

Misc. Contracts and Agreements No. 28038  
Cross Ref. Master Certification Agreement No. 26586

**AMENDMENT NUMBER 01**  
**LOCAL AGENCY CERTIFICATION PROGRAM**  
**Supplemental Project Agreement No. 28038**  
***Red Electric Trail: SW 30<sup>th</sup> Avenue to SW Vermont Street***  
**City of Portland**

The **STATE OF OREGON**, acting by and through its Department of Transportation, hereinafter referred to as "State," and the **CITY OF PORTLAND**, acting by and through its elected officials, hereinafter referred to as "City," entered into an Agreement on November 8, 2011. Said Agreement covers pedestrian and cyclist on-street and off-street trail connections.

It has now been determined by State and City that the Agreement referenced above shall be amended to clarify CMAQ funding, reference the current Local Agency Certification Program Agreement, and update contact information. Except as expressly amended below, all other terms and conditions of the Agreement are still in full force and effect.

**RECITALS, Paragraph 1, Page 1 which reads:**

1. By the authority granted in Local Agency Certification Program Agreement No. 21492 incorporated herein and by this reference made a part hereof, State may enter into this Supplemental Project Agreement with City for the performance of work on this improvement Project. The certification Program allows State to certify a Local Agency's procedures and delegates authority to the certified Local Agency to administer federal-aid projects that are not on the National Highway System.

**Shall be deleted in its entirety and replaced with the following:**

1. By the authority granted in Local Agency Certification Program Agreement No. 26586 incorporated herein and by this reference made a part hereof, State may enter into this Supplemental Project Agreement with City for the performance of work on this improvement Project. The certification Program allows State to certify a Local Agency's procedures and delegates authority to the certified Local Agency to administer federal-aid projects that are not on the National Highway System.

**TERMS OF AGREEMENT, Paragraph 4, Page 2, which reads:**

4. The Project shall be conducted as a part of the Congestion Mitigation and Air Quality (CMAQ) Program under Title 23, United States Code. The CMAQ funds for this project shall be limited to **\$1,359,410**. The Project will be financed with CMAQ funds at the maximum allowable federal participating amount, with City providing the match and any non-participating costs, including all costs in excess of the available federal funds.

**Shall be deleted in its entirety and replaced with the following:**

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4. This Project shall be conducted as a part of the Congestion Mitigation and Air Quality (CMAQ) Program under Title 23, United States Code. The CMAQ funds are limited to **\$1,748,838**, with Agency providing the match and non-participating costs, including all costs in excess of the available federal funds. Agency shall be responsible for determining the amount of federal funds to be applied to each phase of the Project. Agency is not guaranteed the use of unspent funds for a particular phase of work. It is Agency's responsibility to notify State in advance of State obligating the funds for a subsequent phase if Agency wants to release funds on the current authorized phase(s) of work.

**TERMS OF AGREEMENT, Paragraph 10, Page 2, which reads:**

10. Local Agency Certification Program Agreement No. 21492 was fully executed on November 1, 2005 and amended on September 25, 2007.

**Shall be deleted in its entirety and replaced with the following:**

10. Local Agency Certification Program Agreement No. 26586 was fully executed on September 6, 2012.

**TERMS OF AGREEMENT, Paragraph 19, Page 4, which reads:**

19. This Agreement and the Local Agency Certification Program (Certification Program) Agreement No. 21492, as amended, and all attached exhibit constitutes the entire agreement between the Parties on the subject matter hereof. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this agreement. No waiver, consent, modification or change of terms of this Agreement shall bind either party unless in writing and signed by both Parties and all necessary approvals have been obtained. Such waiver, consent, modification or change, if made, shall be effective only in the specific instance and for the specific purpose given. The failure of State to enforce any provision of this Agreement shall not constitute a waiver by State of that or any other provision.

**Shall be deleted in its entirety and replaced with the following:**

19. This Agreement and the Local Agency Certification Program (Certification Program) Agreement No. 26586, and all attached exhibit constitutes the entire agreement between the Parties on the subject matter hereof. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this agreement. No waiver, consent, modification or change of terms of this Agreement shall bind either party unless in writing and signed by both Parties and all necessary approvals have been obtained. Such waiver, consent, modification or change, if made, shall be effective only in the specific instance and for the specific purpose given. The failure of State to enforce any provision of this Agreement shall not constitute a waiver by State of that or any other provision.

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**TERMS OF AGREEMENT, Paragraph 21, Page 4, which reads:**

21. City's Project Liaison for this Agreement is Kyle Chisek, 1120 SW 5<sup>th</sup> Avenue, Room 800, Portland, OR 97204, 503-823-7041, [kyle.chisek@portlandoregon.gov](mailto:kyle.chisek@portlandoregon.gov), or assigned designee upon individual's absence. City shall notify the other Party in writing of any contact information changes during the term of this Agreement.

