR		Zip Code 97204	Suffix:		
Country:			Email: Kim.McCarty@portlandoregon.gov		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <input type="text" value="9"/> <input type="text" value="3"/> <input type="text" value="-"/> <input type="text" value="6"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="2"/> <input type="text" value="2"/> <input type="text" value="3"/> <input type="text" value="6"/>			Phone Number (give area code) 503-823-5312		Fax Number (give area code) 503-823-2387
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12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): OR:Clackamas, Washington, Multnomah, Yamhill, Columbia WA:Clark, Skamania			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Consolidated Plan 2011-2016, Action Plans for 2015-2016 for the Portland Consortium		
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a. Federal	\$	708,283 <sup>00</sup>	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:		
b. Applicant	\$	<sup>00</sup>	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
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10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

APPLICATION FOR  
FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED 5-15-2015	Applicant Identifier 93-6002236
			3. DATE RECEIVED BY STATE	State Application Identifier
			4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION				
Legal Name: City of Portland			Organizational Unit: Department: Portland Housing Bureau	
Organizational DUNS: 05-497-1197			Division:	
Address: Street: 421 SW 6th Avenue, Suite 500			Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: First Name: Kim	
City: Portland			Middle Name	
County: Multnomah County			Last Name McCarty	
State: OR		Zip Code 97204	Suffix:	
Country:			Email: Kim.McCarty@portlandoregon.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <input type="text" value="9"/> <input type="text" value="3"/> <input type="text" value="-"/> <input type="text" value="6"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="2"/> <input type="text" value="2"/> <input type="text" value="3"/> <input type="text" value="6"/>			Phone Number (give area code) 503-823-5312	
			Fax Number (give area code) 503-823-2387	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) <input type="text"/> <input type="text"/> Other (specify)			7. TYPE OF APPLICANT: (See back of form for Application Types) Municipal Other (specify)	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <input type="text" value="1"/> <input type="text" value="4"/> <input type="text" value="-"/> <input type="text" value="2"/> <input type="text" value="4"/> <input type="text" value="1"/> TITLE (Name of Program): Housing for Persons with AIDS			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): OR:Clackamas,Washington, Multnomah, Yamhill, Columbia WA:Clark, Skamania			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Consolidated Plan 2011-2016, Action Plans for 2015-2016 for the Portland Consortium	
13. PROPOSED PROJECT Start Date: July 1, 2015			14. CONGRESSIONAL DISTRICTS OF: a. Applicant First, Third, and Fifth in Oregon	
Ending Date: June 30, 2016			b. Project First, Third and Fifth in Oregon	
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$	1,075,693 <sup>00</sup>	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
b. Applicant	\$	<sup>00</sup>	DATE:	
c. State OR	\$	<sup>00</sup>	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
d. Local	\$	<sup>00</sup>	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
e. Other	\$	<sup>00</sup>	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
f. Program Income	\$	<sup>00</sup>	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
g. TOTAL	\$	<sup>00</sup>		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.				
a. Authorized Representative				
Prefix Honorable	First Name Charlie		Middle Name	
Last Name Hales			Suffix	
b. Title Mayor			c. Telephone Number (give area code) 503-823-4120	
d. Signature of Authorized Representative			e. Date Signed	

## INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as Item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
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E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> <li>• "New" means a new assistance award.</li> <li>• "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.</li> <li>• "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter:  <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. Increase Award</td> <td style="width: 50%;">B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> </li> </ul>	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
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**APPLICATION FOR  
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED 5-15-2015		Applicant Identifier 93-6002236	
<input checked="" type="checkbox"/> Construction		3. DATE RECEIVED BY STATE		State Application Identifier	
<input type="checkbox"/> Non-Construction		4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier	
<input type="checkbox"/> Pre-application		<input type="checkbox"/> Construction		<input type="checkbox"/> Non-Construction	
5. APPLICANT INFORMATION					
Legal Name: City of Portland			Organizational Unit: Department: Portland Housing Bureau		
Organizational DUNS: 05-497-1197			Division:		
Address: Street: 421 SW 6th Avenue, Suite 500			Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: Portland			Prefix: Ms.	First Name: Kim	
County: Multnomah County			Middle Name		
State: OR		Zip Code 97204	Last Name McCarty		
Country:			Suffix:		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <input type="text" value="9"/> <input type="text" value="3"/> <input type="text" value="-"/> <input type="text" value="6"/> <input type="text" value="0"/> <input type="text" value="0"/> <input type="text" value="2"/> <input type="text" value="2"/> <input type="text" value="3"/> <input type="text" value="6"/>			Email: Kim.McCarty@portlandoregon.gov		Phone Number (give area code) 503-823-5312
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)			7. TYPE OF APPLICANT: (See back of form for Application Types)		
Other (specify) <input type="checkbox"/> <input type="checkbox"/>			Municipal		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Community Development Block Grant/Entitlement Grants			Other (specify)		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): OR:Clackamas,Washington, Multnomah, Yamhill, Columbia WA:Clark, Skamania			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development		
13. PROPOSED PROJECT Start Date: July 1, 2015			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Consolidated Plan 2011-2016, Action Plans for 2015-2016 for the Portland Consortium		
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15. ESTIMATED FUNDING:			b. Project First, Third and Fifth in Oregon		
a. Federal    \$    7,800,415 <sup>00</sup>			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
b. Applicant    \$    . <sup>00</sup>			a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON		
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g. TOTAL    \$    . <sup>00</sup>			<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		
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a. Authorized Representative					
Prefix Honorable		First Name Charlie		Middle Name	
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