**Shall be deleted in its entirety and replaced with the following:**

21. City's Project Liaison for this Agreement is Elizabeth Mahon, PBOT, 1120 SW 5th Avenue, Room 800, Portland, OR 97204, 503-823-0396, [elizabeth.mahon@portlandoregon.gov](mailto:elizabeth.mahon@portlandoregon.gov), or assigned designee upon individual's absence. City shall notify the other Party in writing of any contact information changes during the term of this Agreement.

This Amendment may be executed in several counterparts (facsimile or otherwise) all of which when taken together shall constitute one agreement binding on all Parties, notwithstanding that all Parties are not signatories to the same counterpart. Each copy of this Amendment so executed shall constitute an original.

**THE PARTIES**, by execution of this Agreement, hereby acknowledge that their signing representatives have read this Agreement, understand it, and agree to be bound by its terms and conditions.

This Project is in the 2012-2015 Statewide Transportation Improvement Program, (Key #17268) that was adopted by the Oregon Transportation Commission on March 21, 2012 (or subsequently approved by amendment to the STIP).

**SIGNATURE PAGE TO FOLLOW**

Agency/State  
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**CITY OF PORTLAND**, by and through  
its elected officials

By \_\_\_\_\_  
Mayor

Date \_\_\_\_\_

By \_\_\_\_\_  
Auditor

Date \_\_\_\_\_

**APPROVED AS TO LEGAL  
SUFFICIENCY**

By James H. Van Dyke  
City Legal Counsel  
CITY ATTORNEY  
Date 7/12/13

**City Contact:**

Elizabeth Mahon- PBOT  
1120 SW 5th Avenue, Room 800  
Portland, OR 97204  
503-823-0396  
elizabeth.mahon@portlandoregon.gov

**State Contact:**

Bret Richards - ODOT  
123 NW Flanders Street  
Portland, OR 97209  
503-731-8288  
bret.n.richards@odot.state.or.us

**STATE OF OREGON**, by and through  
its Department of Transportation

By \_\_\_\_\_  
Highway Division Administrator

Date \_\_\_\_\_

**APPROVAL RECOMMENDED**

By \_\_\_\_\_  
Technical Services Manager/  
Chief Engineer

Date \_\_\_\_\_

By \_\_\_\_\_  
Active Transportation Section Manager

Date \_\_\_\_\_

By \_\_\_\_\_  
Region 1 Manager

Date \_\_\_\_\_

**APPROVED AS TO LEGAL  
SUFFICIENCY**

By \_\_\_\_\_  
Assistant Attorney General

Date: \_\_\_\_\_

City Contract No. 30002431

Misc. Contracts and Agreements No. 28038  
Cross Ref. Master Certification Agreement 21492

Oregon Department of Transportation  
LOCAL AGENCY CERTIFICATION PROGRAM  
Supplemental Project Agreement No. 28038  
***Red Electric Trail: SW 30<sup>th</sup> Avenue to SW Vermont Street***

THIS AGREEMENT is made and entered into by and between THE STATE OF OREGON, acting by and through its Department of Transportation, hereinafter referred to as "State;" and the CITY OF PORTLAND acting by and through its elected officials, hereinafter referred to as "City," both herein referred to individually or collectively as "Party" or "Parties."

**RECITALS**

1. By the authority granted in Local Agency Certification Program Agreement No. 21492 incorporated herein and by this reference made a part hereof, State may enter into this Supplemental Project Agreement with City for the performance of work on this improvement Project. The Certification Program allows State to certify a Local Agency's procedures and delegates authority to the certified Local Agency to administer federal-aid projects that are not on the National Highway System.
2. Red Electric Trail, SW 30<sup>th</sup> Avenue and SW Vermont Street are a part of the City's street system under the jurisdiction and control of City.

**NOW THEREFORE**, the premises being in general as stated in the foregoing Recitals, it is agreed by and between the Parties hereto as follows:

**TERMS OF AGREEMENT**

1. Under such authority, City agrees to provide pedestrian and cyclist on-street and off-street trail connection on the segment of the Red Electric Trail from SW 30<sup>th</sup> Avenue to SW Vermont Street, hereinafter referred to as "Project". The location of the Project is shown on the sketch map attached hereto, marked "Exhibit A" and by this reference made a part hereof.
2. The total estimated cost of the Project is \$2,200,000, which is subject to change.
3. The Project shall be conducted as a part of the Federal-Aid Surface Transportation Program (STP) under Title 23, United States Code. STP Metro funds for this Project shall be estimated at \$180,357. The Project will be financed with STP Metro funds at the maximum allowable federal participating amount, with City providing the match and any non-participating costs, including all costs in excess of the available federal funds.

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4. The Project shall be conducted as a part of the Congestion Mitigation and Air Quality (CMAQ) Program under Title 23, United States Code. The CMAQ funds for this project shall be limited to \$1,359,410. The Project will be financed with CMAQ funds at the maximum allowable federal participating amount, with City providing the match and any non-participating costs, including all costs in excess of the available federal funds.
5. City shall make all payments for work performed on the Project, including all construction costs, and invoice State for 100 percent of its costs. State shall reimburse City invoices at the pro-rated federal share. All costs beyond the federal and state reimbursement, any deposited local funds and any non-participating costs will be the responsibility of the City. State shall perform work in the estimated amount of \$8,000. State shall simultaneously invoice FHWA and City for State's Project costs, and City agrees to reimburse State for the federal-aid matching state share and any non-participating costs as determined in accordance with paragraph number 3, above upon receipt of invoice. Failure of City to make such payments to State may result in withholding of City's proportional allocation of State Highway Trust Funds until such costs are paid. City understands that State's costs are estimates only and agrees to reimburse State for the actual amount expended.
6. City shall advertise, bid and award the construction contract and perform construction contract administration. City understands that consultant selection, design, advertising, bid and award; and construction contract administration for City's federal-aid non-NHS projects shall be conducted only by City's Bureau of Transportation, Development and Capital Group.
7. The federal funding for this Project is contingent upon approval by the FHWA. Any work performed prior to acceptance by FHWA will be considered nonparticipating and paid for at City expense. State's Regional Local Agency Liaison or designee will provide City with a written notice to proceed when FHWA approval has been secured and funds are available for expenditure on this Project.
8. State considers City a subrecipient of the federal funds it receives as reimbursement under this Agreement. The Catalog of Federal Domestic Assistance (CFDA) number and title for this Project is 20.205, Highway Planning and Construction.
9. The term of this Agreement shall begin on the date all required signatures are obtained and shall terminate upon completion of the Project and final payment or 10 calendar years following the date all required signatures are obtained, whichever is sooner.
10. Local Agency Certification Program Agreement No. 21492 was fully executed on November 1, 2005 and amended on September 25, 2007.

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11. If City fails to meet the requirements of this Agreement or the underlying federal regulations, State may withhold the City's proportional share of Highway Fund distribution necessary to reimburse State for costs incurred by such City breach.
12. City shall, at its own expense, maintain and operate the Project upon completion and throughout the useful life of the Project at a minimum level that is consistent with normal depreciation and/or service demand. State and City Agree that the useful life of this Project is defined as twenty (20) years.
13. State may conduct periodic inspections during the life of City Certification Projects to verify that Projects are being properly maintained and continue to serve the purpose for which federal funds were provided.
14. This Agreement may be terminated by mutual written consent of both Parties.
15. State may terminate this Agreement effective upon delivery of written notice to City, or at such later date as may be established by State, under any of the following conditions:
  - a. If City fails to provide services called for by this Agreement within the time specified herein or any extension thereof.
  - b. If City fails to perform any of the other provisions of this Agreement, or so fails to pursue the work as to endanger performance of this Agreement in accordance with its terms, and after receipt of written notice from State fails to correct such failures within ten (10) days or such longer period as State may authorize.
  - c. If City fails to provide payment of its share of the cost of the Project.
  - d. If State fails to receive funding, appropriations, limitations or other expenditure authority sufficient to allow State, in the exercise of its reasonable administrative discretion, to continue to make payments for performance of this Agreement.
  - e. If federal or state laws, regulations or guidelines are modified or interpreted in such a way that either the work under this Agreement is prohibited or if State is prohibited from paying for such work from the planned funding source.
16. Any termination of this Agreement shall not prejudice any rights or obligations accrued to the Parties prior to termination.
17. City, as a recipient of federal funds, pursuant to this Agreement with State, shall assume sole liability for City's breach of any federal statutes, rules, program requirements and grant provisions applicable to the federal funds, and shall, upon City's breach of any such conditions that requires State to return funds to the FHWA,

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hold harmless and indemnify State for an amount equal to the funds received under this Agreement; or if legal limitations apply to the indemnification ability of City, the indemnification amount shall be the maximum amount of funds available for expenditure, including any available contingency funds or other available non-appropriated funds, up to the amount received under this Agreement.

18. This Agreement may be executed in several counterparts (facsimile or otherwise) all of which when taken together shall constitute one agreement binding on all Parties, notwithstanding that all Parties are not signatories to the same counterpart. Each copy of this Agreement so executed shall constitute an original.
19. This Agreement and the Local Agency Certification Program (Certification Program) Agreement No. 21492, as amended, and all attached exhibits constitutes the entire agreement between the Parties on the subject matter hereof. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this Agreement. No waiver, consent, modification or change of terms of this Agreement shall bind either party unless in writing and signed by both Parties and all necessary approvals have been obtained. Such waiver, consent, modification or change, if made, shall be effective only in the specific instance and for the specific purpose given. The failure of State to enforce any provision of this Agreement shall not constitute a waiver by State of that or any other provision.
20. State's Project Liaison for the Agreement is Bret Richards, 123 NW Flanders Street, Portland, OR 97209, 503-731-8288, [bret.n.richards@odot.state.or.us](mailto:bret.n.richards@odot.state.or.us), or assigned designee upon individual's absence. State shall notify the other Party in writing of any contact information changes during the term of this Agreement.
21. City's Project Liaison for this Agreement is Kyle Chisek, 1120 SW 5<sup>th</sup> Avenue, Room 800, Portland, OR 97204, 503-823-7041, [kyle.chisek@portlandoregon.gov](mailto:kyle.chisek@portlandoregon.gov), or assigned designee upon individual's absence. City shall notify the other Party in writing of any contact information changes during the term of this Agreement.

**THE PARTIES**, by execution of this Agreement, hereby acknowledges that their signing representatives have read this Agreement, understand it, and agree to be bound by its terms and conditions.

This Project is in the 2010-2013 Statewide Transportation Improvement Program, (Key #17268) that was approved by the Oregon Transportation Commission on December 16, 2010 (or subsequently approved by amendment to the STIP).

**SIGNATURE PAGE TO FOLLOW**



186229

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**CITY OF PORTLAND**, acting by and  
through its elected officials

By \_\_\_\_\_  
Mayor

Date \_\_\_\_\_

By \_\_\_\_\_  
Auditor

Date \_\_\_\_\_

**APPROVED AS TO LEGAL  
SUFFICIENCY**

By \_\_\_\_\_  
City Legal Counsel

Date \_\_\_\_\_

**City Contact:**

Kyle Chisek - PDOT  
1120 SW 5<sup>th</sup> Avenue, Room 800  
Portland, OR 97204  
503-823-7041  
kyle.chisek@portlandoregon.gov

**State Contact:**

Bret Richards - ODOT  
123 NW Flanders Street  
Portland, OR 97209  
503-731-8288  
bret.n.richards@odot.state.or.us

**STATE OF OREGON**, acting by and  
through its Department of Transportation

By \_\_\_\_\_  
Highway Division Administrator

Date 11/8/11

**APPROVAL RECOMMENDED**

By \_\_\_\_\_  
Technical Services Manager/Chief Engineer

Date 11-8-11

By \_\_\_\_\_  
Local Government Section Manager

Date \_\_\_\_\_

By \_\_\_\_\_  
Region 1 Manager

Date 10/7/11

**APPROVED AS TO LEGAL  
SUFFICIENCY**

By \_\_\_\_\_  
Assistant Attorney General

Date \_\_\_\_\_

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CITY OF PORTLAND, acting by and  
through its elected officials

By [Signature]  
Mayor

Date 10.3.11

By L. Griffin - Valade by RB  
Auditor

Date 10/4/2011

APPROVED AS TO LEGAL  
SUFFICIENCY  
APPROVED AS TO FORM

By [Signature]  
City Legal Counsel

Date 9/14/11  
CITY ATTORNEY

City Contact:  
Kyle Chisek - PDOT  
1120 SW 6<sup>th</sup> Avenue, Room 800  
Portland, OR 97204  
503-823-5695  
kyle.chisek@portlandoregon.gov

State Contact:  
Bret Richards - ODOT  
123 NW Flanders Street  
Portland, OR 97209  
503-731-8288  
bret.n.richards@odot.state.or.us

STATE OF OREGON, acting by and  
through its Department of Transportation

By \_\_\_\_\_  
Highway Division Administrator

Date \_\_\_\_\_

APPROVAL RECOMMENDED

By \_\_\_\_\_  
Technical Services Manager/Chief  
Engineer

Date \_\_\_\_\_

By [Signature]  
Local Government Section Manager

Date 10/14/11

By \_\_\_\_\_  
Region 1 Manager

Date \_\_\_\_\_

APPROVED AS TO LEGAL  
SUFFICIENCY

By [Signature]  
Assistant Attorney General

Date 10/13/11

Exhibit A – Project Location Map

